MAPS-based Vertex Detectors at Colliders From the STAR HFT to the next-generation ALICE ITS and sPHENIX MVTX Upgrades



Giacomo Contin

Lawrence Berkeley National Laboratory Department of Physics - HEP Seminars University of Liverpool, October 18th, 2017

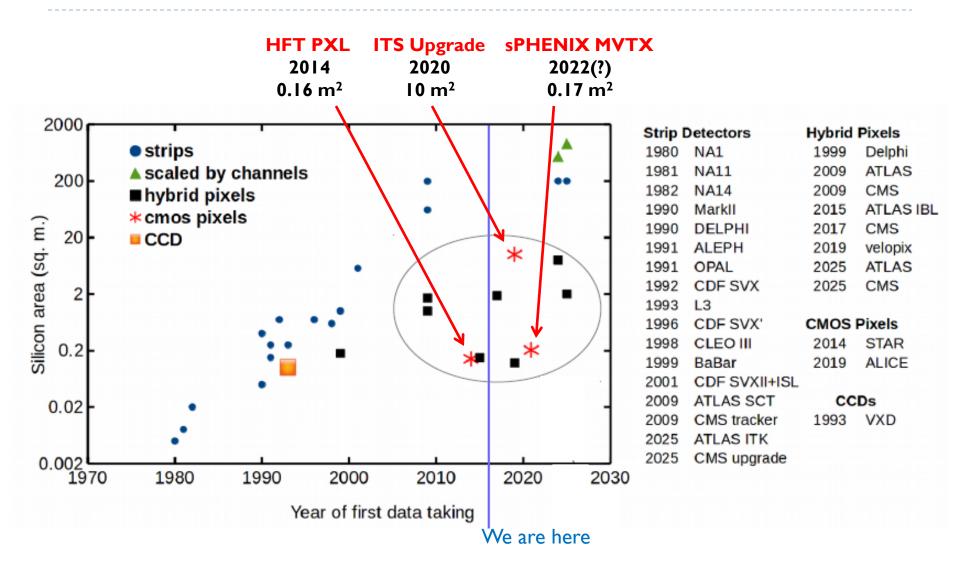




Outline

- First MAPS-based Vertex detector:
 - STAR PXL
 - Design
 - ▶ Construction
 - Operations experience
- Next generation MAPS:
 - ▶ ALICE ITS Upgrade
 - SPHENIX MVTX

MAPS Detectors at Colliders

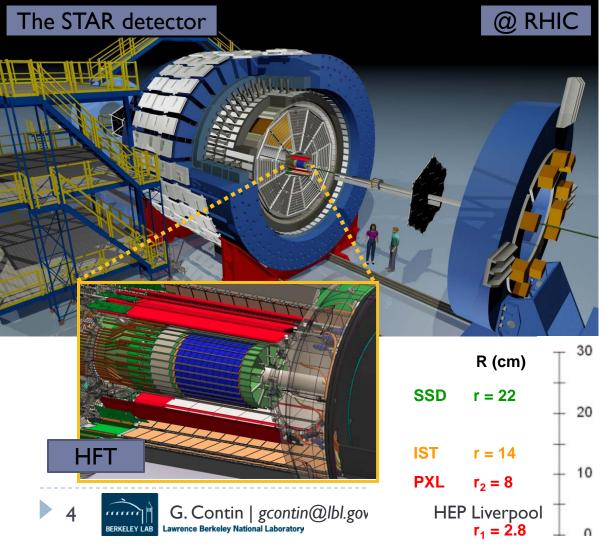


The STAR Heavy Flavor Tracker



Extend the measurement capabilities in the heavy flavor domain, good probe to QGP:

• Direct topological reconstruction of charm hadrons (e.g. $D^0 \to K \pi$, $c\tau \sim 120 \mu m$)



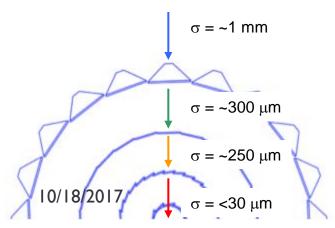
Need to resolve displaced vertices in high multiplicity environment

TPC - Time Projection Chamber (main tracking detector in STAR)

HFT – Heavy Flavor Tracker

- SSD Silicon Strip Detector
- ▶ IST Intermediate Silicon Tracker
 - **PXL Pixel Detector**

Tracking inwards with gradually improved resolution:



The PiXeL detector (PXL)



First vertex detector at a collider experiment based on Monolithic Active Pixel Sensor technology





PXL Design Parameters

DCA Pointing resolution	(10 ⊕ 24 GeV/p·c) μm	
Layers	Layer I at 2.8 cm radius	
	Layer 2 at 8 cm radius	
Pixel size	20.7 μm X 20.7 μm	
Hit resolution	3.7 μm (6 μm geometric)	
Position stability	5 μm rms (20 μm envelope)	
Material budget first layer	$X/X_0 = 0.39\%$ (Al conductor cable)	
Number of pixels	356 M	
Integration time (affects pileup)	185.6 μs	
Radiation environment	20 to 90 kRad / year	
	2*10 ¹¹ to 10 ¹² IMeV n eq/cm ²	
Rapid detector replacement	< I day	

356 M pixels on ~0.16 m² of Silicon

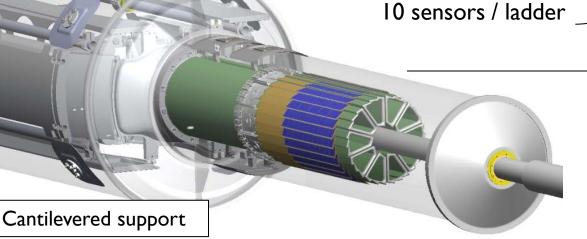
PXL System Overview





10 sectors total 5 sectors / half 4 ladders / sector 10 sensors / ladder

Highly parallel system



Material budget on the inner layer

- ▶ Thinned sensor: 50 μ m 0.068% X_0
- Aluminum-conductor cable (32 μm-thick traces) 0.128% X₀
- Carbon fiber stiffener (125 μ m) and sector tube (250 μ m) 0.193% X_0
- ▶ Air cooled

0.388% X₀



Ladder with 10 MAPS sensors (~ 2×2 cm each)



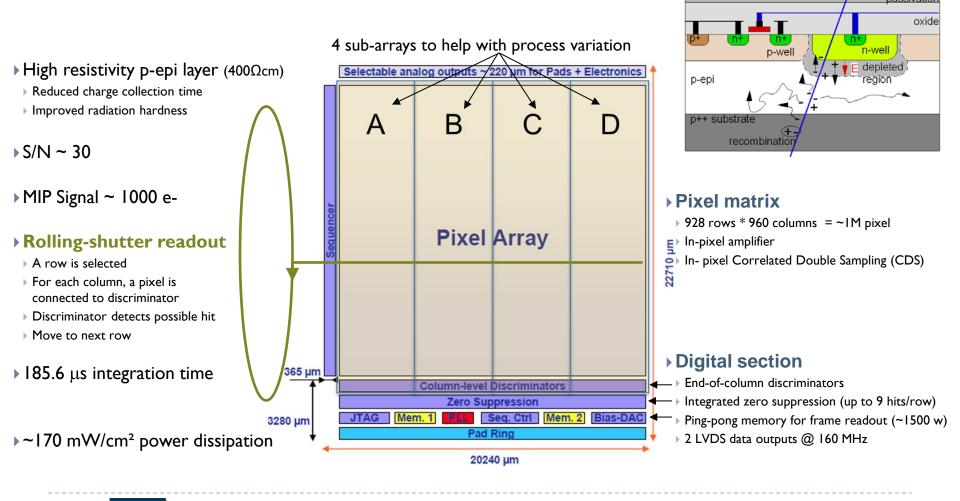
PXL MAPS sensor



ionizing particle

Ultimate-2: third MIMOSA-family sensor revision developed for PXL at IPHC, Strasbourg

Monolithic Active Pixel Sensor technology



PXL Material Budget

Thinned Sensor

- 50 μm
- \triangleright 0.068% X_0

Flex Cable

- Aluminum-Kapton
- two 32 μm-thick Al layers
- \bullet 0.128% X_0
 - Copper version \rightarrow 0.232% X_0

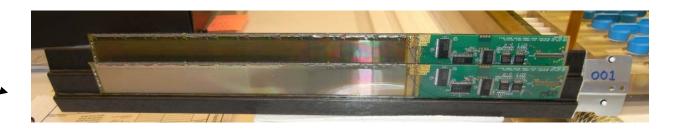


- Curved sensor
- ▶ 40-60% yield after thinning, dicing and probe testing
- Fully characterized before installation
- Power and signal lines
- ► Wire bond encapsulant largest contribution
- Acrylic adhesive to deal with different CTE



Carbon fiber supports

- 125 μm stiffener
- 250 μm sector tube
- \bullet 0.193% X_0



Cooling

- Air cooling: negligible contribution
 - ▶ Total material budget on inner layer: $0.388\% X_0$

(0.492% X_0 for the Cu conductor version)



PXL Detector Powering and Readout Chain





RDO motherboard w/ Xilinx Virtex-6 FPGA

100 m (fiber optic)

PXL built events



DAQ PC with fiber link to RDO board

Trigger,
Slow control,
Configuration,
etc.

Existing STAR infrastructure

Highly parallel system

- 4 ladders per sector
- I Mass Termination Board (MTB) per sector
- I sector per RDO board
- ▶ 10 RDO boards in the PXL system

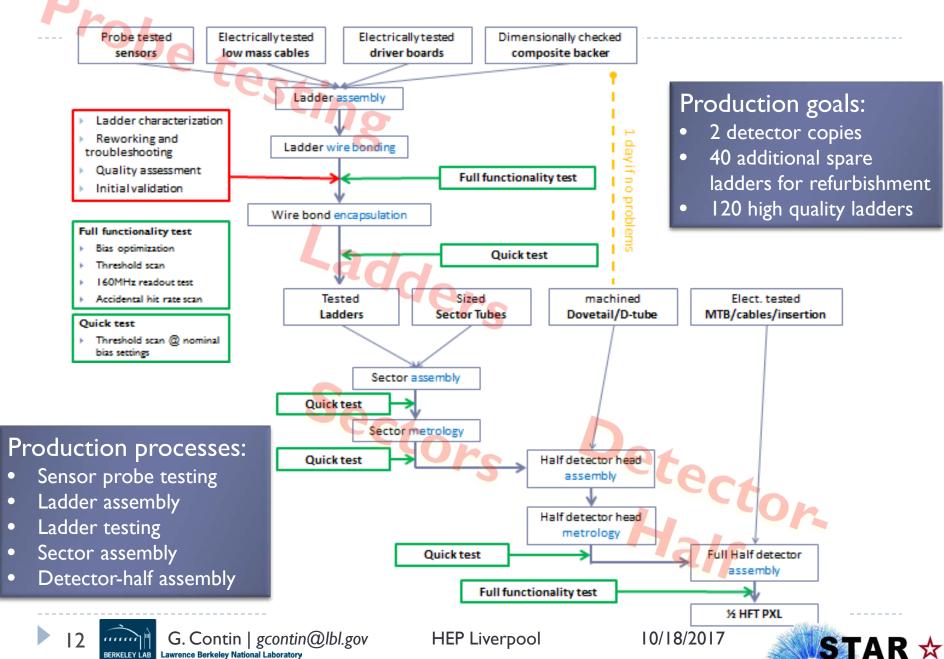






Construction

PXL Production, Assembly and QA



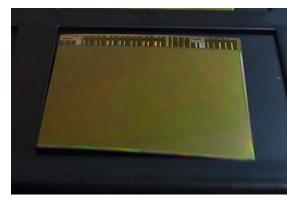
PXL Probe Testing

- > Thinned and diced 50 µm-thick sensors
- > Full sensor characterization
- Full speed readout (160 MHz)



- Custom made vacuum chuck
- Testing up to 18 sensors per batch (optimized for sensor handling in 9-sensor carrier boxes)
- Manual alignment (~l hr)
- LabWindows GUI for system control
- Automated interface to a database

curved thinned sensors



- Sensors built-in testing functionality
- Proper probe pin design for curved thinned sensors
- Yield 46% 60% (spare probe cards)
- Administrative control of sensor ID



Probe card with readout electronics

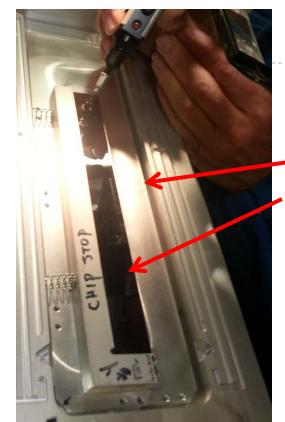
10/18/2017



Ladder Assembly







Sensor positioning

FR-4 Handler

Precision vacuum chuck fixtures to position sensors by hand

Sensors are positioned with butted edges. Acrylic adhesive prevents CTE difference based damage.

Weights taken at all assembly steps to track material and as QA.

Hybrid cable with carbon fiber stiffener plate on back in position to glue on sensors.



Cable reference holes for assembly



From ladders to sectors... to detector halves



Sector assembly fixture

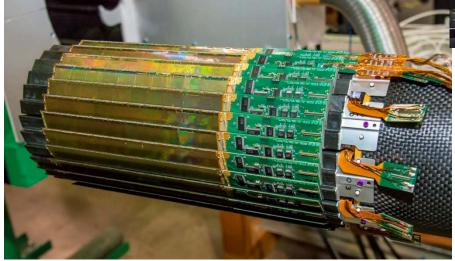
Sectors

- Ladders are glued on carbon fiber sector tubes in 4 steps
- Pixel positions are measured and related to tooling balls
- After touch probe measurements, sectors are tested electrically for damage from metrology



Sector in the metrology setup

A detector half



Detector half

- Sectors are mounted in dovetail slots on detector half
- Metrology is done to relate sector tooling balls to each other and to kinematic mounts

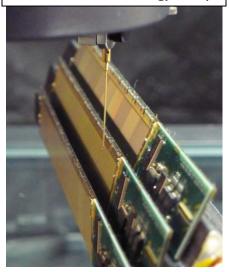
10/18/2017



PXL Position Control



Sector in the metrology setup



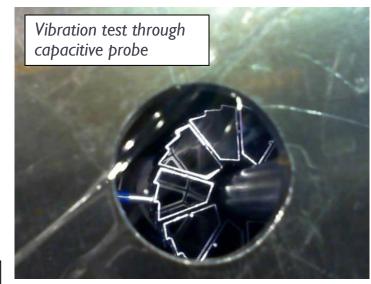
Metrology survey

- \rightarrow 3D pixel positions on sector (within ~10 μ m) are measured with touch probe and related to tooling balls
- Sector tooling ball positions related to kinematic mounts to relate pixel positions to final PXL location
- → Detector-half is fully mapped

Position stability

- Vibration at air cooling full flow: ~5 μm RMS
- Stable displacement at full air flow: ~30 μm
- Stable displacement at power on: ~5 μm
- \rightarrow Global hit position resolution: \sim 6.2 μ m





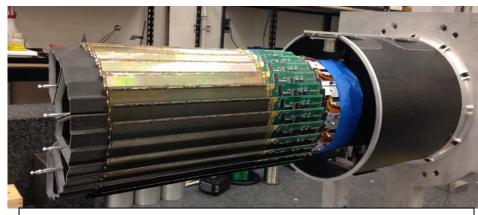
PXL Installation in STAR





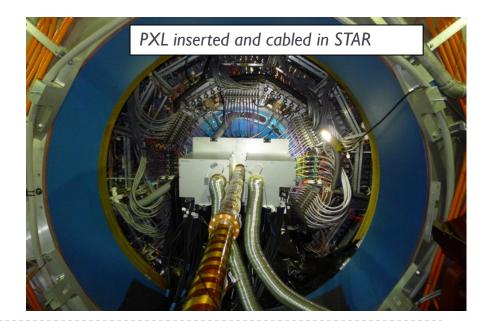
Novel insertion approach

- Inserted along rails and locked into a kinematic mount inside the support structure
- It can be replaced in < I day with a spare copy



duplicate, truncated PXL support tube with kinematic mounts





PXL production timeline



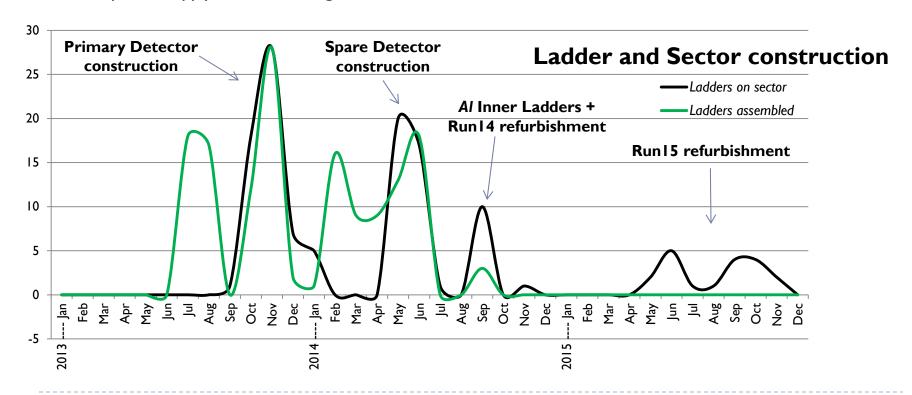
Delivered:

- **2014 Run:** Primary Detector, 2 aluminum cable inner ladders, others in copper
- **2015-2016 Runs:** 2 detector copies, all inner ladders in *aluminum*

Sactor	refurbishment:	
> Sector	returbishment.	

- After each STAR Run for latch-up induced damage
- After power supply accident during 2015 Run installation

Overall stats	#
Assembled ladders	146
Installed on sectors	127
Ladder tests	~2000

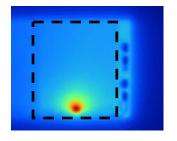




Operations, Performance,
 Lessons Learned

2013 Engineering Run

PXL Engineering Run assembly crucial to deal with a number of unexpected issues





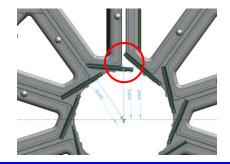


Sensor IR picture

Flawed ladder dissection: searching for shorts

- Engineering run geometry
- Shorts between power and gnd, or LVDS outputs
- Adhesive layer extended in both dimensions to increase the portion coming out from underneath the sensors
- Insulating solder mask added to low mass cables

- Mechanical interference in the driver boards on the existing design.
- The sector tube and inner ladder driver board have been redesigned to give a reasonable clearance fit
- Inner layer design modification: ~ 2.8 cm inner radius



Inner layer design

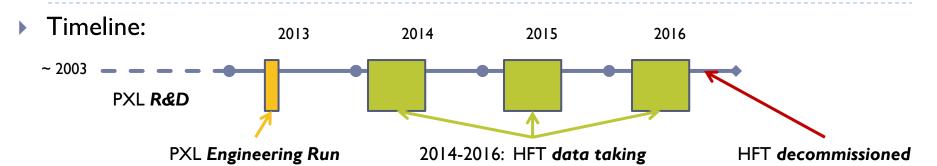
- Limited capability to remotely control power and current limits
- After the engineering run added functionality to the Mass Termination Board:
 - remote setting of LU threshold and ladder power supply voltage + current and voltage monitoring

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PXL data taking





PXL Operations

- ▶ Hit multiplicity per sensor: up to 1000/inner-sensor, 100/outer-sensor
- ▶ Dead time up to ~6%
- Typical trigger rate: 0.8-1 kHz
- Latch-up reset events: 2 latch-up/min
- Periodic reset to clear SEUs

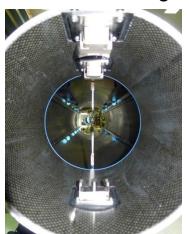
Collected minimum bias events in the PXL acceptance:

•	2014 Run:	~ I.2 Billion Au+Au	@ $\sqrt{s_{NN}}$ = 200GeV
)	2015 Run:	<pre>{ ~ I Billion p+p ~ 0.6 Billion p+Au }</pre>	@ √s _{NN} = 200GeV
>	2016 Run:	<pre>{ ~ 2 Billion Au+Au } ~ 0.3 Billion d+Au }</pre>	@ √s _{NN} = 200GeV

Operational Aspects

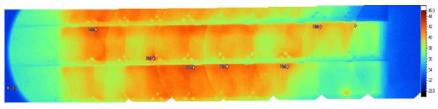


- Operational latch-up protection and voltage control limited the effect of LU-related sensor damage (more later in this talk)
- **Detector reset** on a 15 minute schedule limited SEU-related data corruption
- Mechanics
 - The detector halves maintained survey pixel positions after insertion and during operational heating and in the cooling airflow (10 m/s).
 - The rapid insertion and removal mechanism worked well, allowing removal and replacement operation of a 2nd detector in one day.
- Air cooling worked very well, typical variation in sensor temperature over the runs was within I-2 degree C.



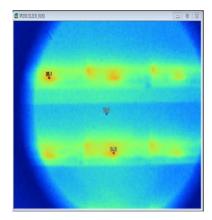
PXL Kinematic mounts

Sector vibration in the radial direction scales as:	flow ²
Sector vibration at full flow:	5 μm RMS
Sector DC displacement scales as:	flow ²
Sector moves in at full flow: (Stable displacement)	25 μm - 30 μm
Sector moves in when ladders powered: (Stable displacement)	3 μm - 8 μm



Composite IR image of PXL test ladders

IR image of production PXL ladders. Max ΔT is 12° C from ambient.

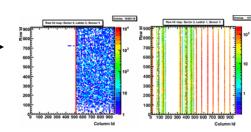


Operational issues: Latch-up damage

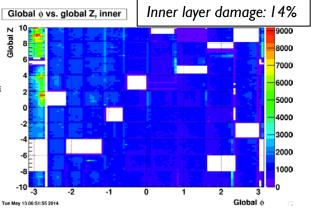
Unexpected damage seen on 15 ladders in the STAR radiation environment in 2014 Run first 2 weeks



- Sensor data corruption
- Hotspots in sensor digital section
- Correlated with latch-up events
- Limited with operational methods



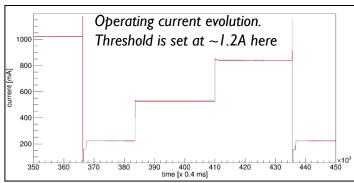
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- Latch-up tests at BASE facility (LBL) to measure latch-up cross-section and reproduce damage
 - 50 μm & 700 μm thick, low and high resistivity sensors; PXL ladders
 - Irradiation with heavy-ions and protons
- Results and observations
 - Current limited latch-up states observed (typically ~300 mA)
 - Damage reproduced only with HI on PXL 50 µm thinned sensors
- Safe operations envelope implemented
 - Latch-up protection at 80 mA above operating current
 - Periodic detector reset to clear SEU

Latch-up phenomenon:

- Self feeding short circuit caused by single event upset
- Can only be stopped by removing the power





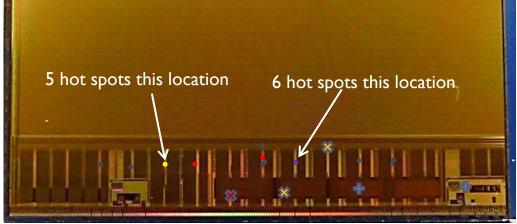


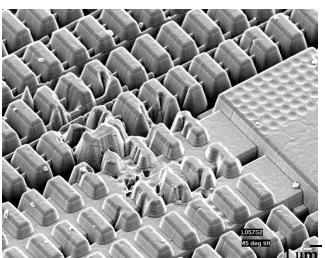
Latch-up test setup and damage analysis

Individual sensor test boards and ladders mounted on cooling plate



IR "hotspots" locating the damage tend to favor particular structures (isolated buffers with specific structure pitch)





PXL sensor layers deconstructed (plasma etching technique) and viewed with SEM (@BNL Instrumentation Division)

The layers appear to be melted



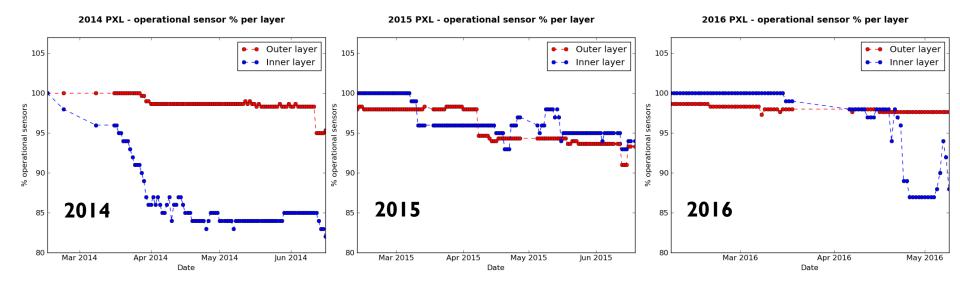


Damage evolution



Run		sensors er Layer	Good sensors on Outer Layer		Comment
	installation	end of run	installation	end of run	
2014	100%	82%	100%	95%	LU damage, most of it in the first 15 days of operations
2015	99%	94%	98%	96% (93%)*	* = Lost control of an outer ladder (10 good sensors off)
2016	100%	95 % (87%) ⁺	99%	98%	+ = Current instability on inner ladder (8 good sensors off)

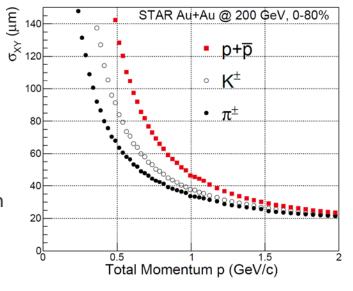
Good sensor = sensor with >95% active channels and uniform efficiency



HFT Performance from 2014 data

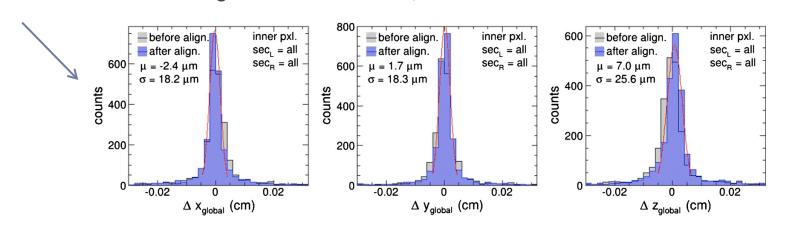
 Distance of Closest Approach (DCA) resolution design requirements exceeded

- ~ 50 μm for 750 MeV/c Kaons
- \sim 30 µm for p > 1 GeV/c
- Sensor detection efficiency:
 - > 97.2% average estimated with cosmic rays before the Run



Alignment

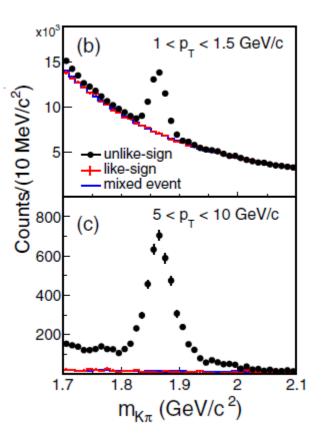
► Residuals distribution after alignment shows $\sigma \le 25 \mu m$



HFT Physics achievements

- Physics of D-meson production
 - High significance signal
 - Nuclear modification factor R_{AA}
 - \triangleright Collective flow v_2
- $D^0 \rightarrow K + \pi$
 - ► Significance $S/\sqrt{S+B} \sim 220$
- $D_{S}^{\pm} \rightarrow \phi(K^{+}+K^{-}) + \pi^{\pm}$
 - ► Significance $S/\sqrt{S+B} \sim 25$

Sample: ~900 M Au+Au collisions $\sqrt{s_{NN}} = 200GeV$



• NEW!!! First measurement of $Λ_c$ baryons in HI collisions

- $\wedge_{c}^{\pm} \rightarrow p^{\pm} K^{\mp} \pi^{\pm}$ $c\tau \sim 60 \mu m$ BR = 5 %
- Experimentally challenging to measure in heavy-ion collisions
 → Combinatorial background is greatly suppressed
- Significance: $S/\sqrt{S+B} \sim 5.2$ (10-60% centrality, p_T : 3–6 GeV/)
- \wedge Λ_c/D^0 ratio compatible with baryon-to-meson ratios of light hadrons



STAR PXL Project: Strengths and Weaknesses

Project paper: "The STAR MAPS-based PiXeL detector" submitted to NIM A (arXiv:1710.02176)

Strengths

- Sensor and Readout System developments strictly coupled
- ▶ Engineering run, crucial for the program
- ~Full control on the production
 - > Success! Performance exceeded expectations
 Access the charm domain

Weaknesses

- Untested technology in a collider environment
- Short (3-year) physics program
- Sensor too slow to access the bottom domain
 - Future: ALICE ITS Upgrade, sPHENIX MVTX





Evolution of MAPS-based Vertex Detector

2014-2016 2021+

STAR HFT/PXL (Current)

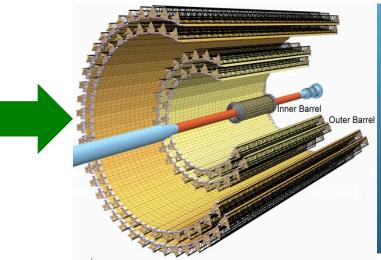
ALICE ITS Upgrade

sPHENIX MVTX



Integration time: 186 μs

Thickness first layer: 0.4%X₀



A Monolithic Active Pixel Sensor
Detector for the sPHENIX
Experiment

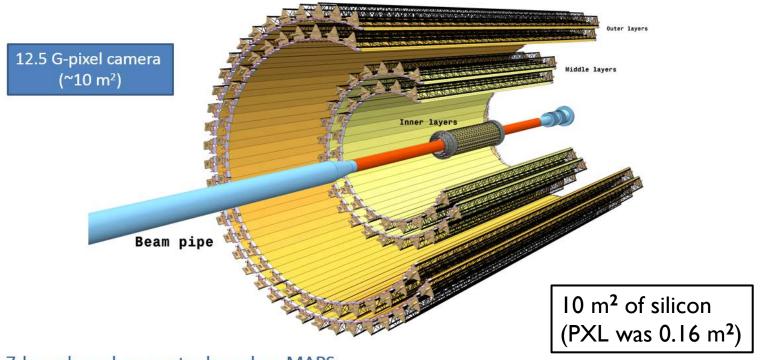
Integration time: <20 μs

Thickness first layer: 0.3%X₀

Next generation MAPS detector used for ALICE ITS Upgrade and for sPHENIX MVTX: Thin MAPS detector with much shorter integration time

-> Significantly reduced background hits -> Much improved tracking efficiency

@LHC: ALICE ITS Upgrade From HybridPixels/Drifts/Strips to MAPS



7-layer barrel geometry based on MAPS

r coverage: 23 - 400 mm

η coverage: |η| ≤ 1.22

for tracks from 90% most luminous region

3 Inner Barrel layers (IB)

4 Outer Barrel layers (OB)

Material /layer : $0.3\% X_0$ (IB), $1\% X_0$ (OB)

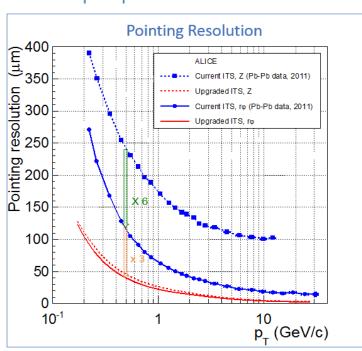
http://iopscience.iop.org/0954-3899/41/8/087002/

Upgraded ITS Performance

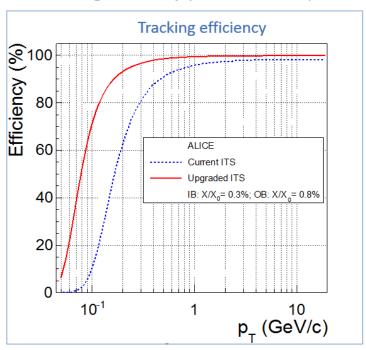
Performance of new ITS (MC simulations)



Impact parameter resolution



Tracking efficiency (ITS standalone)



Existing ITS: ITS Upgrade:

Hybrid pixels, drift, strips
All layers are MAPS sensors

X/X0 ≥1% /layer X/X0 ~0.4% /layer ~120 µm @ 500MeV/c ~40 µm @ 500MeV/c

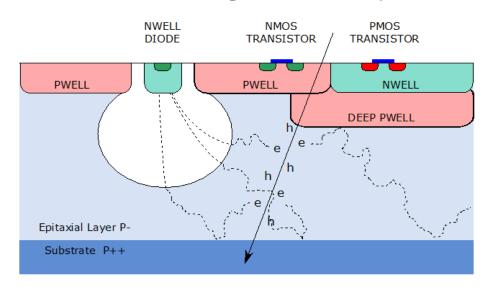
Physics Goals:

Improve charm meson study - Access charm baryons, bottom hadrons

ITS Pixel Chip – technology choice



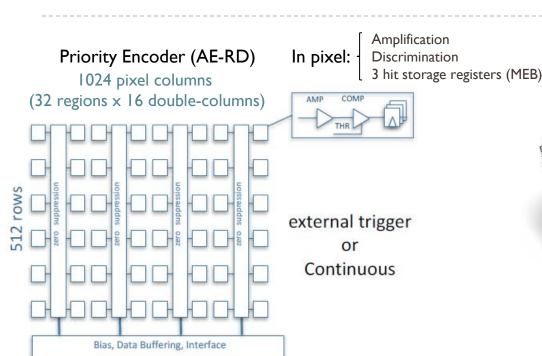
CMOS Pixel Sensor using TowerJazz 0.18µm CMOS Imaging Process

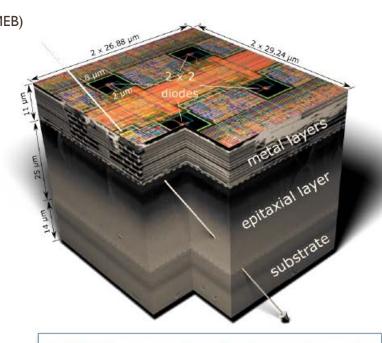


ALPIDE sensor (developed at CERN)

- ~28 μm pitch
- Integration time: < 20 μs
- Trigger rate: 100 kHz
- Read out up to 1.2 Gbit/s
- Power: 40 mW/cm²
- Priority encoder sparsified readout
- Rad.Tolerant: 700krad 10¹⁴ IMeV n_{eq}/cm²
- High-resistivity (> $1k\Omega$ cm) p-type epitaxial layer (20μ m 40μ m thick) on p-type substrate
- ► Small n-well diode (2-3 μ m diameter), ~100 times smaller than pixel => low capacitance
- Application of (moderate) reverse bias voltage to substrate can be used to increase depletion zone around NWELL collection diode
- Quadruple well process: deep PWELL shields NWELL of PMOS transistors, allowing for full
 CMOS circuitry within active area

ALPIDE Architecture





ALPIDE 30mm
OE
pads over matrix

IB: 50μm thick
OB: 100μm thick

Power: 40 mW/cm²
Trigger rate: 100 kHz
Integration time:
Read out up to 1.2 Gbit/s.

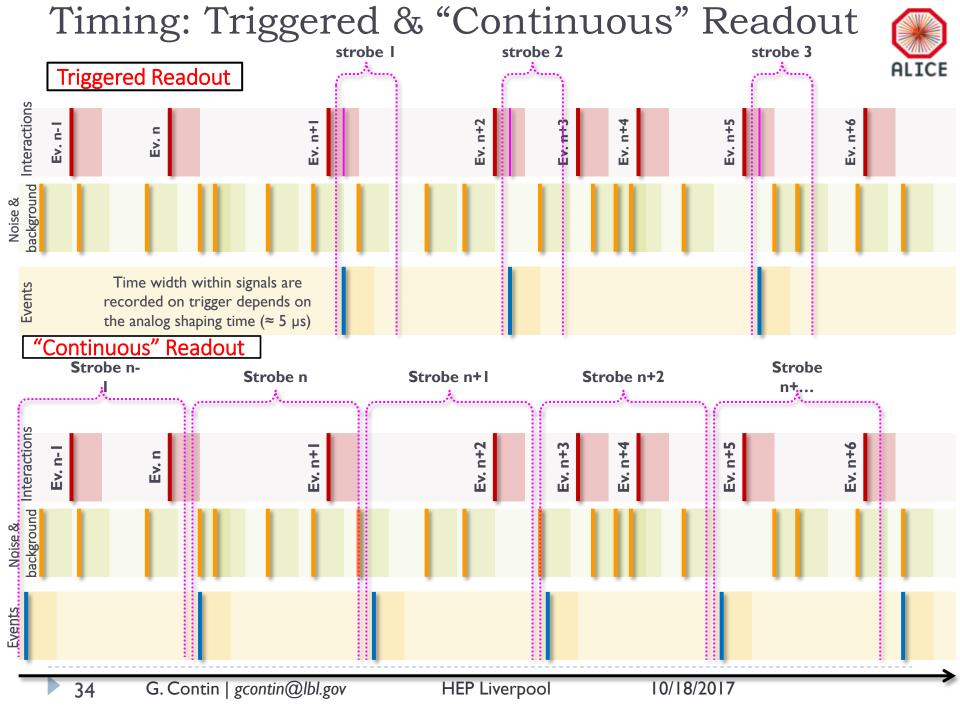
 $130,000 \text{ pixels } / \text{ cm}^2 27x29x25 \ \mu\text{m}^3$

spatial resolution: ~ 5 μm (3-D)

Max particle rate: 100 MHz / cm²

fake-hit rate: ~ 10-10 pixel / event

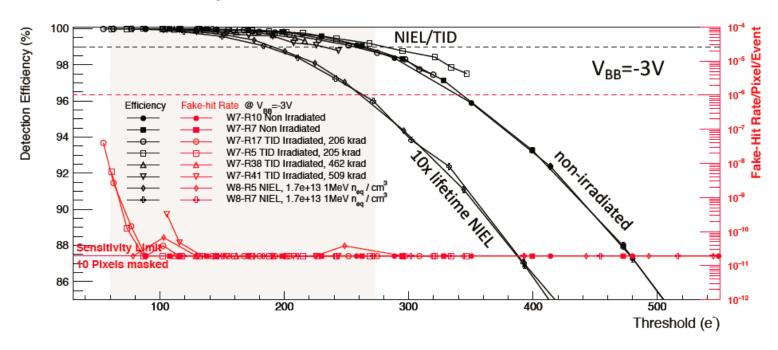
power: ~ 300 nW /pixel



ALPIDE performance



Detection Efficiency and Fake-Hit Rate

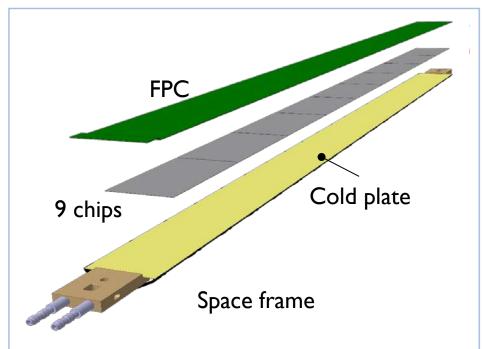


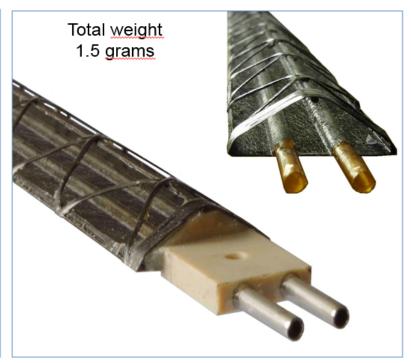
- Big operational margin with only 10 masked pixels (0.002%)
- Chip-to-chip fluctuations negligible
- Non-irradiated and NIEL/TID chips show similar performance
- Sufficient operational margin after 10x lifetime NIEL dose



New ITS Layout - Inner Barrel Stave







<Radius> (mm): 23,31,39

Nr. of staves: 12, 16, 20

Nr. of chips/layer: 108, 144, 180

Power density: < 100 mW/cm²

Length in z (mm): 290

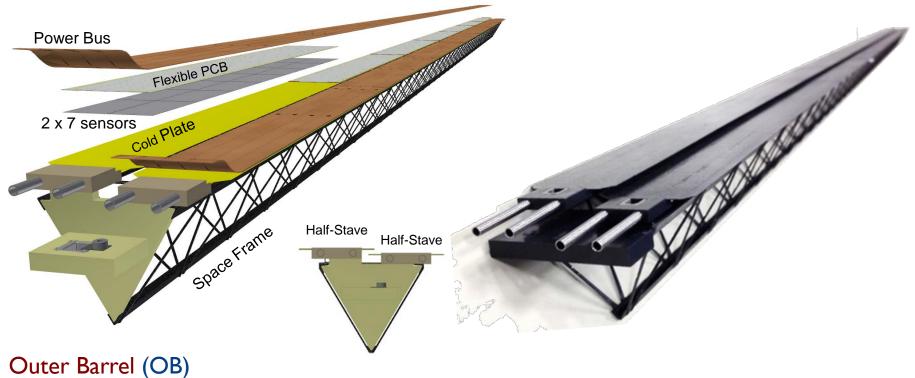
Nr. of chips/stave: 9

Material thickness: ~ 0.3% X₀

Throughput (@100kHz): $< 80 \text{ Mb/s} \times \text{cm}^{-2}$

ITS Outer Barrel





<radius> (mm): 194, 247, 353, 405

Nr. staves: 24, 30, 42, 48

Nr. Chips/layer: 6048 (ML), 17740(OL)

Power density < 100 mW / cm²

Length (mm): 900 (ML), 1500 (OL)

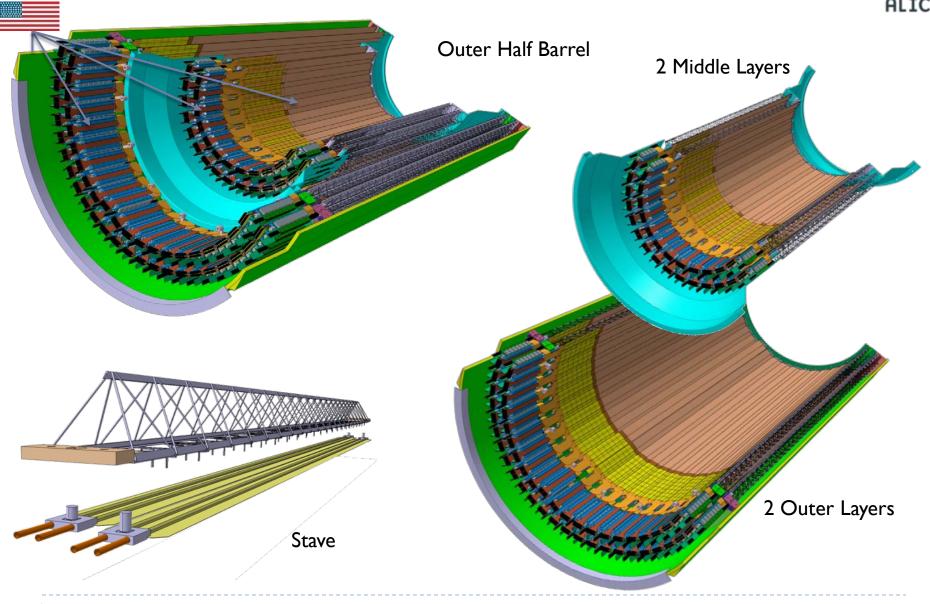
Nr. modules/stave: 4 (ML), 7 (OL)

Material thickness: ∼ 1% X₀

Throughput (@100kHz): < $3Mb/s \times cm^{-2}$

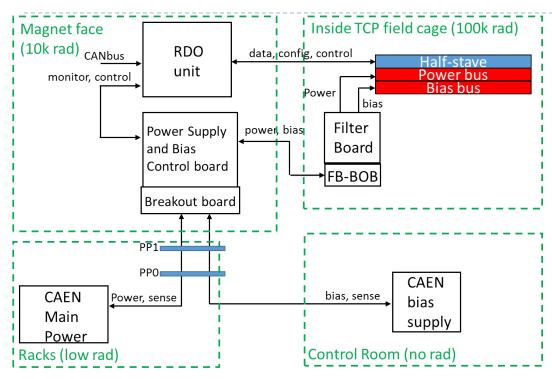
ITS Outer Detector Barrel



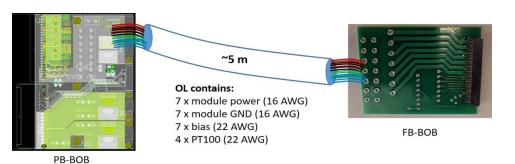


ITS Upgrade @ LBL - Power System





Break-out Boards and Cables







Other activities:

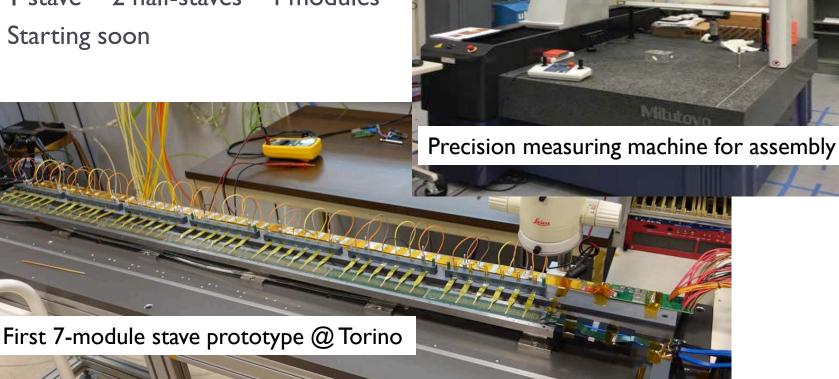
- Irradiation tests for components
- SEL, SEU tests for ALPIDE

ITS Upgrade @ LBL - Stave Assembly



Middle Layer Staves

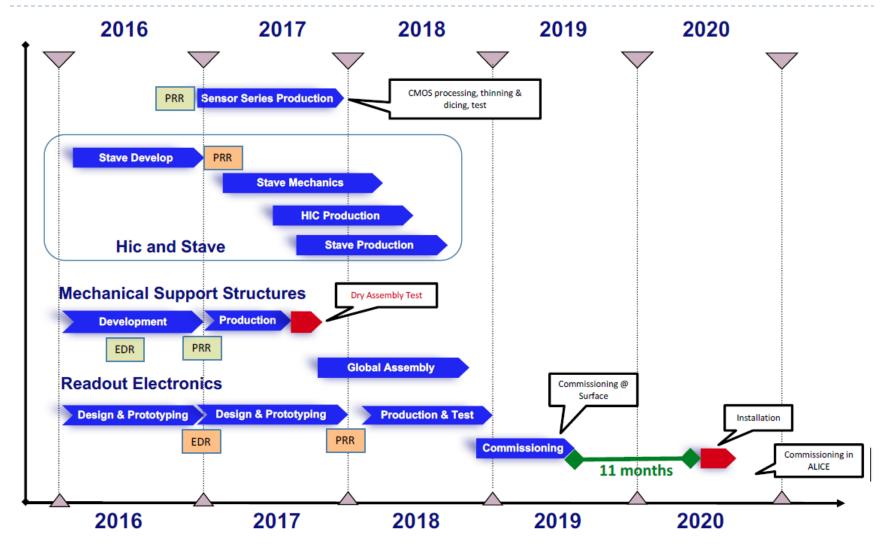
- 54 staves to assemble:
 - Modules + space frame + cooling plate + power bus
- I stave = 2 half-staves * 4 modules
- Starting soon



HEP Liverpool

ITS Upgrade Current Schedule





ITS Upgrade Talk @ QuarkMatter I 7, February 'I 7



@RHIC: MAPS Vertex Detector (MVTX) for PH*ENIX

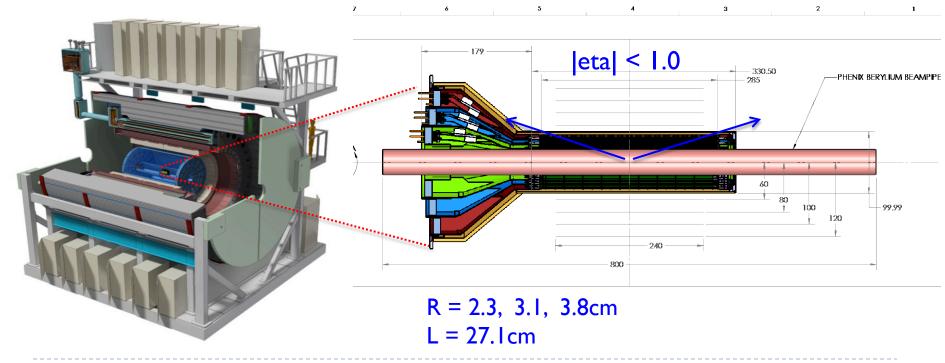


sPHENIX: next-generation heavy-ion experiment on jets and Upsilons at RHIC

- detailed in NSAC long range plan 2015
- received first approval in Sept. 2016, inner tracker detectors not included in the baseline

MVTX brings new **heavy flavor** physics program to sPHENIX

- open bottom production at mid-rapidity over a broad momentum at RHIC



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Physics-driven Detector Requirements

Physics goal: Access and study open bottom physics at RHIC



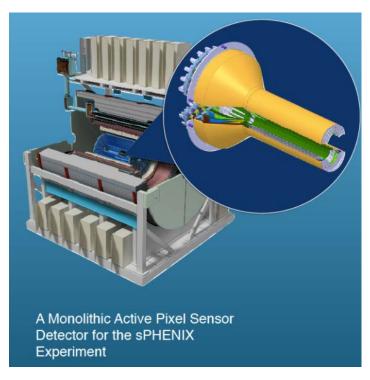
Design goals: Reduce background hits, improve tracking efficiency



Item	Requirements		
Acceptance	Vertex $ z < 10$ cm, $ \eta < 1$, full azimuthal coverage		
Event rate	Matching the sPHENIX DAQ rate of 15 kHz event rate		
DCA resolution	< 50 μ m for charged pions at $p_T = 1$ GeV/c		
Tracking efficiency	$>$ 60% efficiency for charged pions at p_T = 1 GeV/c in central Au+Au collisions		



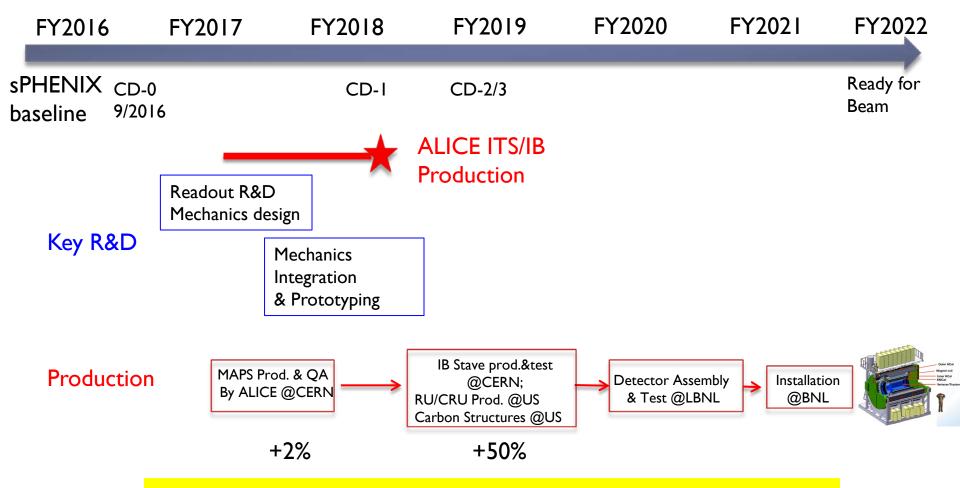
sPHENIX MVTX Proposal



- Technology choice: MAPS
 - ALPIDE sensor meets the requirements for MVTX
 - Int. time <20μs, ~28μm pitch, pow. diss. 40mW/cm²
- Detector layout based on: ITS Inner Barrel
- Leverage the ITS Upgrade R&D for:
 - Sensor design and production
 - Inner Barrel and Mechanics layout
 - Stave production & test
 - Power system design
 - Readout Units design
- **Specific R&D Fabrication Assembly for MVTX**
 - Develop data aggregation/formatting/DAQ interface
 - Adapt and integrate carbon fiber structures design, and fabricate
 - Adapt and fabricate Power System and Readout Units
 - Assemble the detector and integrate in sPHENIX

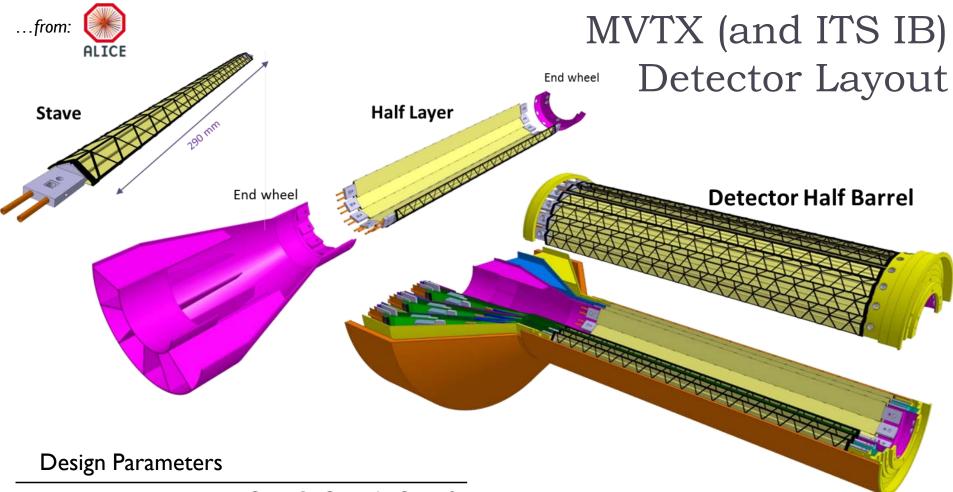
Proposed MVTX Tasks and Timeline





Aim to produce the staves following the ALICE production at CERN

- Produce MAPS chips and Stave Space frames for sPHENIX as part of ALICE production



	Layer 0	Layer 1	Layer 2
Radial position (min.) (mm)	22.4	30.1	37.8
Radial position (max.) (mm)	26.7	34.6	42.1
Length (sensitive area) (mm)	271	271	271
Active area (cm ²)	421	562	702
Number of pixel chips	108	144	180
Number of staves	12	16	20

Mechanics Design to be reviewed:

- ▶ Beam pipe clearance: 1.5 mm
 - sPHENIX Beam pipe radius: 20.9mm (ALICE: 19mm)
- Innermost layer min. radius: 22.4 mm
- ▶ ITS Service barrel length: 2700 mm

10/18/2017



Conclusions

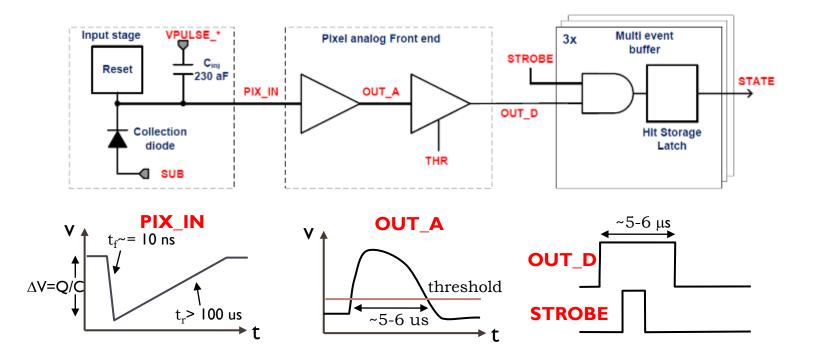
- STAR PXL, first generation MAPS-based detector at a collider experiment, successfully completed the 3-year physics program at RHIC
 - Performance met design requirement, access open charm physics
 - ▶ 2013 Engineering Run, "permanent" construction phase, short duration program
- MAPS technology proved to be suitable for vertex detector applications
- Next-generation MAPS sensor: ALPIDE
 - Shorter (x10) integration time
 - Higher radiation tolerance
- Next-generation MAPS-based vertex detectors:
 - ALICE ITS Upgrade @LHC (now entering the production phase)
 - sPHENIX MVTX @RHIC (recently proposed)
 - ightharpoonup Reduced background hits, improved tracking efficiency ightharpoonup open bottom physics



Thank you for your attention!

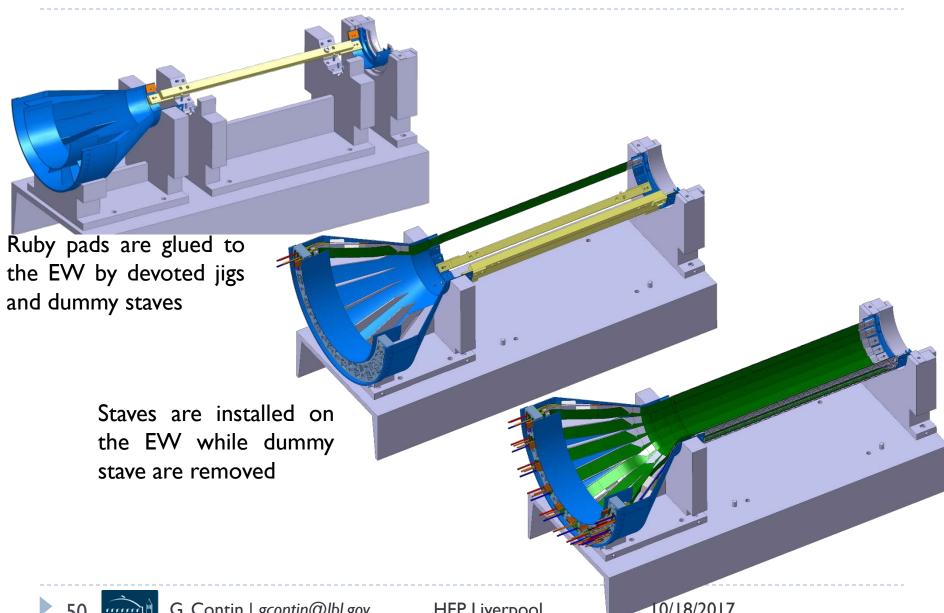
Pixel Architecture





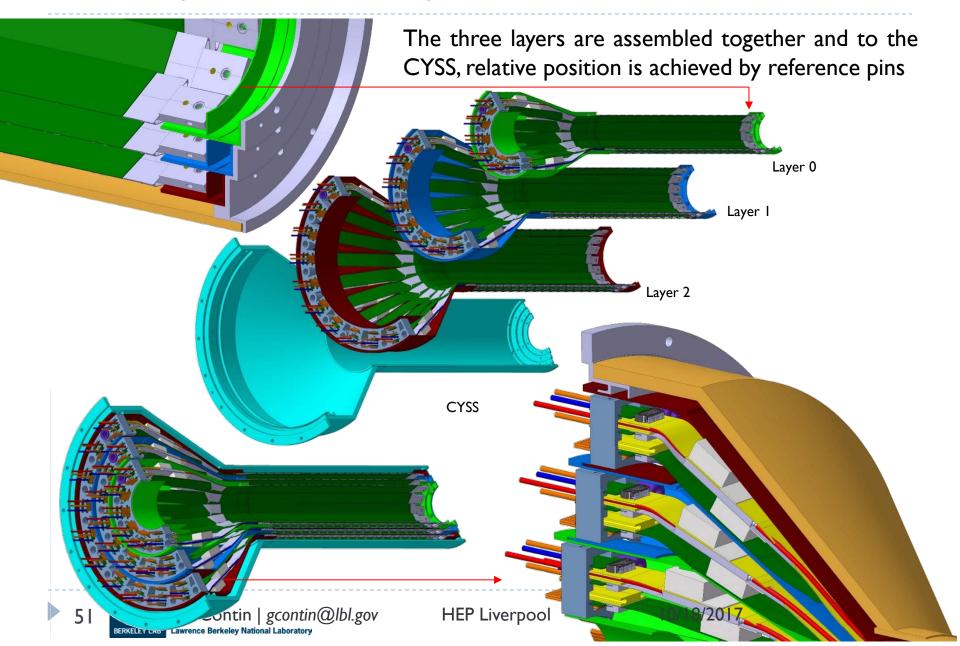
Stave assembly on the end-wheels







Layers assembly into Half-Barrel





Plans for MVTX Readout

