

# First neutrino+antineutrino results from NOvA

University of Liverpool  
Particle Physics Seminar  
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# Neutrino oscillations

## The NOvA experiment

### $\nu_{\mu}$ disappearance

symmetries in neutrino mixing

### $\nu_e$ appearance

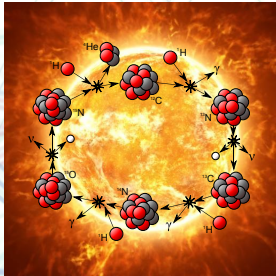
neutrino mass ordering

CP-violation

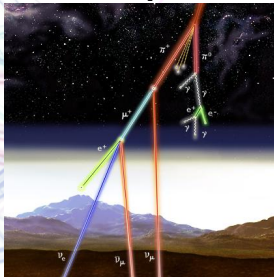
## Future

# Neutrinos are everywhere

## Solar



## Atmospheric



**FACT:** about 65 million neutrinos pass through your thumbnail every second.

## Reactor



## Supernova



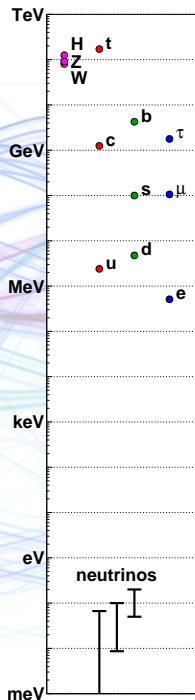
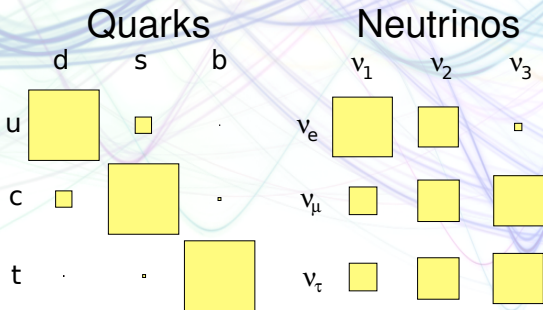
- ▶ Second most abundant particle in the universe
- ▶ But we know almost nothing about them
- ▶ Only interact via the weak force
- ▶ Need powerful sources and huge detectors

# Neutrinos are unique

- ▶ Far lighter than the quarks and charged leptons
- ▶ May get their masses by a different mechanism

$$m_{EW}^2 / m_\nu \sim 10^{15} \text{ GeV} \sim m_{GUT}$$

- ▶ Very different mixing structure to quarks

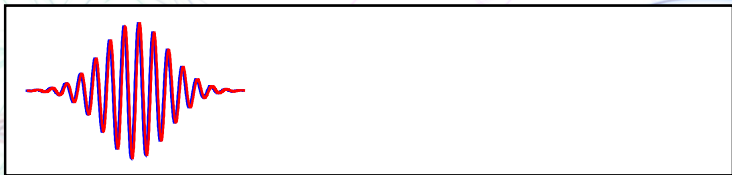


# Neutrino oscillations



$$|\nu_\alpha\rangle = \frac{1}{\sqrt{2}} (|\nu_1\rangle + |\nu_2\rangle)$$

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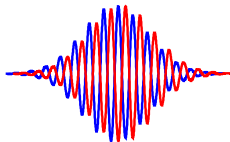
# Neutrino oscillations



$$|\nu_\alpha\rangle = \frac{1}{\sqrt{2}} (|\nu_1\rangle + |\nu_2\rangle)$$

$$m_2 > m_1$$

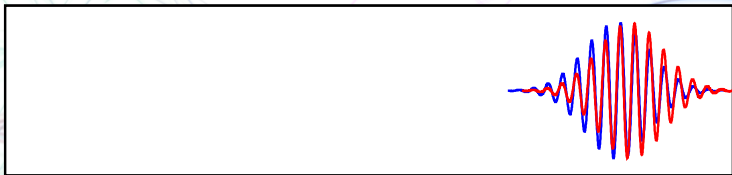
# Neutrino oscillations



$$|\nu_\alpha\rangle = \frac{1}{\sqrt{2}} (|\nu_1\rangle + |\nu_2\rangle) \quad |\nu_\beta\rangle = \frac{1}{\sqrt{2}} (|\nu_1\rangle - |\nu_2\rangle) \quad m_2 > m_1$$

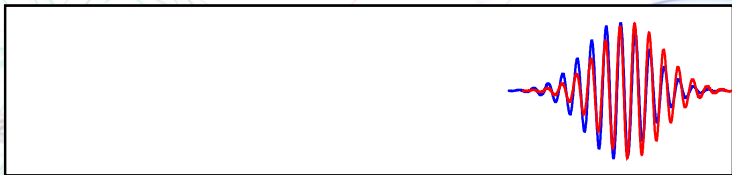


# Neutrino oscillations

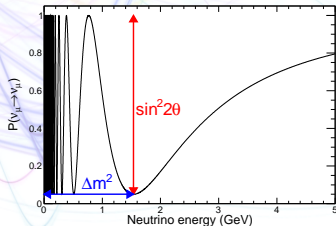
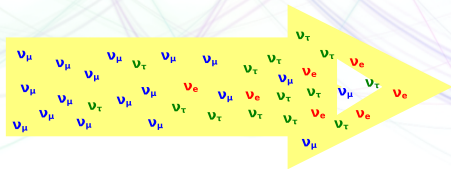


$$|\nu_\alpha\rangle = \frac{1}{\sqrt{2}} (|\nu_1\rangle + |\nu_2\rangle) \quad |\nu_\beta\rangle = \frac{1}{\sqrt{2}} (|\nu_1\rangle - |\nu_2\rangle) \quad m_2 > m_1$$

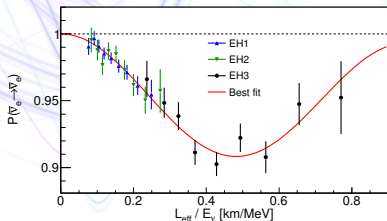
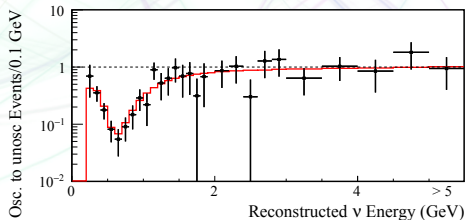
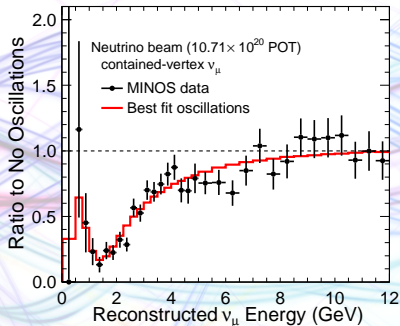
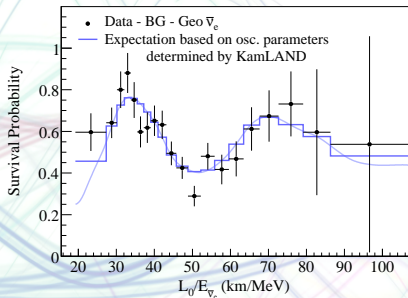
# Neutrino oscillations



$$|\nu_\alpha\rangle = \cos\theta|\nu_1\rangle + \sin\theta|\nu_2\rangle \quad \rightarrow \quad P(\nu_\alpha \rightarrow \nu_\alpha) = 1 - \sin^2 2\theta \sin^2\left(\frac{\Delta m^2 L}{4E}\right)$$



# Oscillation structure



# Current world knowledge

$$U = \begin{bmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{bmatrix} \begin{bmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{bmatrix} \begin{bmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$\theta_{23} \sim 45^\circ$$

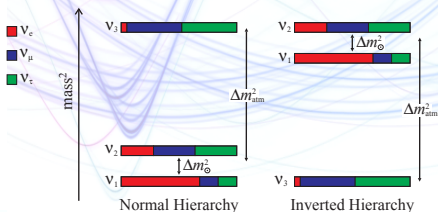
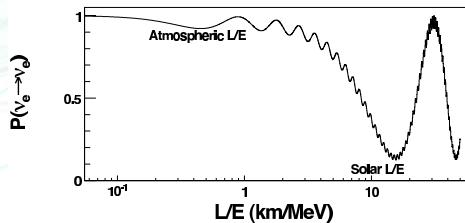
$$\Delta m_{32}^2 \sim \pm 2.5 \times 10^{-3} \text{eV}^2$$

$$\theta_{13} \sim 8.5^\circ$$

$$\delta_{CP} = ?$$

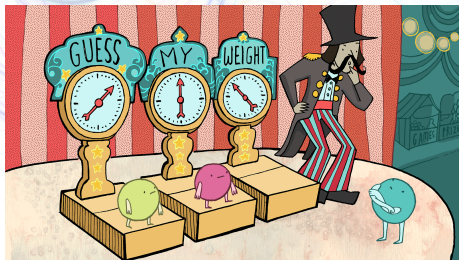
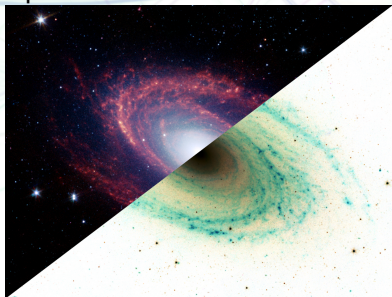
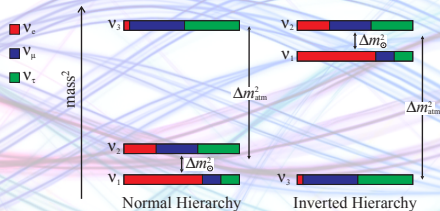
$$\theta_{12} \sim 33^\circ$$

$$\Delta m_{21}^2 \sim 7.5 \times 10^{-5} \text{eV}^2$$



# Open neutrino questions

- ▶ Dirac or Majorana?
  - ▶ Is  $\bar{\nu}$  just a right-handed  $\nu$ ?
- ▶ Absolute masses
- ▶ **CP-violation?**
  - ▶ Do  $\nu$  and  $\bar{\nu}$  oscillations differ?
- ▶ Ordering of the mass states
- ▶ Random mixing parameters, or patterns?



# What do we need?

- ▶ Requirements for neutrino oscillation experiment
  - ▶ High power neutrino source
  - ▶ Large detector
  - ▶ Good resolution of signal from background
  - ▶ Good control of systematic uncertainties

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- ▶ Requirements for neutrino oscillation experiment
  - ▶ High power neutrino source
  - ▶ Large detector
  - ▶ Good resolution of signal from background
  - ▶ Good control of systematic uncertainties
- ▶ For mass ordering and CP-violation
  - ▶ Both disappearance ( $\nu_\mu \rightarrow \nu_\mu$ ) and appearance ( $\nu_\mu \rightarrow \nu_e$ ) modes
  - ▶ Long baseline
  - ▶ Ability to study neutrinos and antineutrinos

# The NOvA collaboration



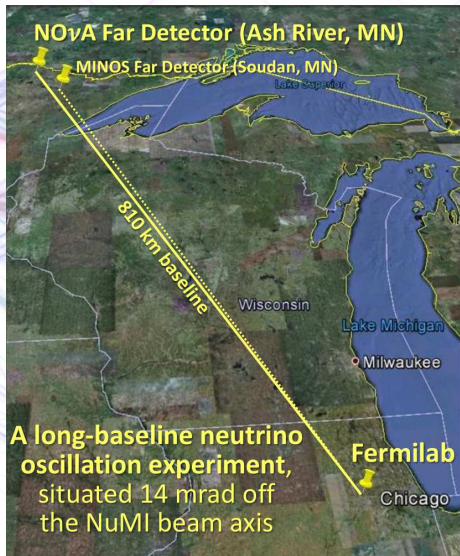
**47 institutions, 7 countries, over 200 collaborators**

Argonne, Atlantico, Austin, Banaras Hindu, Caltech, CUSAT, Czech Academy of Sciences, Charles, Cincinnati, Colorado State, Czech Technical University, Dallas, Delhi, Dubna, Fermilab, Goias, IIT-Guwahati, Harvard, Houston, IIT-Hyderabad, Hyderabad, Illinois Institute of Technology, Indiana, Iowa State, Irvine, Jammu, Lebedev, Michigan State, Minnesota-Twin Cities, Minnesota-Duluth, INR Moscow, NISR, Panjab, Pittsburg, South Alabama, SDMT, South Carolina, SMU, Stanford, Sussex, Tennessee, Tufts, UCL, Virginia, Wichita State, William and Mary, Winona State.

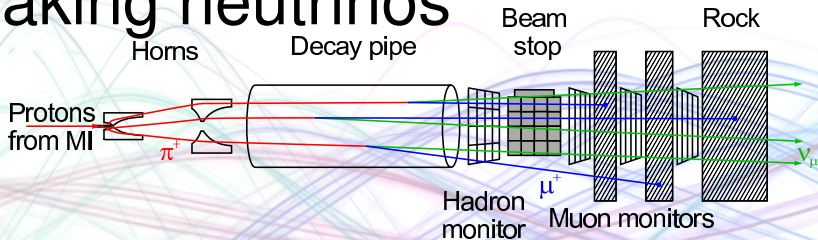


# NOvA 10,000ft view

- ▶  $\nu_\mu$  beam from Fermilab, IL
- ▶ Detector 810km away in MN
- ▶ Smaller detector onsite to measure flux before oscillations
  - ▶  $\nu_\mu \rightarrow \nu_\mu$
  - ▶  $\bar{\nu}_\mu \rightarrow \bar{\nu}_\mu$
  - ▶  $\nu_\mu \rightarrow \nu_e$
  - ▶  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$
- ▶ Precision measurements of  $|\Delta m_{32}^2|$  and  $\theta_{23}$
- ▶ Determine the mass hierarchy
- ▶ Search for  $\sin \delta_{CP} \neq 0$

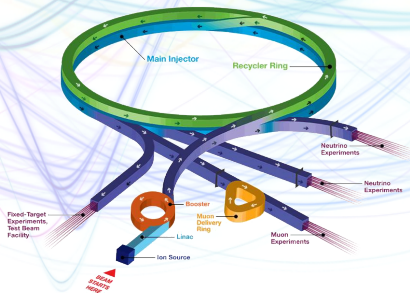


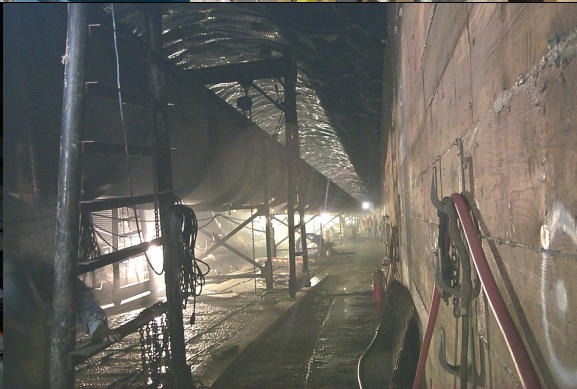
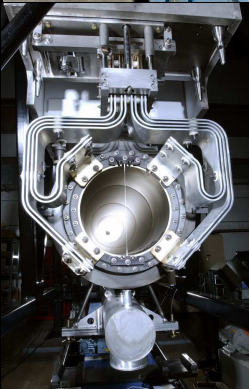
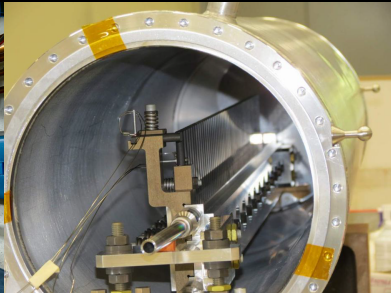
# Making neutrinos



- ▶ 120 GeV protons from Main Injector
- ▶ Strike graphite target
- ▶ Produce mainly  $\pi^\pm$  and  $K^\pm$
- ▶ Focused by two magnetic horns
- ▶ Allow us to select charge sign for a neutrino or antineutrino beam
- ▶ 675m decay-pipe:  $\pi^+ \rightarrow \mu^+ + \nu_\mu$
- ▶ Muons absorbed by rock

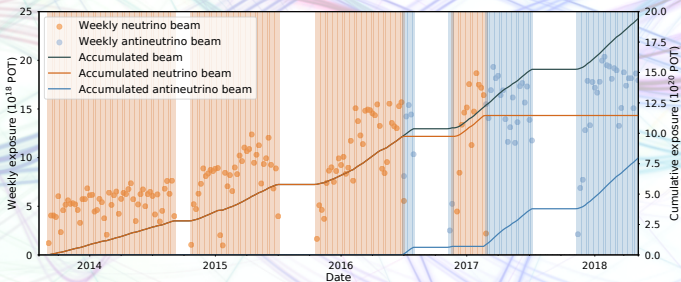
Fermilab Accelerator Complex





# NuMI performance

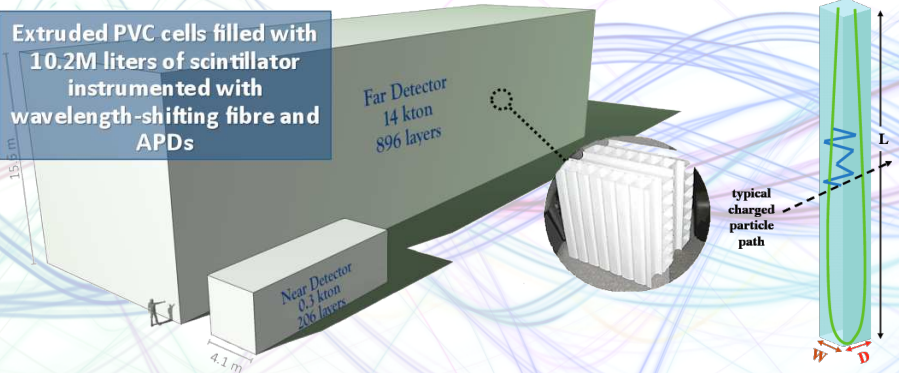
- ▶ 700kW design power since Jun 2017
- ▶ World's highest power neutrino beam,  $\sim 4 \times 10^{13}$  protons / pulse



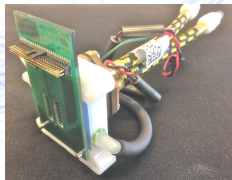
- ▶ Neutrino data from Feb 2014 to Feb 2017 –  $8.85 \times 10^{20}$  POT
- ▶ *Antineutrino* data from Feb 2017 to Apr 2018 –  $6.9 \times 10^{20}$  POT
- ▶ Approx  $6 \times 10^{20}$  POT / yr going forward

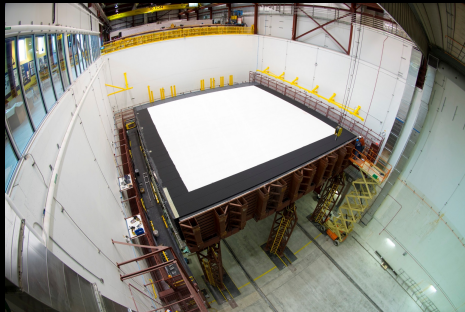
# Detector technology

Extruded PVC cells filled with  
10.2M liters of scintillator  
instrumented with  
wavelength-shifting fibre and  
APDs



- ▶ 64% liquid scintillator by mass
- ▶  $4 \times 6$  cm resolution, two views for 3D reco.
- ▶ 344,000 channels in 14 kton FD, on surface
- ▶ 300 ton ND, underground at FNAL

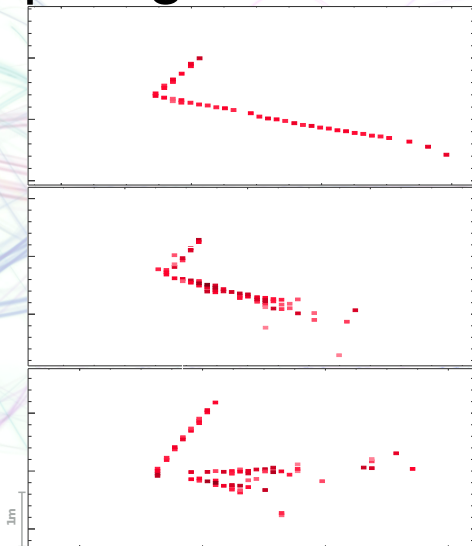




# Near Detector



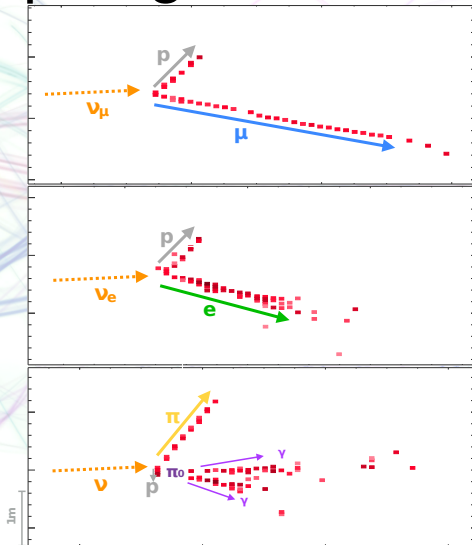
# Event topologies



- ▶ Very good granularity, especially considering scale
- ▶  $X_0 = 38\text{cm}$  (6 cell depths, 10 cell widths)

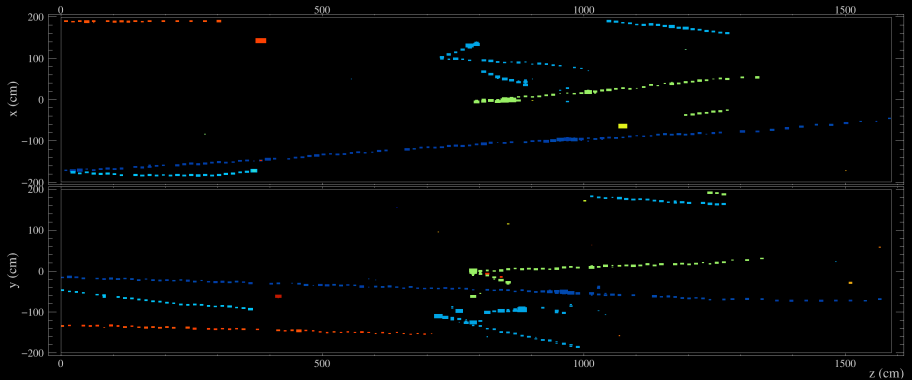


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# ND neutrinos



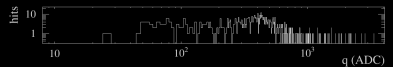
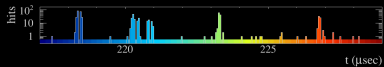
NOvA - FNAL E929

Run: 10407 / 1

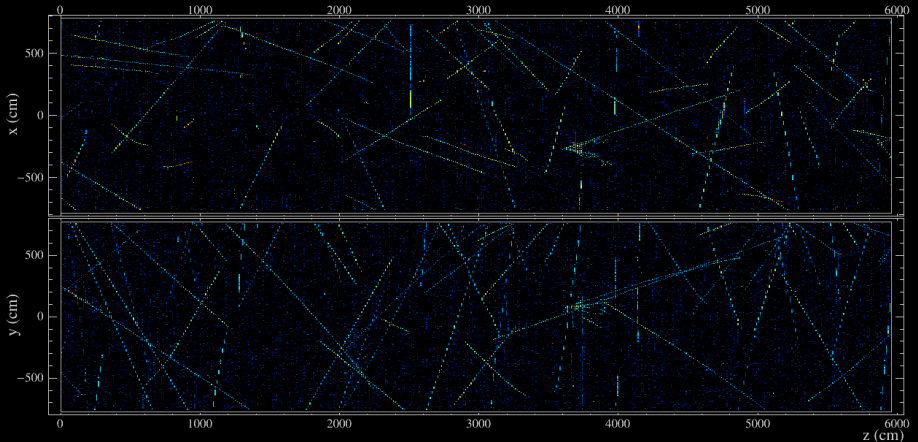
Event: 27950 / --

UTC Thu Sep 4, 2014

05:28:44.034495968



# FD neutrinos



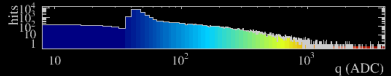
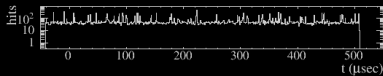
NOvA - FNAL E929

Run: 18620 / 13

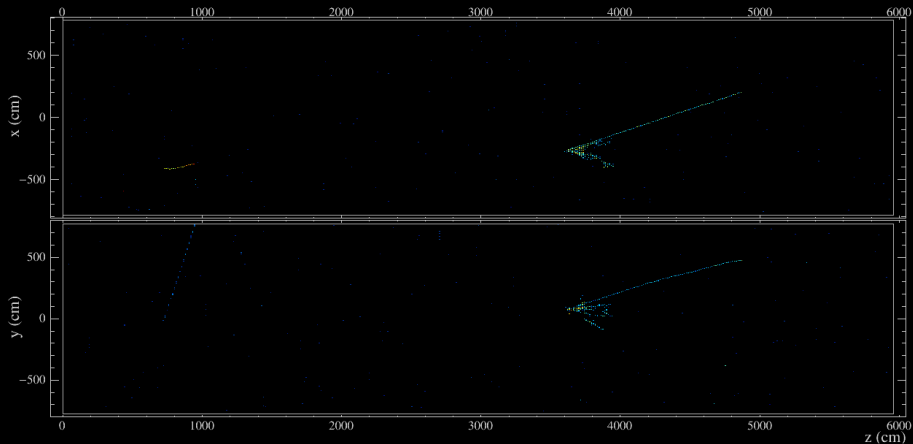
Event: 178402 / --

UTC Fri Jan 9, 2015

00:13:53.087341608



# FD neutrinos



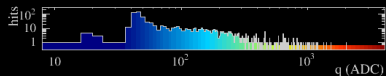
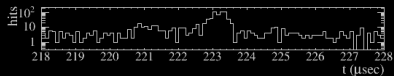
NOvA - FNAL E929

Run: 18620 / 13

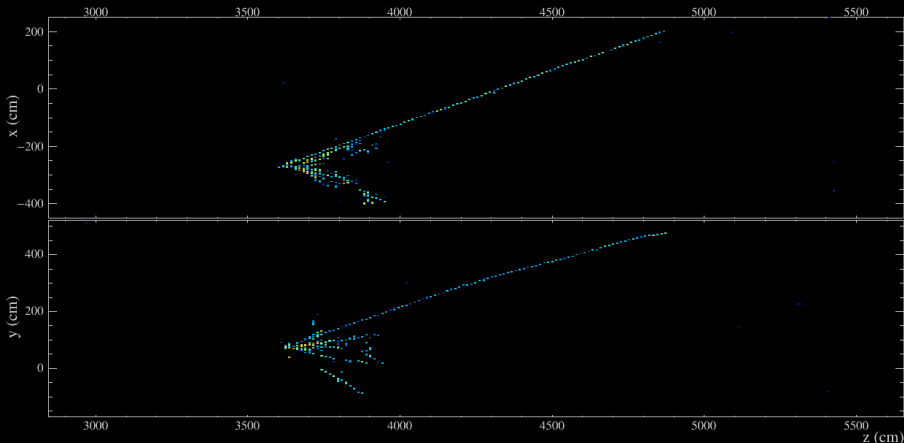
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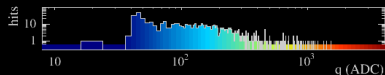
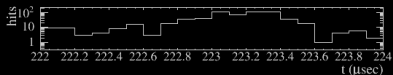
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Run: 18620 / 13

Event: 178402 / --

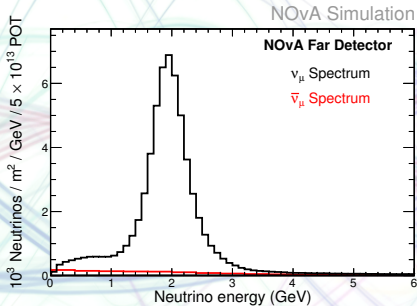
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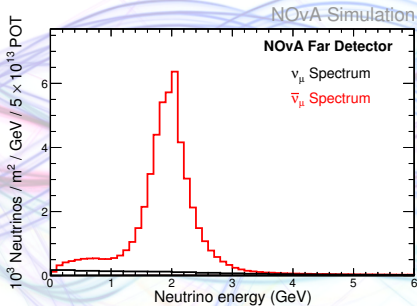


# Neutrino vs antineutrino mode

Neutrino beam

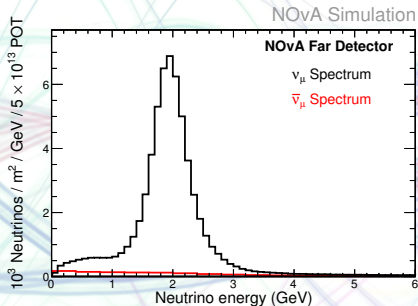


Antineutrino beam

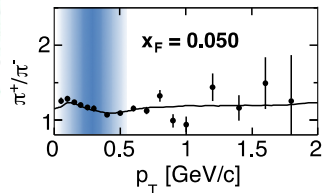
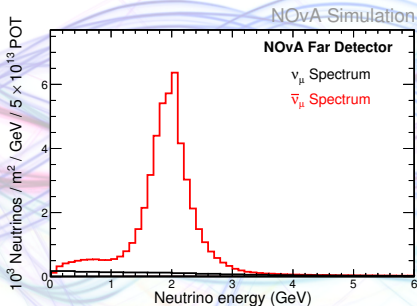


# Neutrino vs antineutrino mode

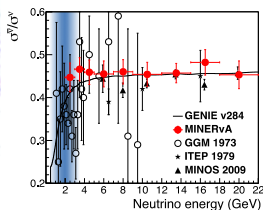
Neutrino beam



Antineutrino beam



NA49, Eur. Phys. J. C 49 897 (2007)  
NOvA Focusing Peak

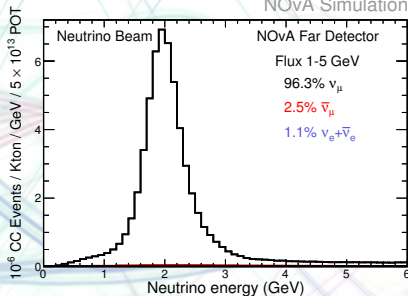


MINERvA, Phys.Rev. D95 (2017) no.7, 072009  
NOvA Focusing Peak

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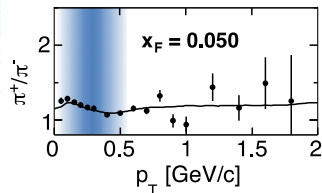
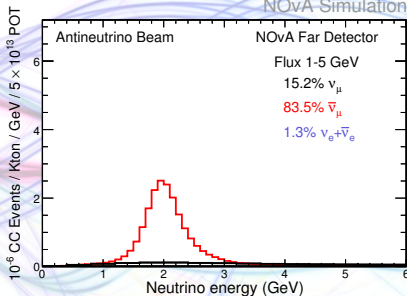
Neutrino beam

NOvA Simulation



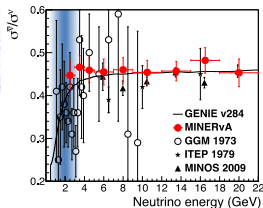
Antineutrino beam

NOvA Simulation



NA49, Eur. Phys. J. C 49 897 (2007)

NOvA Focusing Peak



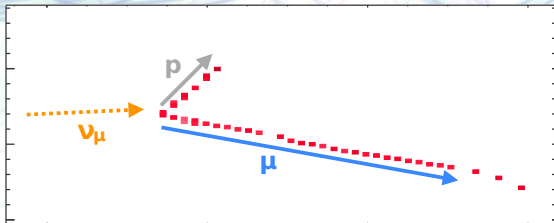
MINERvA, Phys.Rev. D95 (2017) no.7, 072009

NOvA Focusing Peak



# Principle of the $\nu_\mu$ measurement

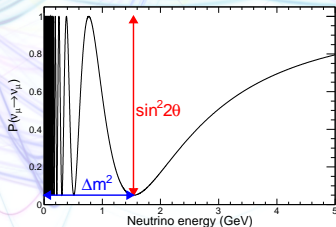
- ▶ Separate  $\nu_\mu$  CC interactions from backgrounds
  - ▶ Long muon track with distinctive  $dE/dx$  easy to spot
- ▶ Extrapolate observed ND spectrum to make FD unosc. prediction
- ▶ Measure shape of  $\nu_\mu$  deficit in the FD



# Principle of the $\nu_\mu$ measurement



- ▶ Separate  $\nu_\mu$  CC interactions from backgrounds
  - ▶ Long muon track with distinctive  $dE/dx$  easy to spot
- ▶ Extrapolate observed ND spectrum to make FD unosc. prediction
- ▶ Measure shape of  $\nu_\mu$  deficit in the FD
  
- ▶ Two flavor approx. works well here
- ▶  $P_{\mu\mu} \approx 1 - \sin^2 2\theta_{23} \sin^2 \left( \frac{\Delta m_{32}^2 L}{4E} \right)$
- ▶  $\theta_{23} \approx 45^\circ \rightarrow$  almost all  $\nu_\mu$  expected to disappear at oscillation max.



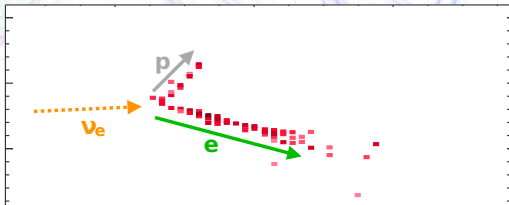
# Mixing patterns



- ▶ Only a small fraction of  $\nu_e$  in  $|\nu_3\rangle$  ( $\sin^2 2\theta_{13}$ )
- ▶ The remainder is split  $\sim 50/50$   $\nu_\mu/\nu_\tau$  ( $\sin^2 \theta_{23}$ )
- ▶ Accident? Or a sign of underlying structure?
  
- ▶ Is  $\theta_{23}$  exactly  $45^\circ$ ?
- ▶ If not, is it...
  - ▶  $< 45^\circ$  ( $|\nu_3\rangle$  more  $\nu_\tau$ , like the quarks)
  - ▶  $> 45^\circ$  ( $|\nu_3\rangle$  more  $\nu_\mu$ , unlike quarks)

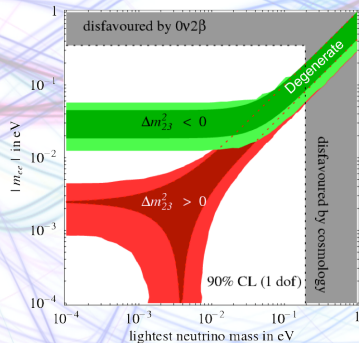
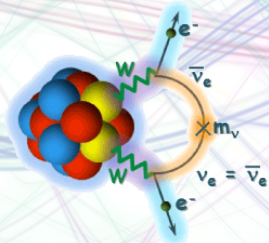
# Principle of the $\nu_e$ measurement

- ▶ Separate  $\nu_e$  CC interactions from beam backgrounds
  - ▶ More challenging than  $\nu_\mu$  CC selection
- ▶ Evaluate remaining backgrounds in ND
  - ▶ Intrinsic beam  $\nu_e$
  - ▶ Neutral currents
  - ▶  $\nu_\mu$  CC – mostly oscillates away
- ▶ An excess in the FD is the sign of  $\nu_\mu \rightarrow \nu_e$  oscillations



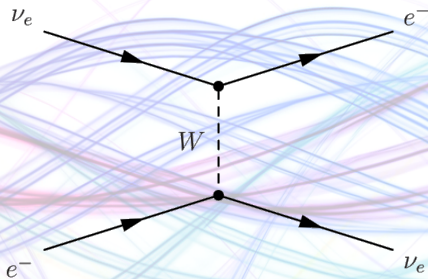
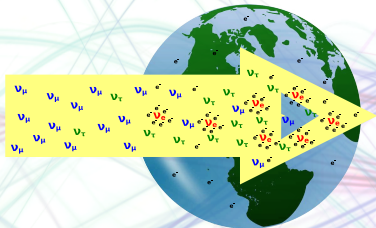
# Why hierarchy?

- ▶ Is the electron-like state lightest?
- ▶ *i.e.* Does the pattern of the masses match the charged leptons?

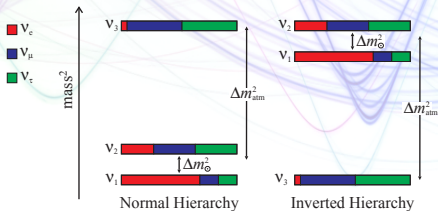


- ▶ Are neutrinos Majorana particles ( $\nu = \bar{\nu}$ )?
- ▶ Observation of  $0\nu\beta\beta$  would be proof they are
- ▶ Impact of **IH** determination: lack of  $0\nu\beta\beta$  implies Dirac nature

# Matter effects

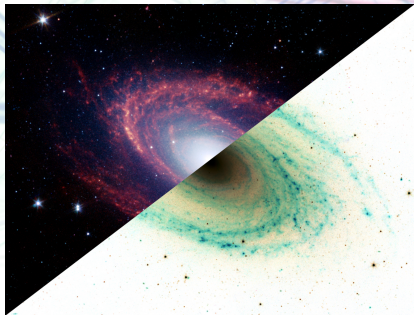


- ▶ Electrons in the Earth drag on the “electron” neutrino states
- ▶ Sign of the effect opposite for antineutrinos and for NH/IH



# Neutrino/antineutrino symmetry

- ▶ Does  $P(\nu_\mu \rightarrow \nu_e) = P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$ ?
- ▶ Insight into fundamental symmetries of the lepton sector
- ▶ “CP violation” – described by oscillation parameter  $\delta_{CP}$

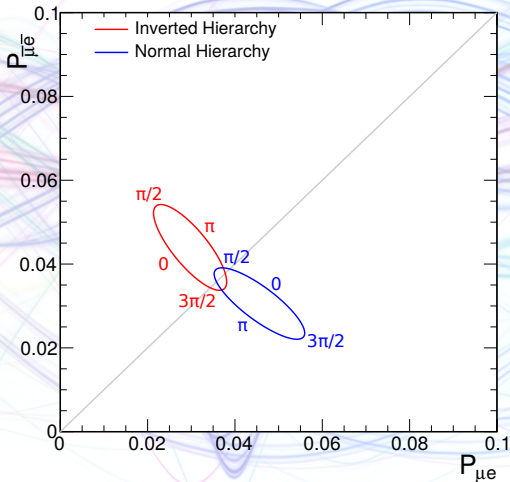


- ▶ Why is the universe not equal parts matter and antimatter?
- ▶ Need ppb early universe asymm.
- ▶ Existing CP-violation insufficient
- ▶ “*Leptogenesis*”: generate  $\nu/\bar{\nu}$  imbalance, transfer to baryons

- ▶ Require neutrino **appearance** experiment to discover

# Principle of the $\nu_e$ measurement

- ▶ To first order, NOvA measures  $P(\nu_\mu \rightarrow \nu_e)$  and  $P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$  evaluated at 2GeV
- ▶ These depend differently on  $\text{sign}(\Delta m_{32}^2)$  and  $\delta_{CP}$



$$P_{\mu e} \approx \sin^2 2\theta_{13} \sin^2 \theta_{23} \sin^2 \left( \frac{\Delta m_{32}^2 L}{4E} \right) + f(\text{sign}(\Delta m_{32}^2)) + f(\delta_{CP})$$

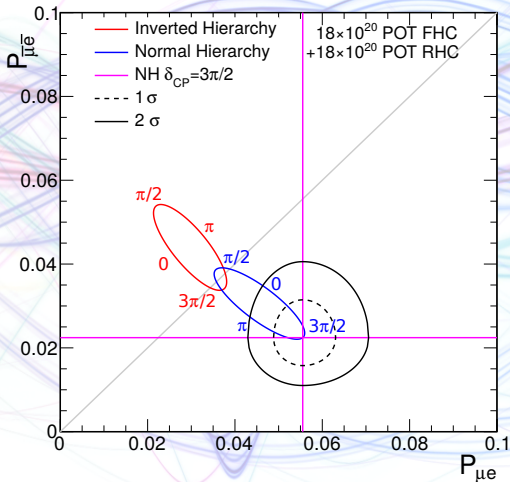


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- ▶ Ultimately constrain to some region of this space



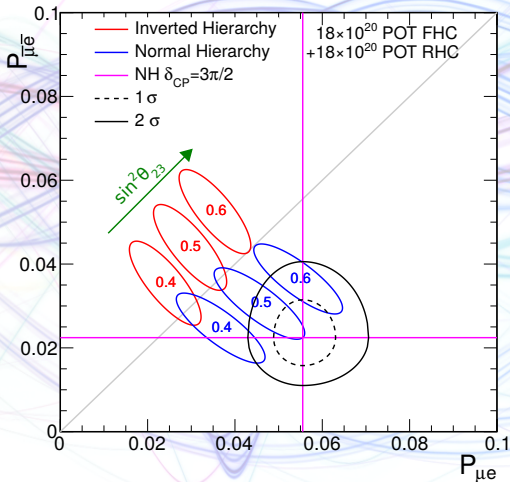
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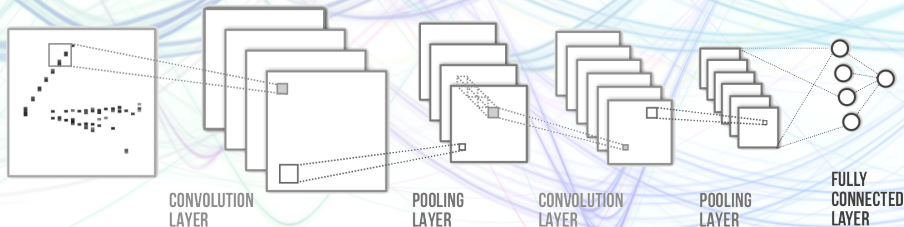
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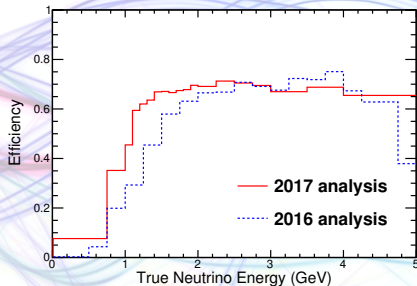
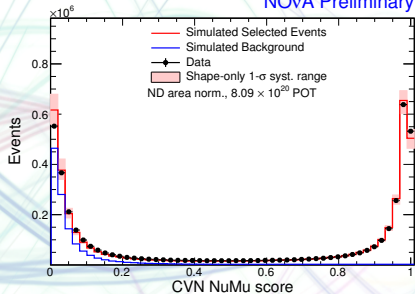
# Event selection

- ▶ Events classified as  $\nu_e / \nu_\mu / \text{NC}$  by Convolutional Neural Network
- ▶ Deep Learning technique from computer vision research
- ▶ Treat the whole event as an input “image”
- ▶ Improvement in performance equivalent to 30% more data



# Selecting muon neutrinos

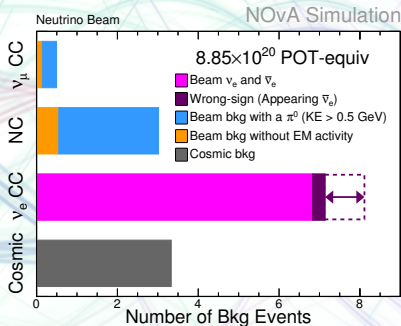
NOvA Preliminary



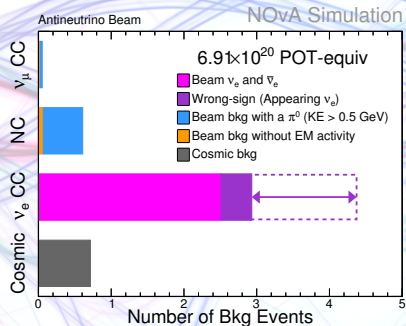
- ▶ Also have to reject cosmic rays, use containment, dir. and size
- ▶ Factor  $10^5$  from  $10\mu\text{s}$  spill window vs 1Hz beam,  $10^7$  from cuts
- ▶ Achieve 98.6% pure FD  $\nu_\mu$  CC sample, 78% efficiency

# Selecting electron neutrinos

## Neutrino mode

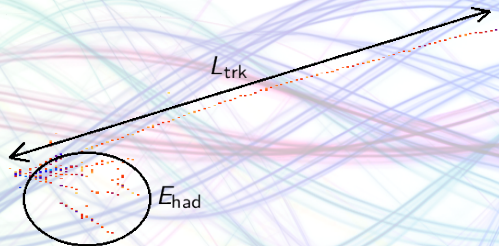


## Antineutrino mode



- ▶ Biggest background is intrinsic beam  $\nu_e$ 's
- ▶ “Wrong sign” appearing neutrinos significant in antineutrino mode
- ▶ Majority of other background contains a  $\pi^0$

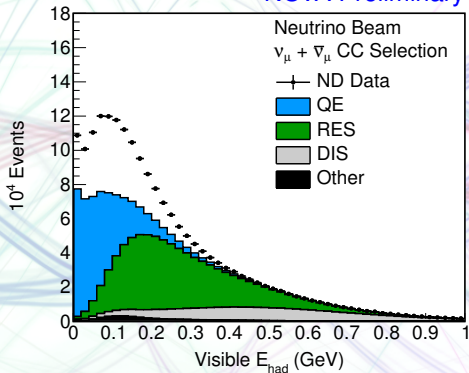
# $\nu_\mu$ energy estimation



- ▶ Estimate energy of selected events to trace out osc. structure
- ▶ Known muon  $dE/dx \rightarrow E_\mu = f(L_{trk}) \sim k \times L_{trk}$
- ▶ Hadronic part of the event estimated calorimetrically
- ▶  $E_\nu = f(L_{trk}) + E_{had}$

# Near detector – $\nu_\mu$

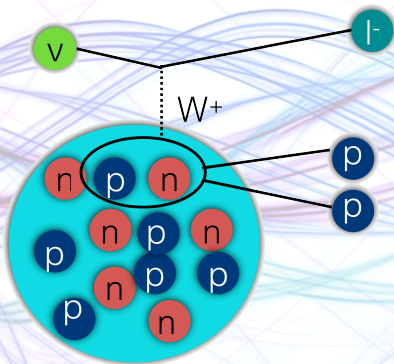
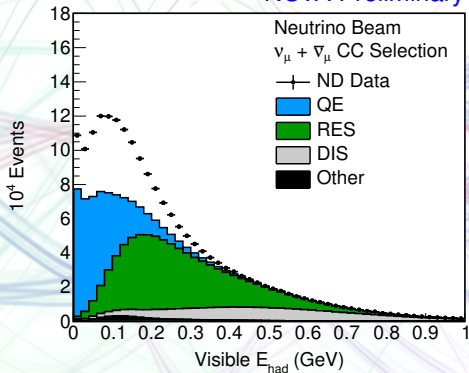
NOvA Preliminary



- Clear deficit in NOvA ND simulation (also seen by MINERvA)

# Near detector – $\nu_\mu$

NOvA Preliminary

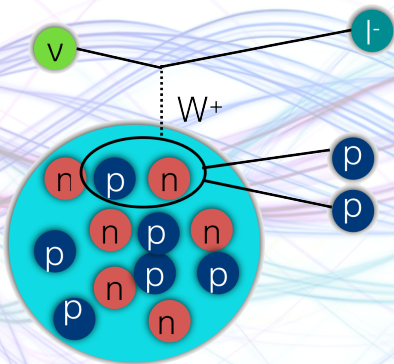
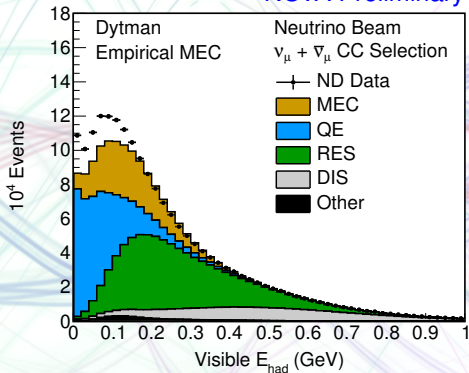


- ▶ Clear deficit in NOvA ND simulation (also seen by MINERvA)
- ▶ Attributed to inter-nucleon correlations (“2p2h”)



# Near detector – $\nu_\mu$

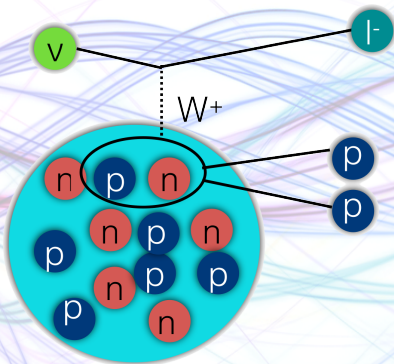
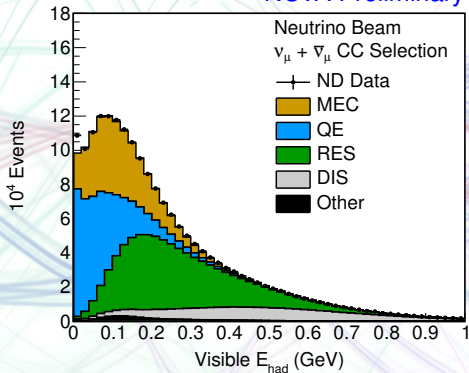
NOvA Preliminary



- ▶ Clear deficit in NOvA ND simulation (also seen by MINERvA)
- ▶ Attributed to inter-nucleon correlations (“2p2h”)
- ▶ Enable GENIE’s “empirical Meson Exchange Current” model

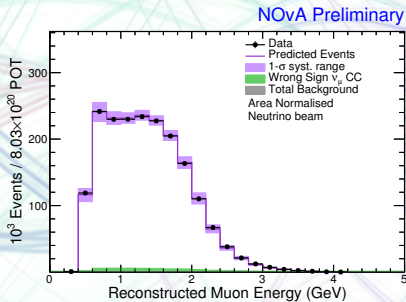
# Near detector – $\nu_\mu$

NOvA Preliminary

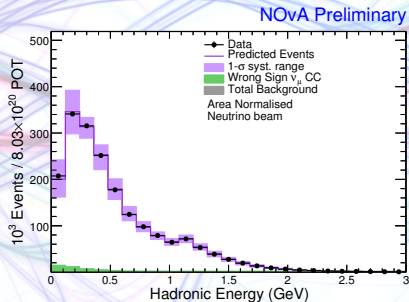


- ▶ Clear deficit in NOvA ND simulation (also seen by MINERvA)
- ▶ Attributed to inter-nucleon correlations (“2p2h”)
- ▶ Enable GENIE’s “empirical Meson Exchange Current” model
- ▶ Tune to match our data in  $(q^0, |\vec{q}|)$  space
- ▶ Evaluate uncertainties by repeating tune on top of more-QE-like and more-RES-like simulations

# $\nu_\mu$ energy estimation



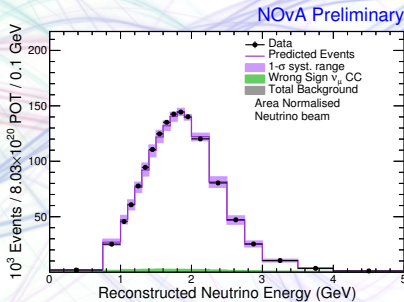
(~3%)



(~30%)

- Good data/MC agreement for muon neutrino selected events

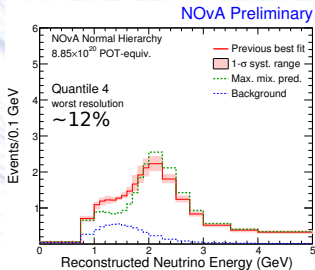
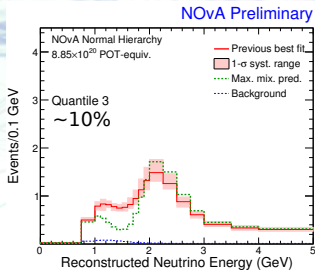
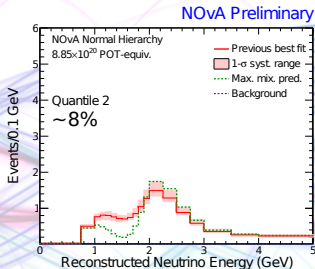
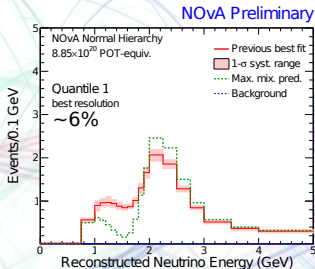
# $\nu_\mu$ energy estimation



(~9%)

- ▶ Good data/MC agreement for muon neutrino selected events

# $\nu_\mu$ resolution bins

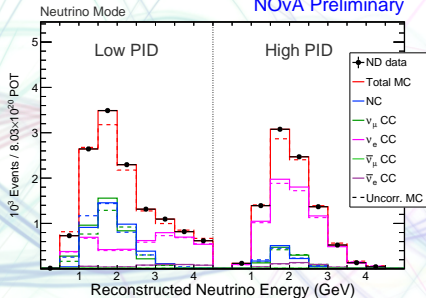


- ▶ Bin into 4 equal quantiles by hadronic energy fraction
- ▶ Energy resolution varies from  $\sim 6\%$  to  $\sim 12\%$  between bins

# Near detector – $\nu_e$

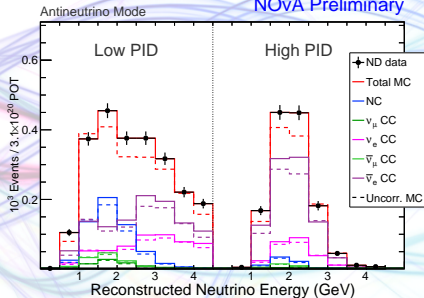
## Neutrino mode

NOvA Preliminary



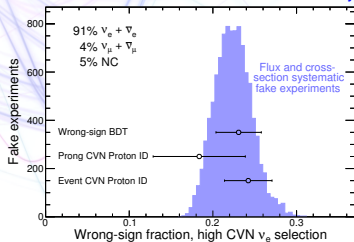
## Antineutrino mode

NOvA Preliminary

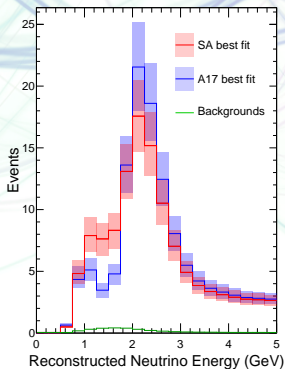
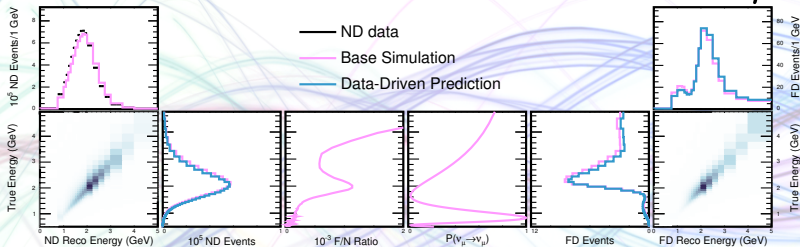


- ▶  $\nu_e$  spectra split into low and high confidence bins
- ▶ FD spectrum will have additional “peripheral” bin
- ▶ “Decompose”  $\nu$  mode to  $\text{NC} + \nu_\mu + \nu_e$
- ▶ In  $\bar{\nu}$ , check  $\nu$  contamination

NOvA Preliminary

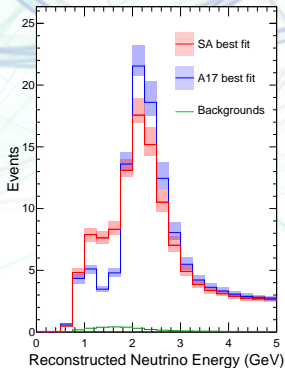
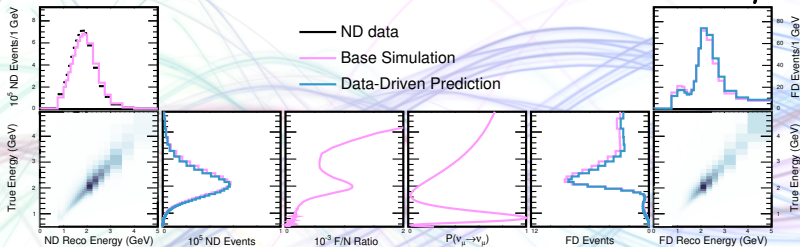


# Extrapolation procedure – $\nu_\mu$



- ▶ Translate ND observations to true energy
- ▶ Transport to far detector and oscillate
- ▶ Smear back to reco energy
- ▶ Cosmics prediction from out-of-time data

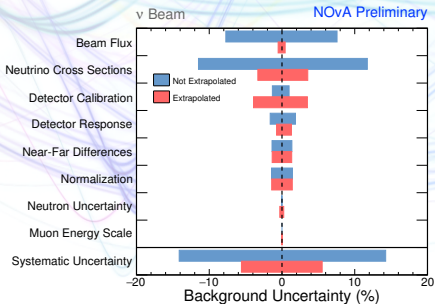
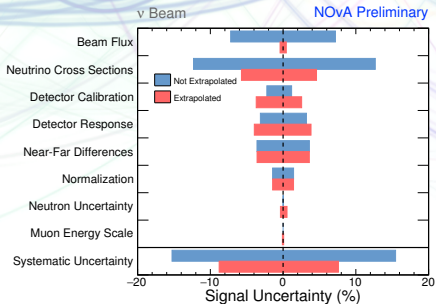
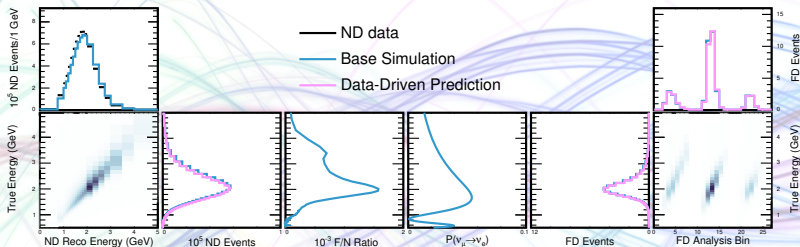
# Extrapolation procedure – $\nu_\mu$



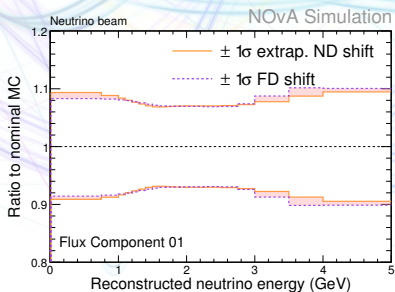
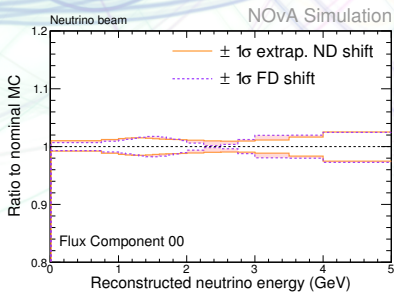
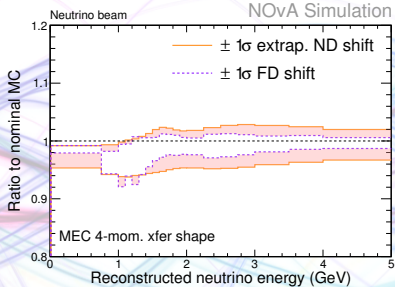
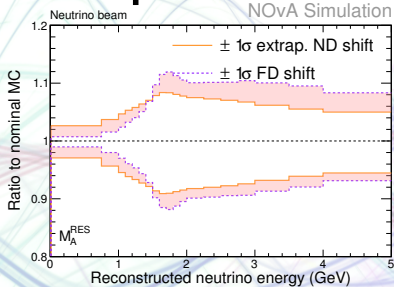
- ▶ Translate ND observations to true energy
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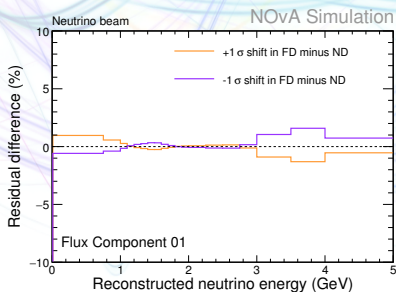
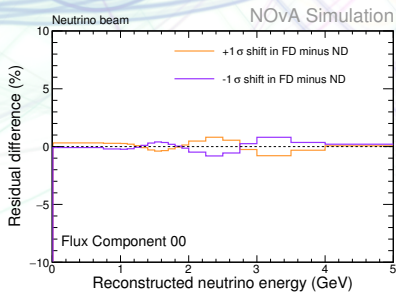
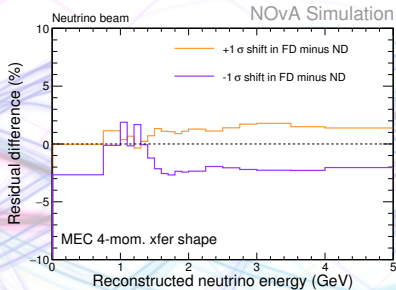
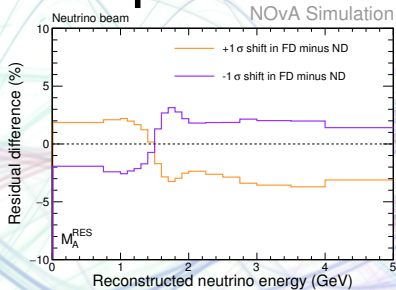
# Extrapolation procedure – $\nu_e$



# Extrapolation tests



# Extrapolation tests

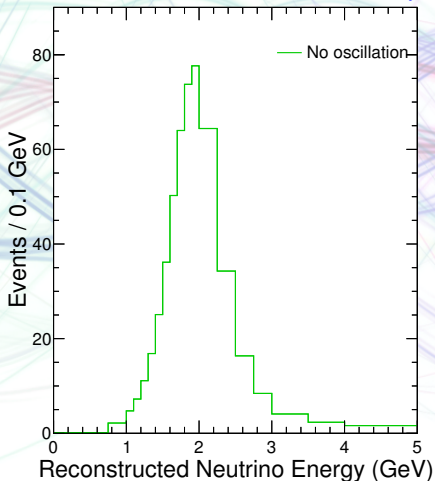


# $\nu_\mu$ spectra

## Neutrino mode

Neutrino beam

NOvA Preliminary

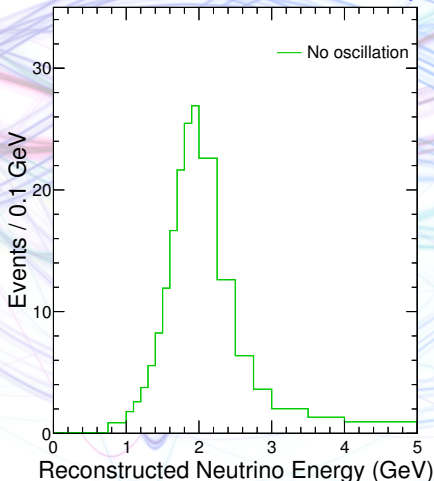


► Expect 730 w/o oscillations

## Antineutrino mode

Antineutrino beam

NOvA Preliminary



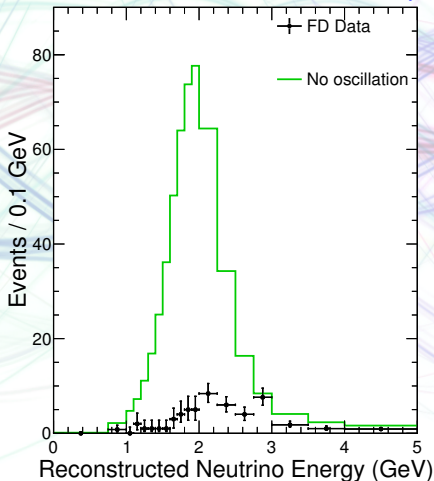
► Expect 266 w/o oscillations

# $\nu_{\mu}$ spectra

## Neutrino mode

Neutrino beam

NOvA Preliminary

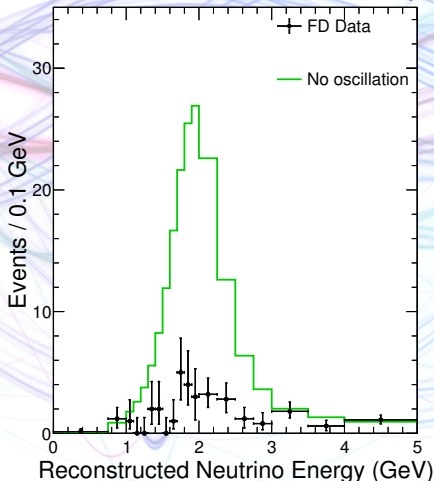


- ▶ Expect 730 w/o oscillations
- ▶ Observe 113 events

## Antineutrino mode

Antineutrino beam

NOvA Preliminary



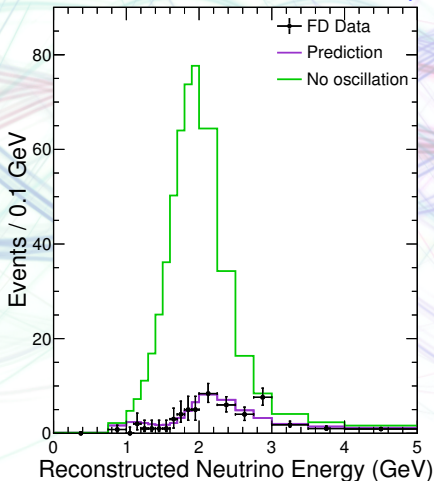
- ▶ Expect 266 w/o oscillations
- ▶ Observe 65 events

# $\nu_\mu$ spectra

## Neutrino mode

Neutrino beam

NOvA Preliminary

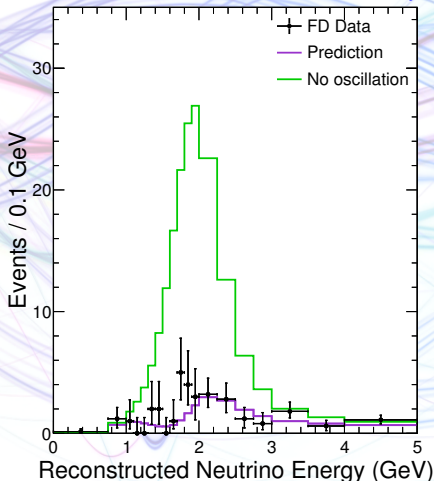


- ▶ Expect 730 w/o oscillations
- ▶ Observe 113 events

## Antineutrino mode

Antineutrino beam

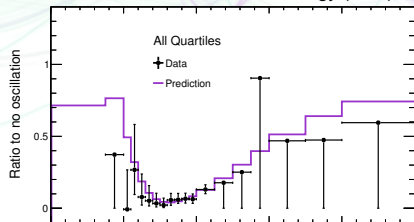
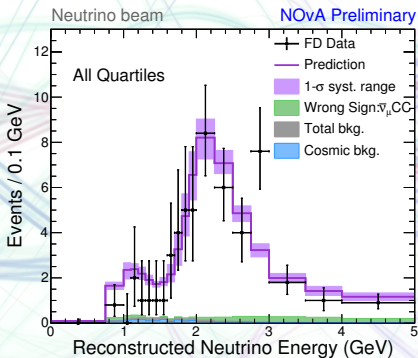
NOvA Preliminary



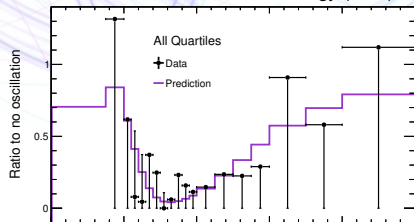
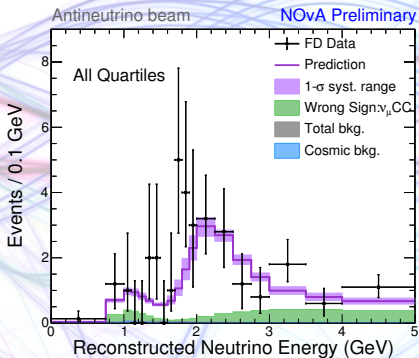
- ▶ Expect 266 w/o oscillations
- ▶ Observe 65 events

# $\nu_\mu$ spectra

## Neutrino mode

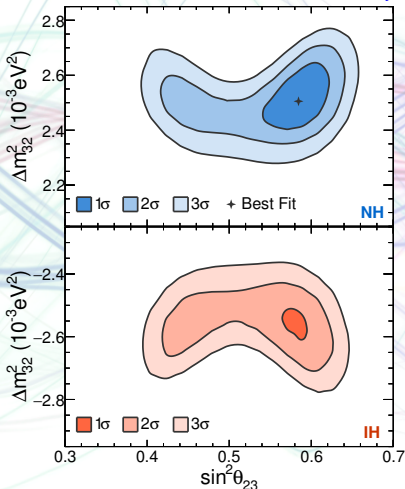


## Antineutrino mode



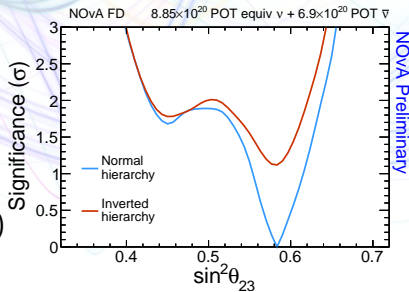
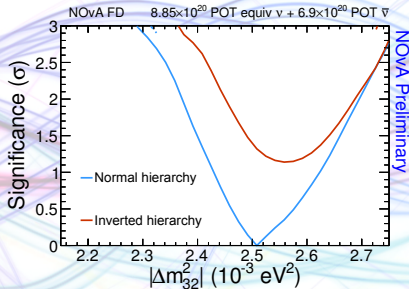
# $\Delta m^2$ and $\sin^2 \theta$

NOvA Preliminary



$$\Delta m_{32}^2 = 2.51^{+0.12}_{-0.08} \times 10^{-3} \text{eV}^2 \text{ (NH)}$$

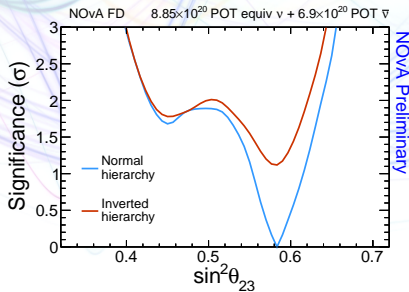
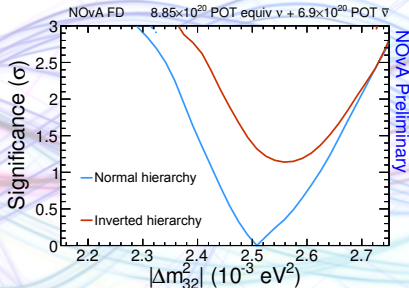
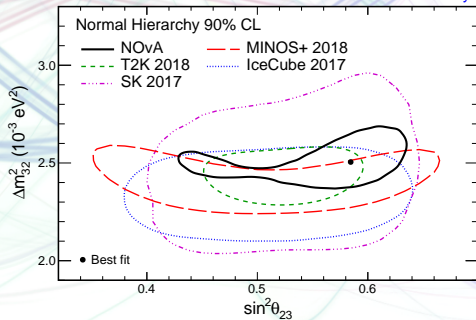
$$\sin^2 \theta_{23} = 0.58 \pm 0.03 \text{ (UO)}$$





# $\Delta m^2$ and $\sin^2 \theta$

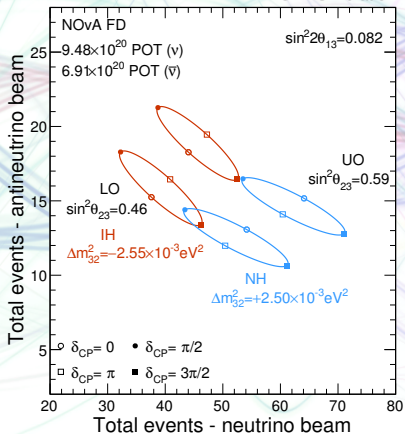
NOvA Preliminary



# $\nu_e$ spectra

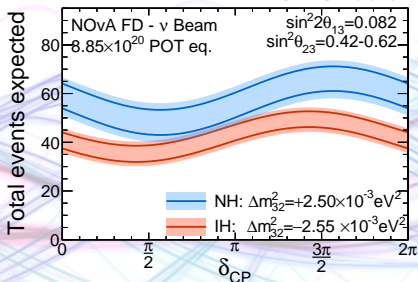
## Neutrino vs antineutrino

NOvA Simulation



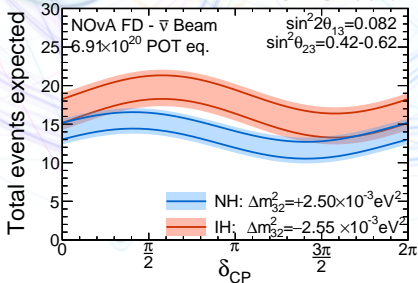
## Neutrino mode

NOvA Simulation



## Antineutrino mode

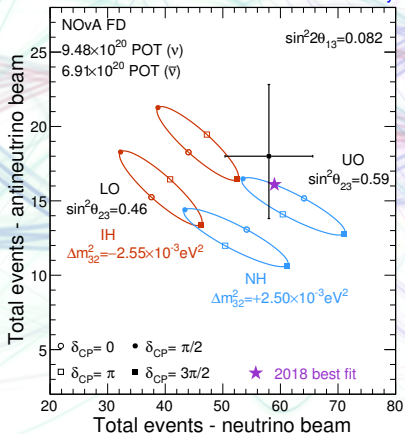
NOvA Simulation



# $\nu_e$ spectra

## Neutrino vs antineutrino

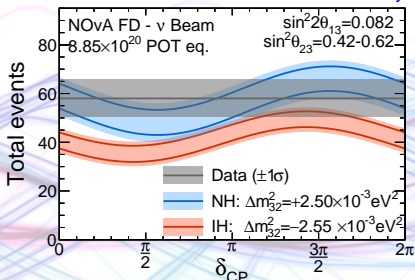
NOvA Preliminary



► Observe 58  $\nu_e$  and 18  $\bar{\nu}_e$

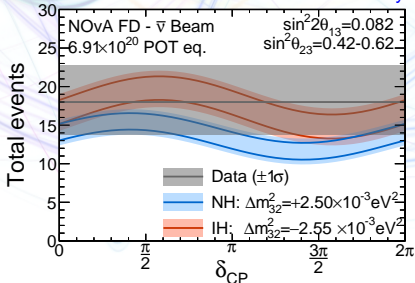
## Neutrino mode

NOvA Preliminary



## Antineutrino mode

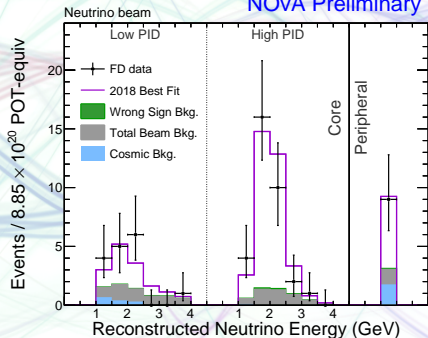
NOvA Preliminary



# $\nu_e$ spectra

## Neutrino mode

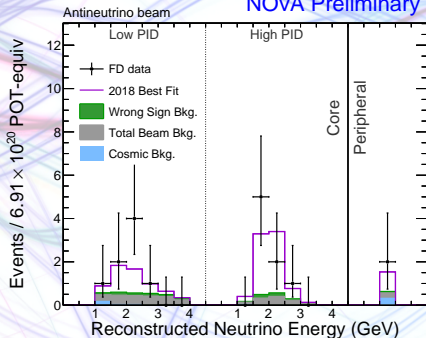
NOvA Preliminary



- ▶ Observe 58 events
- ▶ Expect 15 background

## Antineutrino mode

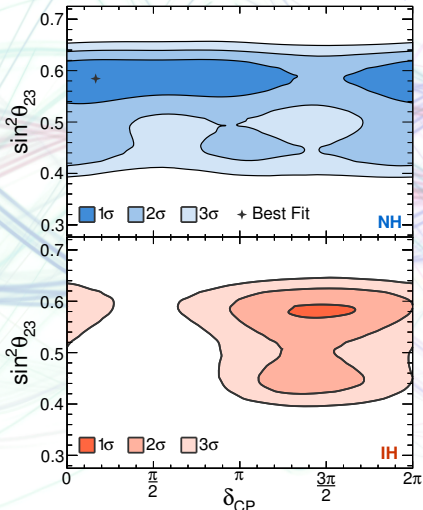
NOvA Preliminary



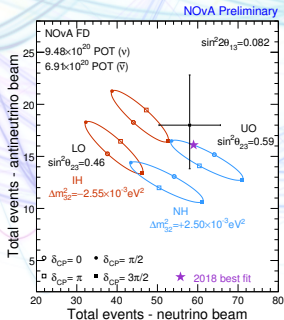
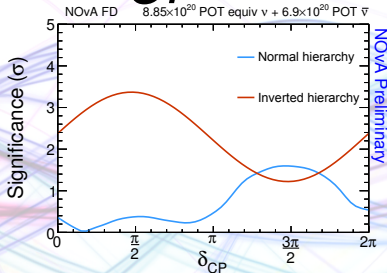
- ▶ Observe 18 events
- ▶ Expect 5.3 background

# Mass hierarchy and $\delta_{CP}$

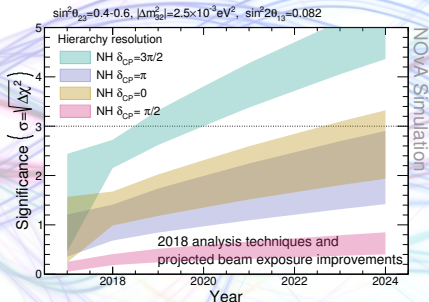
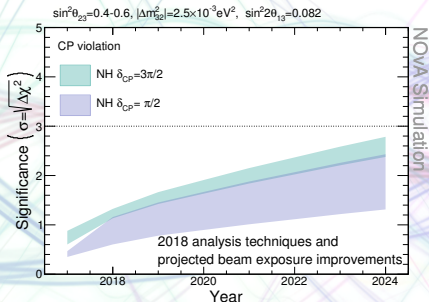
NOvA Preliminary



- ▶ Prefer NH by 1.8 $\sigma$
- ▶ Exclude  $\delta_{CP} = \frac{3\pi}{2}$  in IH at  $> 3\sigma$



# Future



- ▶ *CP*-violation remains challenging for NOvA
- ▶ But could have  $>4\sigma$  determination of the hierarchy!
  - ▶ Strongly dependent on true parameters (degeneracies)
  - ▶ Global best-fit is for the most favourable scenario

# Conclusion

- ▶ Presented first NOvA neutrino+antineutrino results
- ▶  $4\sigma$  evidence for  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  oscillations
- ▶ Prefer NH at  $1.8\sigma$
- ▶ Exclude IH,  $\delta_{CP} = \pi/2$  at  $>3\sigma$
- ▶ Disfavours maximal mixing by  $1.8\sigma$  and lower octant similarly
- ▶  $3\sigma$  sensitivity to mass hierarchy by 2020
- ▶ Stay tuned!



[www-nova.fnal.gov](http://www-nova.fnal.gov)

Thank you!

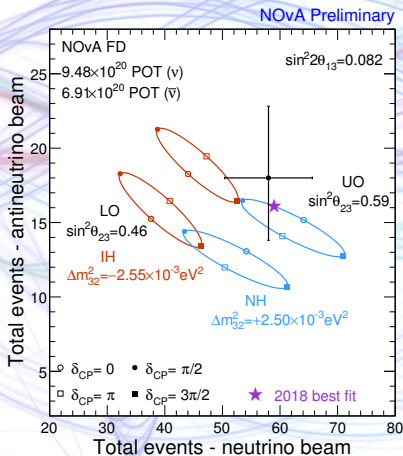
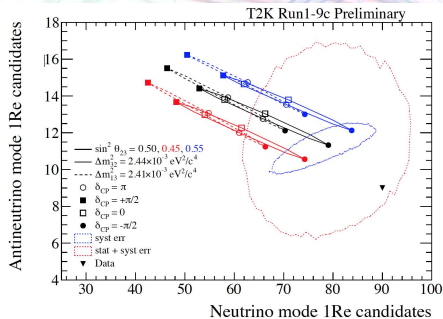




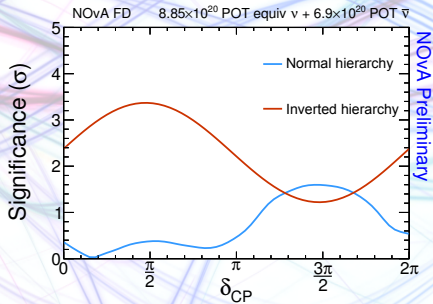
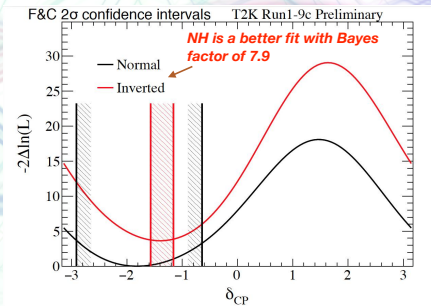
# Backup



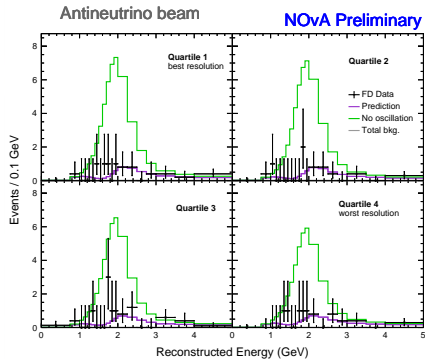
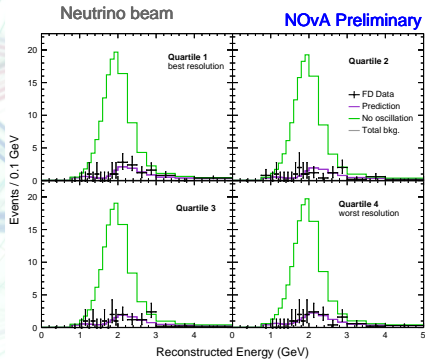
# T2K & NOvA



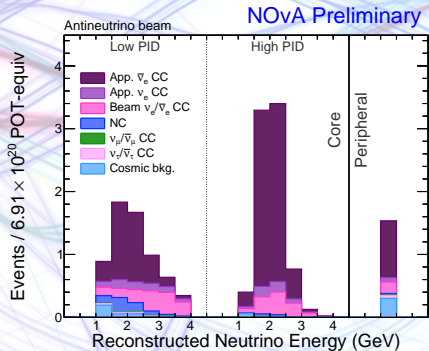
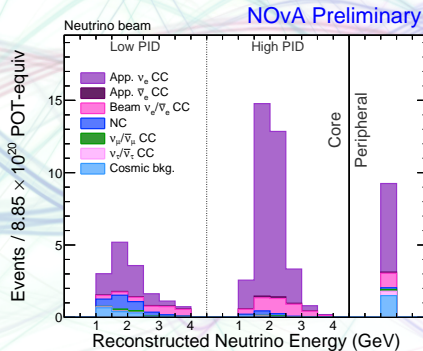
# T2K & NOvA



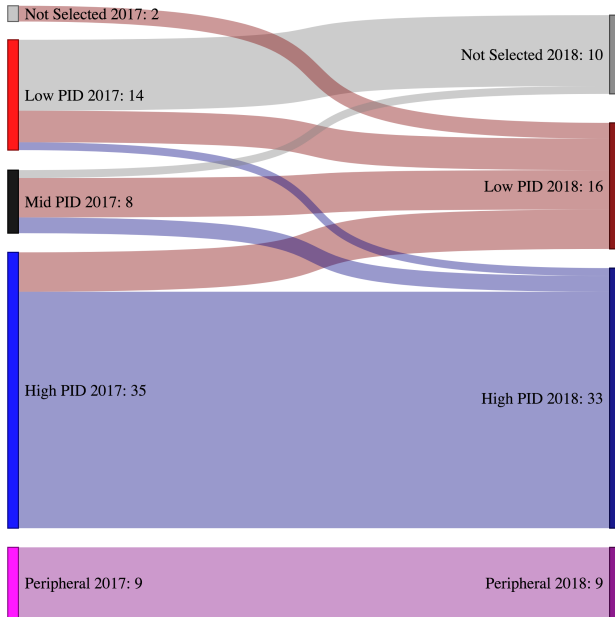
# $\nu_\mu$ details



# $\nu_e$ details

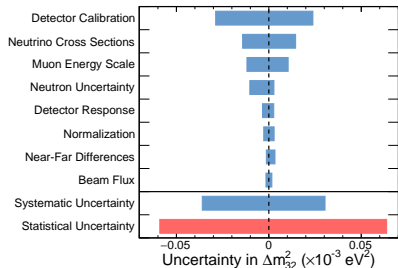


# $\nu_e$ details

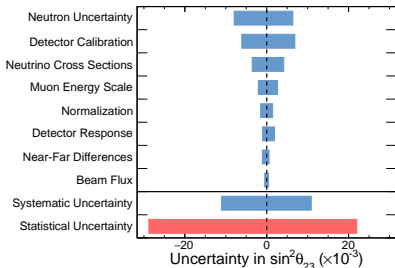


# Systematics

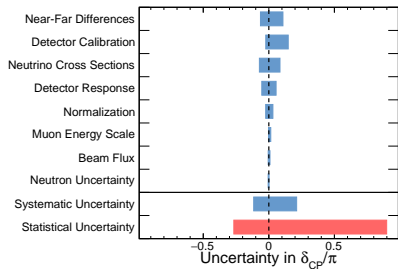
NOvA Preliminary



NOvA Preliminary

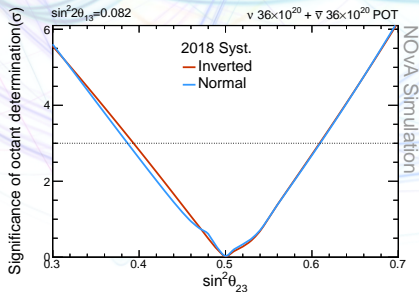
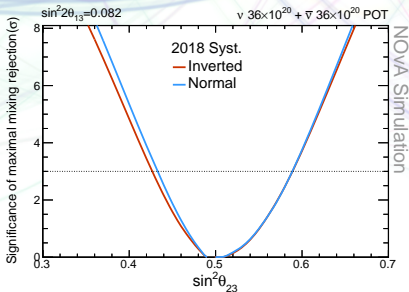
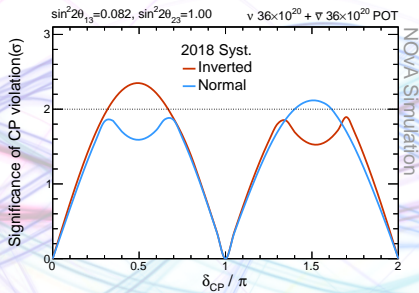
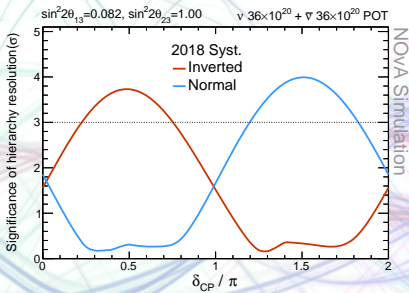


NOvA Preliminary



Source of Uncertainty	$\sin^2 \theta_{23}$ ( $\times 10^{-3}$ )	$\delta_{CP}/\pi$	$\Delta m_{32}^2$ ( $\times 10^{-3} \text{ eV}^2$ )
Beam Flux	+0.42 / -0.48	+0.0088 / -0.0048	+0.0016 / -0.0015
Detector Calibration	+6.9 / -6.1	+0.15 / -0.023	+0.024 / -0.029
Detector Response	+1.9 / -0.99	+0.055 / -0.054	+0.0027 / -0.0034
Muon Energy Scale	+2.6 / -2.1	+0.015 / -0.0026	+0.01 / -0.012
Near-Far Differences	+0.56 / -1.1	+0.11 / -0.064	+0.0033 / -0.0013
Neutrino Cross Sections	+4.2 / -3.5	+0.085 / -0.072	+0.015 / -0.014
Neutron Uncertainty	+6.4 / -7.9	+0.002 / -0.0052	+0.0028 / -0.01
Normalization	+1.4 / -1.5	+0.031 / -0.024	+0.0029 / -0.0027
Systematic Uncertainty	+9.6 / -11	+0.21 / -0.11	+0.032 / -0.035
Statistical Uncertainty	+22 / -29	+0.9 / -0.27	+0.064 / -0.059

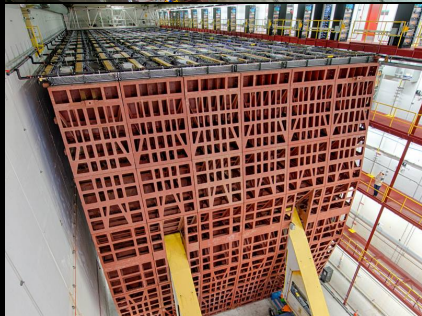
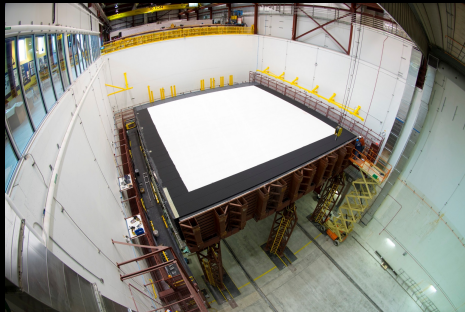
# Sensitivities





# Assembly

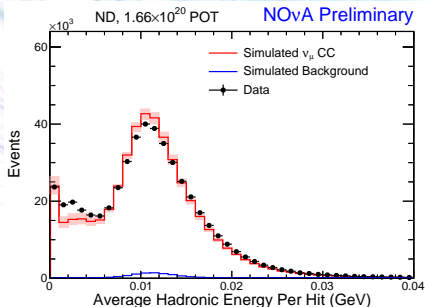
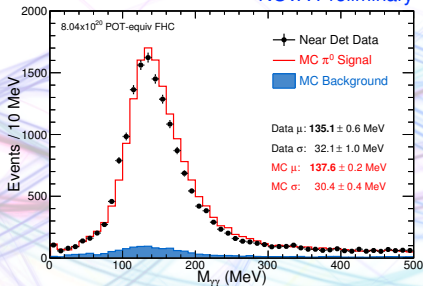




# Calibration and energy scale

NOvA Preliminary

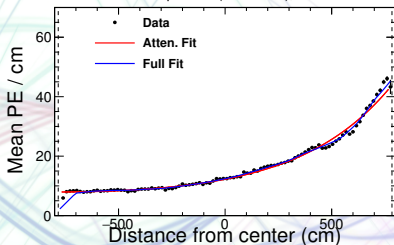
- ▶ Response varies substantially along cell due to light atten.
- ▶ Use cosmic ray muons as a standard candle to calibrate 300,000 channels individually
- ▶ Use  $dE/dx$  near the end of stopping muon to set abs. scale
- ▶ Multiple calibration x-checks
  - ▶ Beam muon  $dE/dx$
  - ▶ Michel energy spectrum
  - ▶  $\pi^0$  mass peak
  - ▶ Hadronic energy/hit
- ▶ Take 5% abs. and rel. errors on energy scale



# Calibration

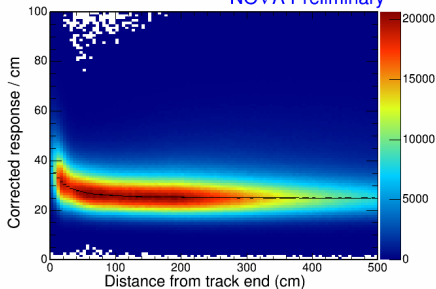
NOvA Preliminary

FD cosmic data - plane 2 (horizontal), cell 376

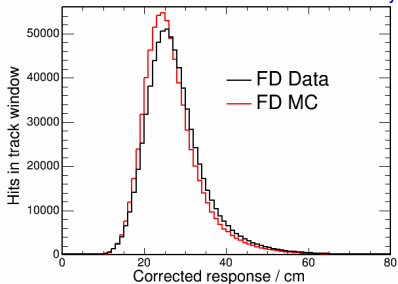


- ▶ Response varies substantially along cell due to light atten.
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NOvA Preliminary



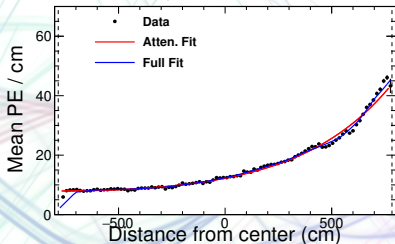
NOvA Preliminary



# Calibration

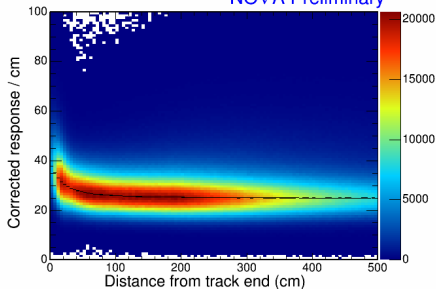
NOvA Preliminary

FD cosmic data - plane 2 (horizontal), cell 376

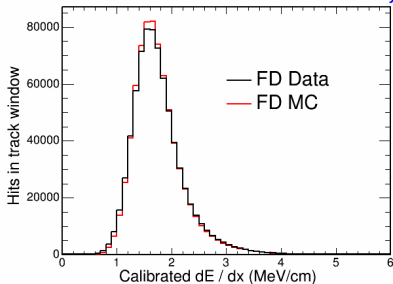


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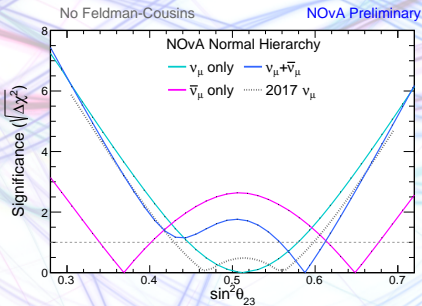
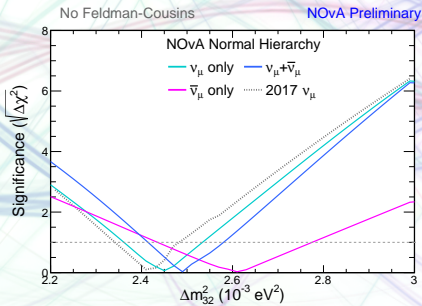
NOvA Preliminary



NOvA Preliminary

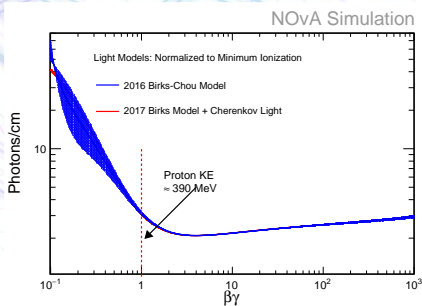
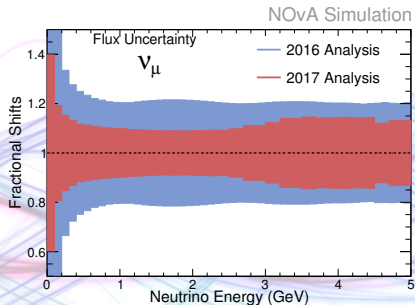


# Data subsets



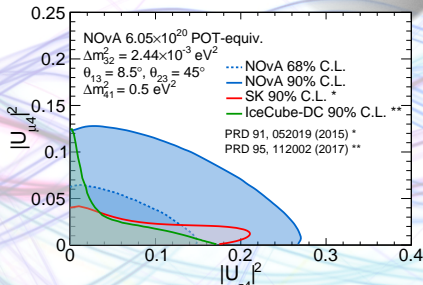
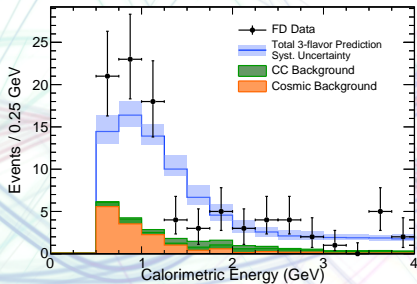
# What's new?

- ▶ 50% additional data
- ▶ Data-driven flux estimates from MINERvA<sup>1</sup>
- ▶ Retuned cross-section model
- ▶ Detector sim. improvements ( $E_{\text{res}} : 7\% \rightarrow 9\%$ )
- ▶ Using computer vision classifier for all analyses
- ▶ Analysis improvements
  - ▶ Resolution binning for  $\nu_{\mu}$
  - ▶ “Peripheral” sample for  $\nu_e$



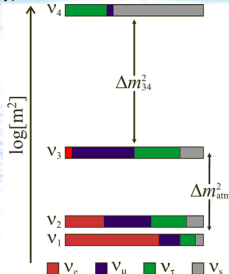
<sup>1</sup> Phys. Rev. D94 (2016) 092005

# Sterile neutrinos



- ▶ Are all the disappearing  $\nu_\mu$  going to  $\nu_e$  or  $\nu_\tau$ ?
- ▶ Might some fraction be oscillating to a 4th, sterile, state?
- ▶ Would expect a depletion of NC events at FD
- ▶ Expect  $83.5 \pm 9.7(\text{stat}) \pm 9.4(\text{syst})$  see 95
- ▶ Set limits on  $U_{\mu 4}$  and  $U_{\tau 4}$

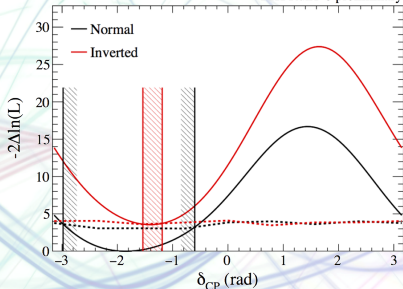
Phys. Rev. D 96, 072006 (2017)





# T2K latest

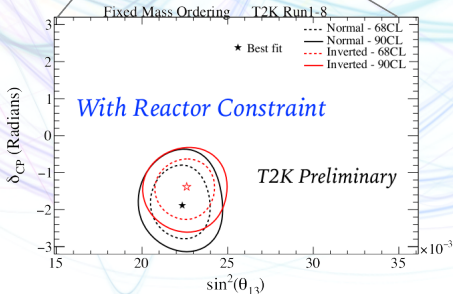
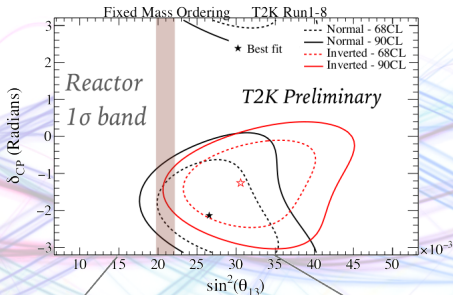
T2K Run1-8 preliminary



	Obs	Exp(no-CPV)
$\nu_e$	89	67
$\bar{\nu}_e$	7	9

- ▶ Used  $(14.7 + 7.6) \times 10^{20}$  POT
- ▶ Approved to  $7.8 \times 10^{21}$  POT
- ▶ Proposal for  $20 \times 10^{21}$  by 2026

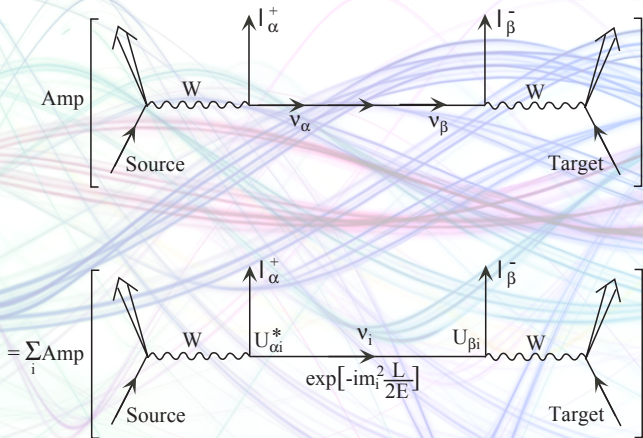
Mark Hartz colloquium @ KEK, Aug 2017



# Particle physics confidence levels

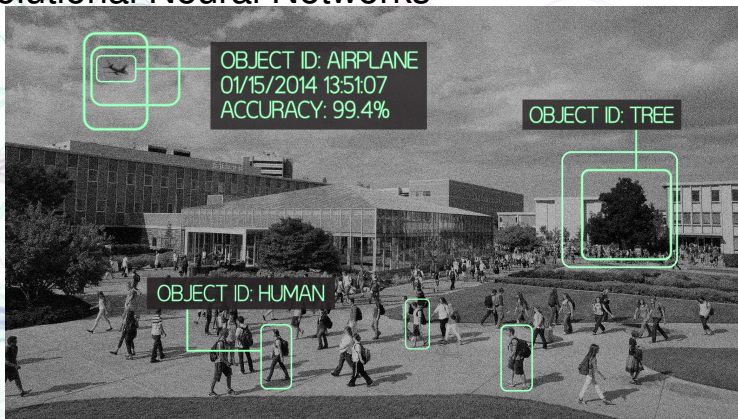
Significance	Confidence level
$1\sigma$	68.3%
$2\sigma$	95.5%
$3\sigma$	99.7%
$4\sigma$	99.994%
$5\sigma$	99.99994%

# Neutrino oscillations



$$P_{\alpha\beta} = \left| \sum_i U_{\alpha i}^* e^{-im_i^2 L/2E} U_{\beta i} \right|^2$$

# Convolutional Neural Networks



- ▶ Recent advances in machine learning/computer vision
- ▶ Achieving near-human performance on image classification tasks
- ▶ Why not classify event-displays?
- ▶ **CNN** – deep neural network, inputs are the pixels of the image
- ▶ Take advantage of translational invariance → convolutions

# Convolutional Neural Networks

$$\frac{1}{8} \begin{bmatrix} -1 & -1 & -1 \\ -1 & +8 & -1 \\ -1 & -1 & -1 \end{bmatrix}$$

Edge-detection  
kernel



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# Convolutional Neural Networks

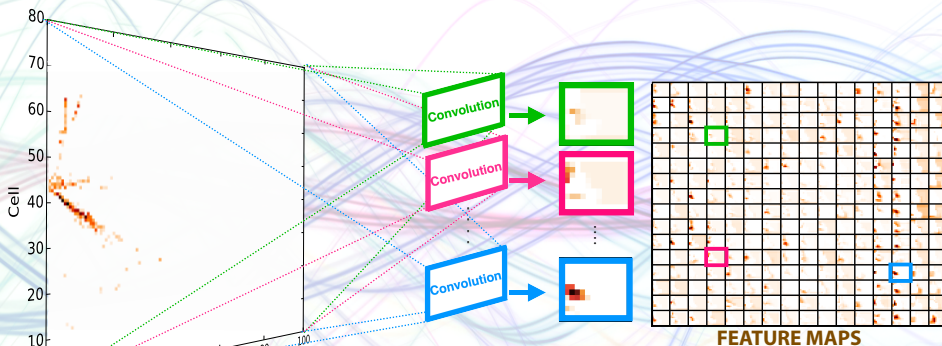
$$\frac{1}{8} \begin{bmatrix} -1 & -1 & -1 \\ -1 & +8 & -1 \\ -1 & -1 & -1 \end{bmatrix}$$

Edge-detection  
kernel



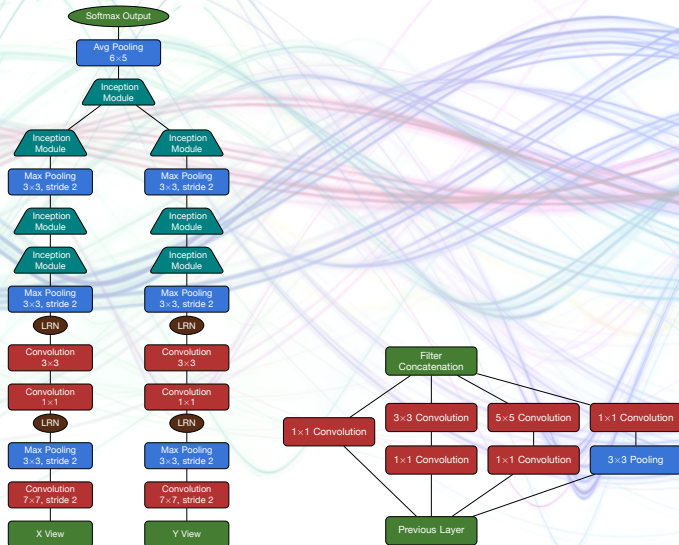
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# Convolutional Neural Networks



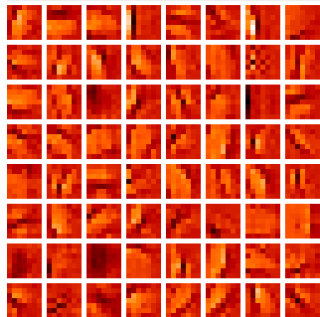
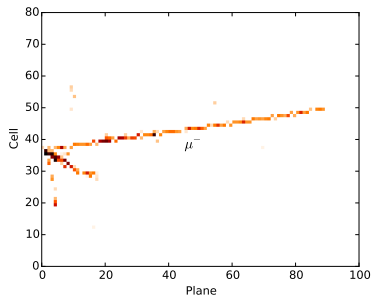
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# CVN architecture

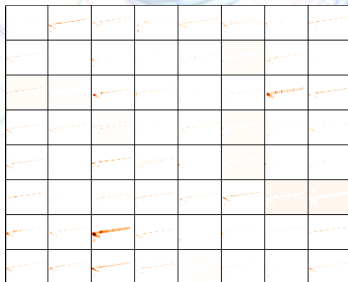




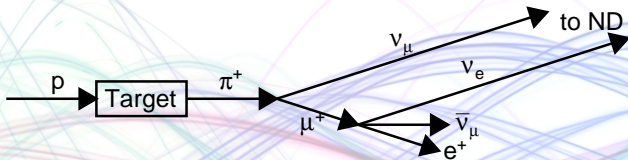
# CVN example



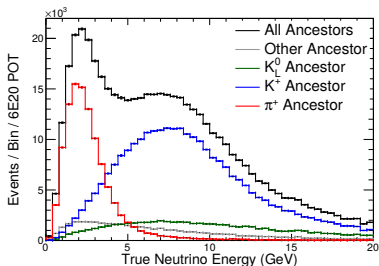
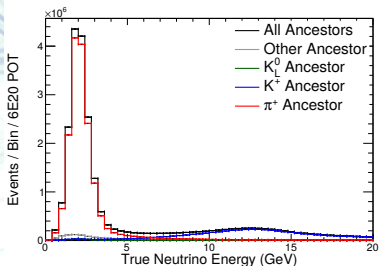
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# ND decomposition – beam $\nu_e$

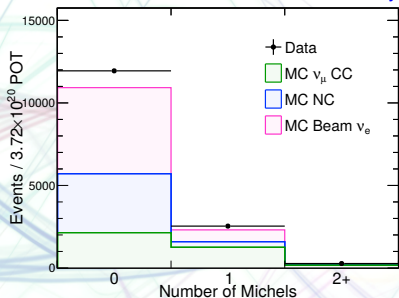


- ▶ Low- $E$   $\nu_\mu$  and  $\nu_e$  trace back to the same  $\pi^+$  ancestors

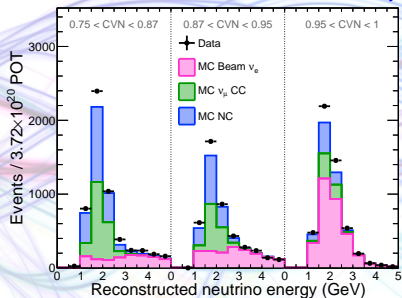


# ND decomposition – Michels

NOvA Preliminary



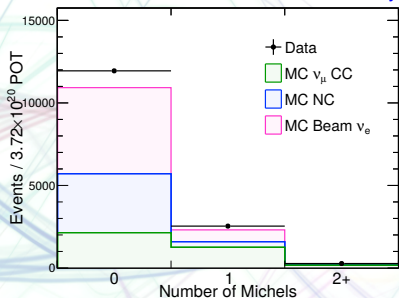
NOvA Preliminary



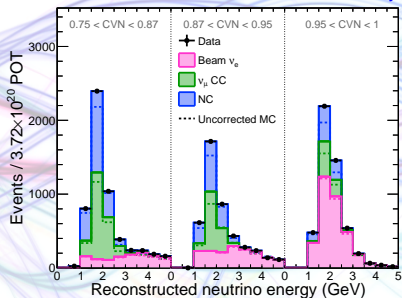
- ▶  $\nu_\mu$  CC background events have Michel electron from muon decay
- ▶ Also produced in  $\nu_e$  CC and NC by pions, but  $\nu_\mu$  have  $\sim 1$  more
- ▶ Fit observed  $N_{\text{michel}}$  spectrum in each bin by varying components
- ▶  $\nu_e$  and NC near-degenerate, fix  $\nu_e$  to parent-reweight estimate

# ND decomposition – Michels

NOvA Preliminary



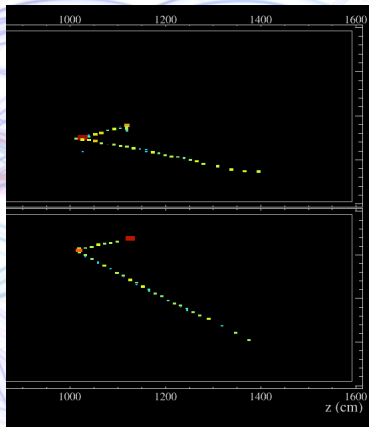
NOvA Preliminary



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## $\nu_e$ selection efficiency – MRE

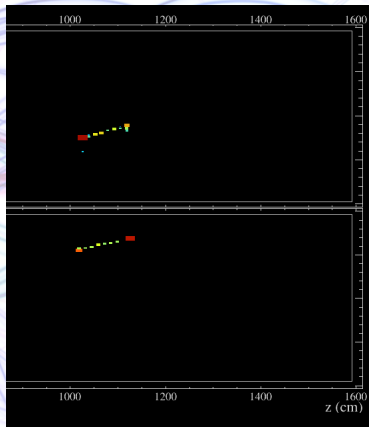
- ▶ EM showers should be well modelled
- ▶ Any  $\nu_e$  signal efficiency differences coming from the hadronic side?
- ▶ Remove muon from clear  $\nu_\mu$  CC events in ND, replace with simulated shower



- ▶  $\mathcal{O}(1\%)$  efficiency difference to select MRE data/MC events

## $\nu_e$ selection efficiency – MRE

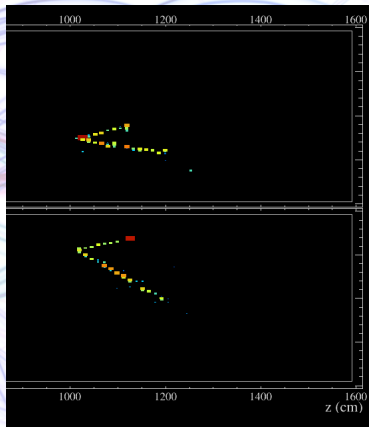
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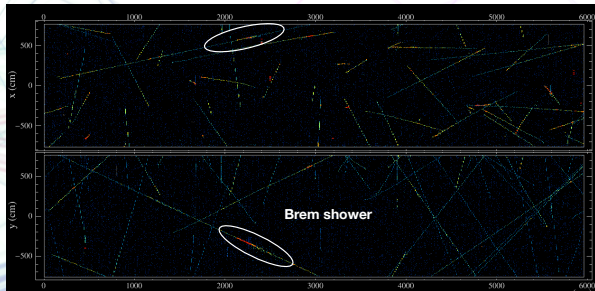
## $\nu_e$ selection efficiency – MRE

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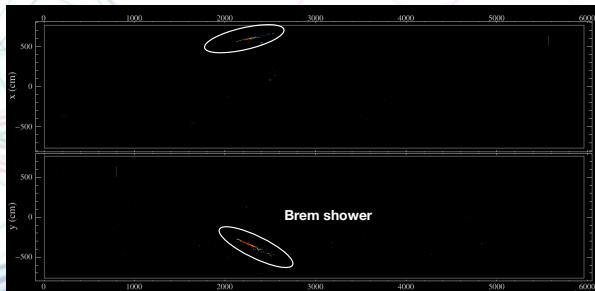
## $\nu_e$ selection efficiency – EM activity



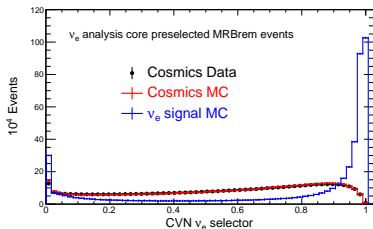
- Find FD data cosmic rays w/ brems



# $\nu_e$ selection efficiency – EM activity

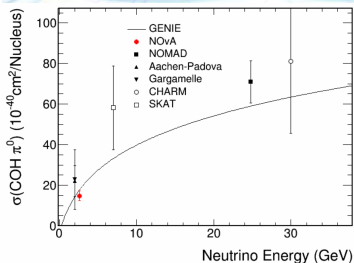
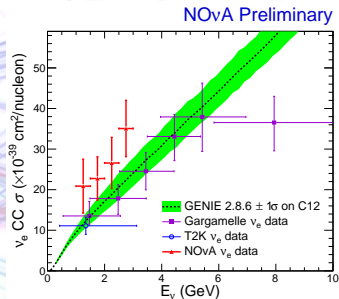
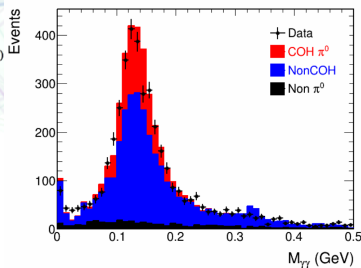
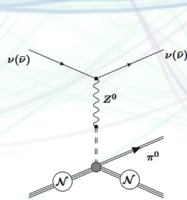


- ▶ Find FD data cosmic rays w/ brems
- ▶ Remove  $\mu$  leaving pure EM activity
- ▶ Run through PID in data and MC
- ▶ Very good agreement

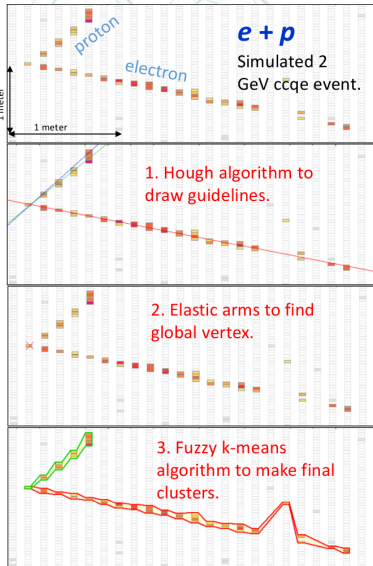


# Cross-sections

- ▶ Neutrino cross-sections poorly known
- ▶ Learn about nuclear physics
- ▶ Interpretation of other experiments
- ▶ Important for precision future
- ▶ High powered beam, fine-grained ND
- ▶ Many channels to study



# Event reconstruction



- ▶ First cluster hits in space and time
- ▶ Start with 2-point Hough transform
  - ▶ Line-crossing are vertex seeds
- ▶ ElasticArms finds vertex
- ▶ Fuzzy  $k$ -means clustering forms prongs
- ▶  $\nu_\mu$  analysis uses a Kalman filter to reconstruct any muon track