

# The Structure of the Proton and HERA

Proton structure and what have we learnt from HERA

1989 – before HERA

The first years

Some of today's results

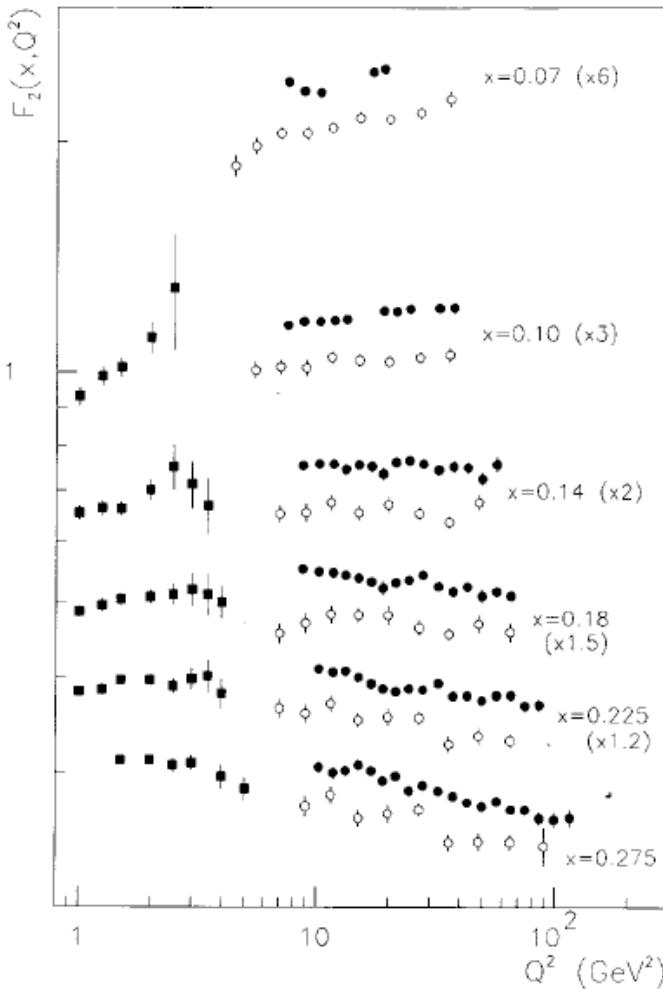
Summary

Max Klein

(H1 and ATLAS)



# 1989 – BCDMS ( $\mu p$ ) – $F_2$



CERN-EP/89-06  
January 17th, 1989

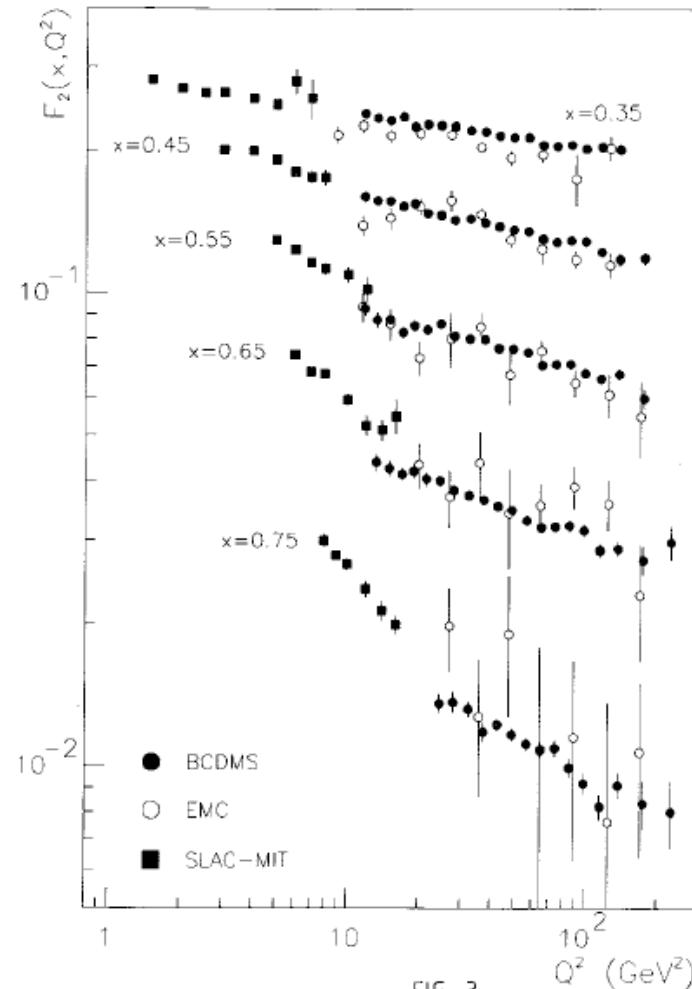
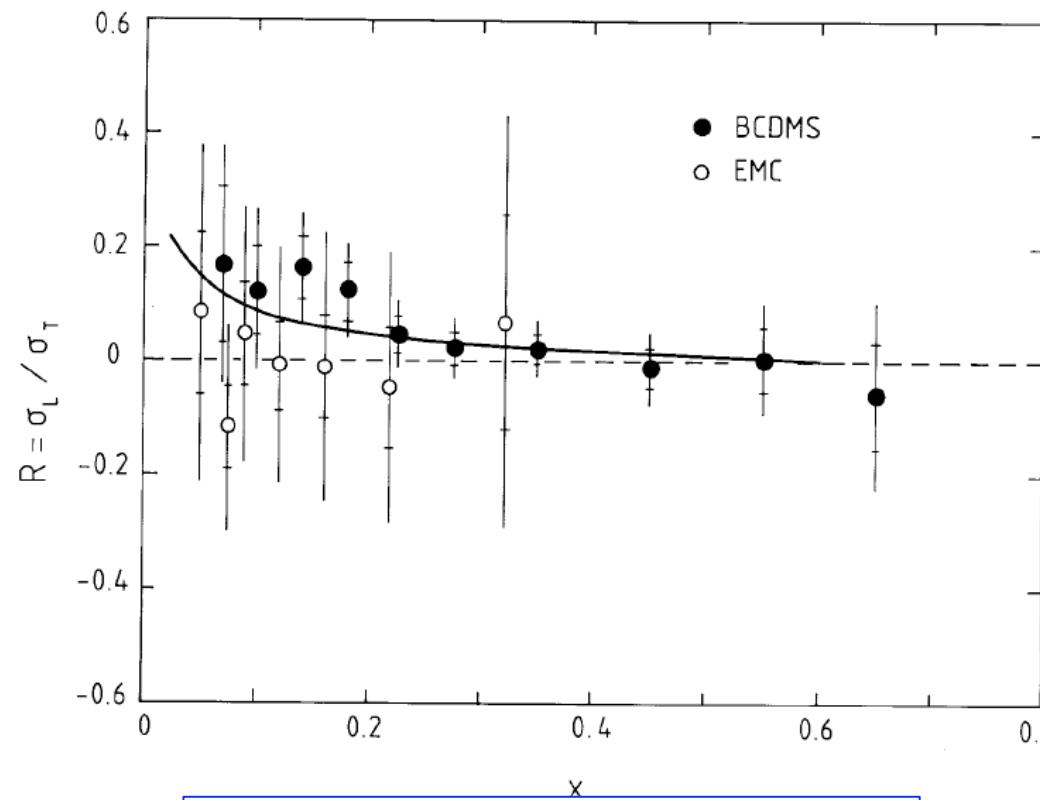


FIG. 3

$x=0.07-0.75$   
 $Q^2=9-230 \text{ GeV}^2$   
 EMC?  
 High  $x - \text{low } y$ ?  
 ~2% accurate  
 p and d data

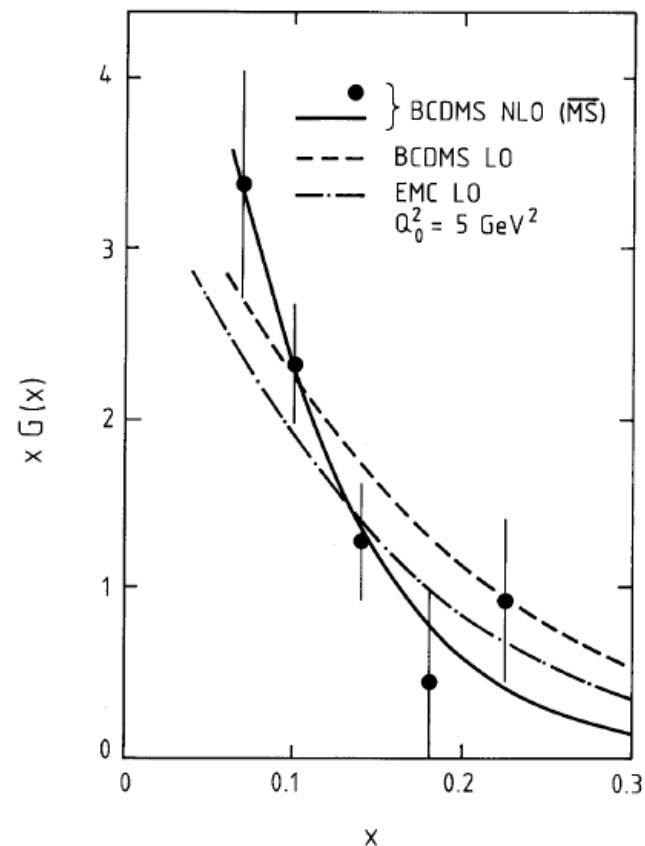
# 1989 – BCDMS ( $\mu p$ ) – R and $xg$



Curve: NLO QCD with

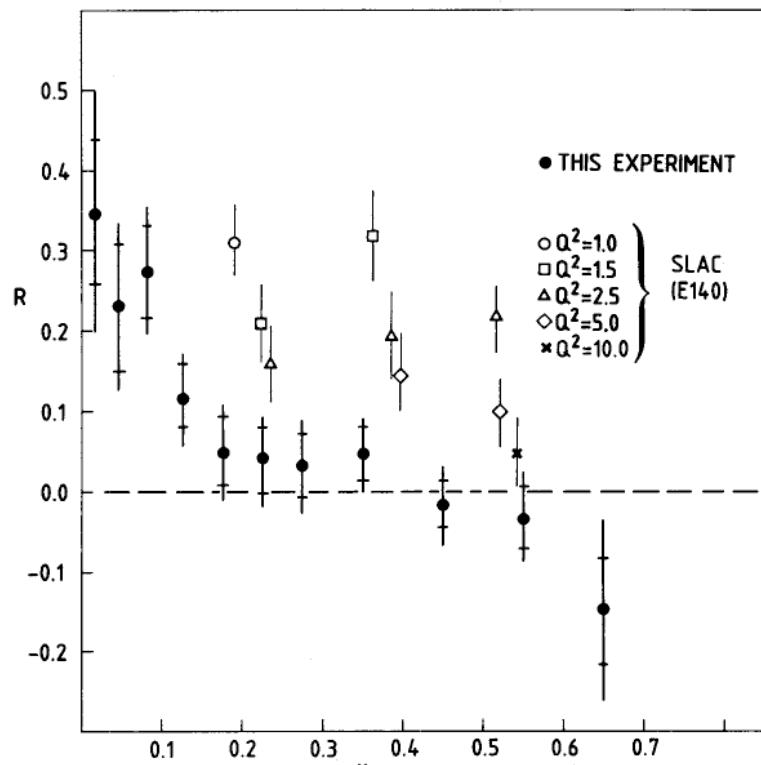
$$xG(x, Q_0^2) = 4.5(1-x)^8 \text{ at } Q_0^2 = 5 \text{ GeV}^2$$

NLO QCD:  $y > 0.14$   
 Non-singlet:  $x > 0.275$  ( $xg=0$ )  
 Singlet+non-singlet ( $xg \sim (1-x)^c$ )  
 $\Lambda = 220 \pm 15 \text{ (st)} \pm 50 \text{ (sy) MeV}$



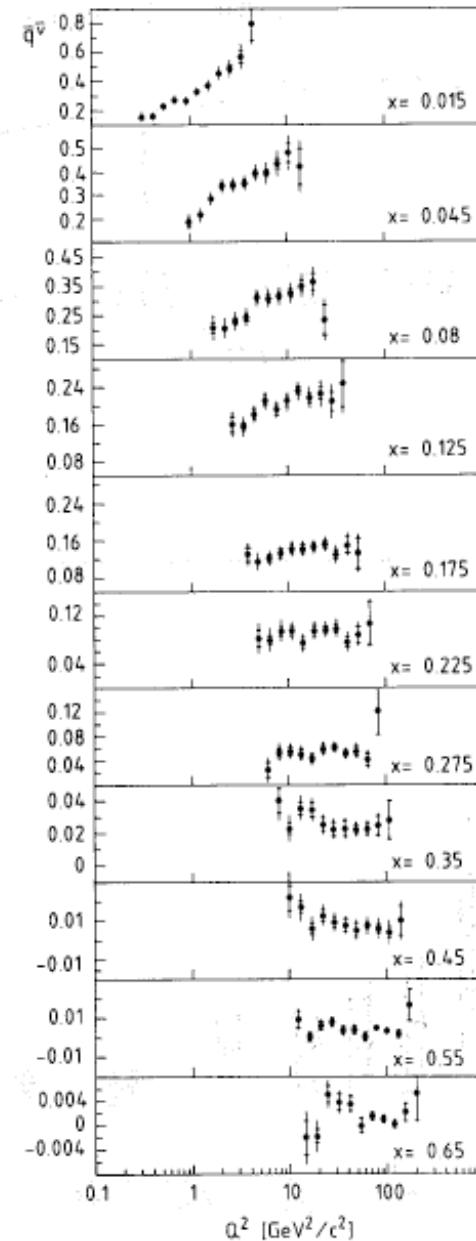
# 1989 – CDHS (vFe) – structure functions

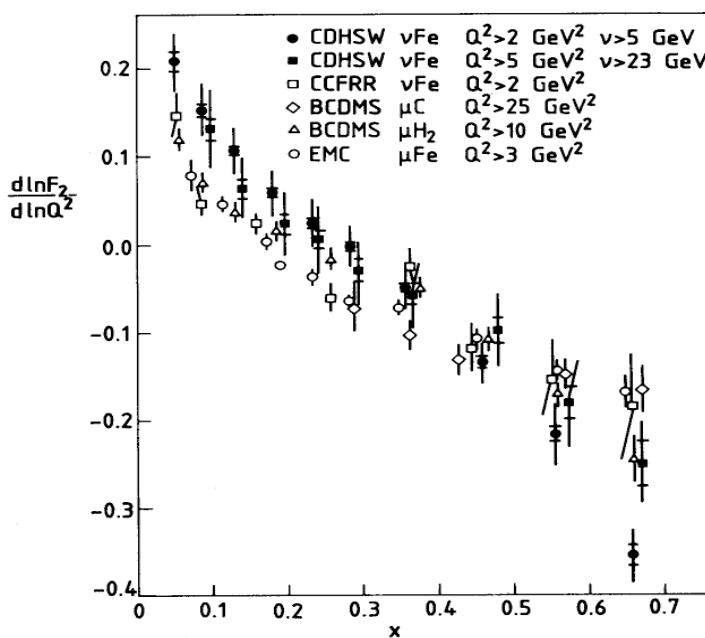
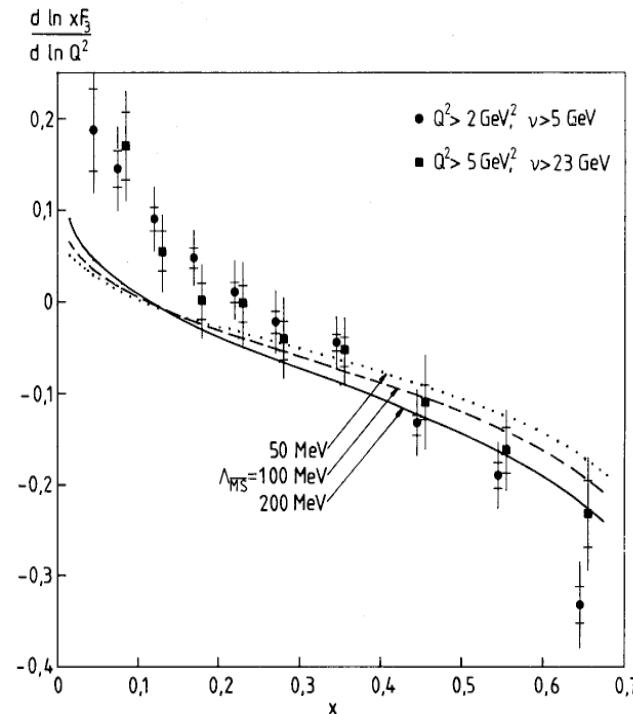
$$\frac{d^2\sigma^{\bar{N}}}{dxdy} - (1-y)^2 \frac{d^2\sigma^N}{dxdy} = \sigma_0 [ [1 - (1-y)^4] \bar{q}^{\bar{p}} + [(1-y) - (1-y)^3] F_L ]$$



CERN-EP/89-103  
 15 August 1989

CDHS  
 $F_2, xF_3, F_L$   
 $x=0.015-0.65$   
 $Q^2=0.8-210 \text{ GeV}^2$   
 Iron target  
 Confirm BCDMS over EMC  
 Large scaling viol's at low x  
 $F_L$  from y dependence





## CDHS (vFe) NLO - QCD

$xg \sim (1-x)^c$   
with error band

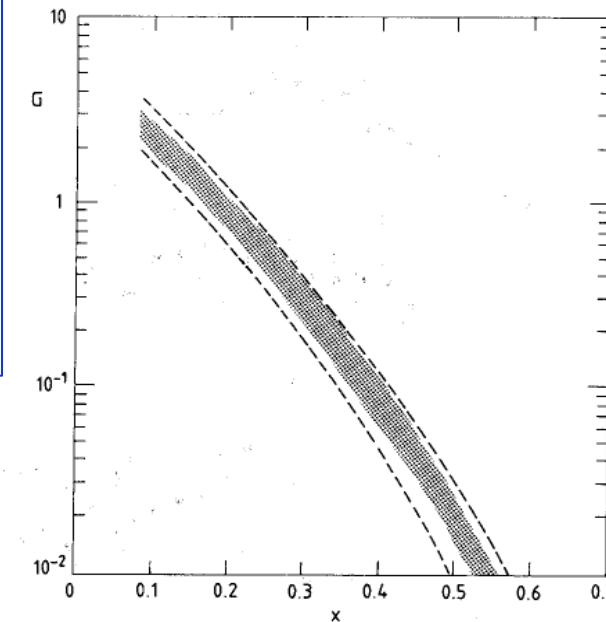
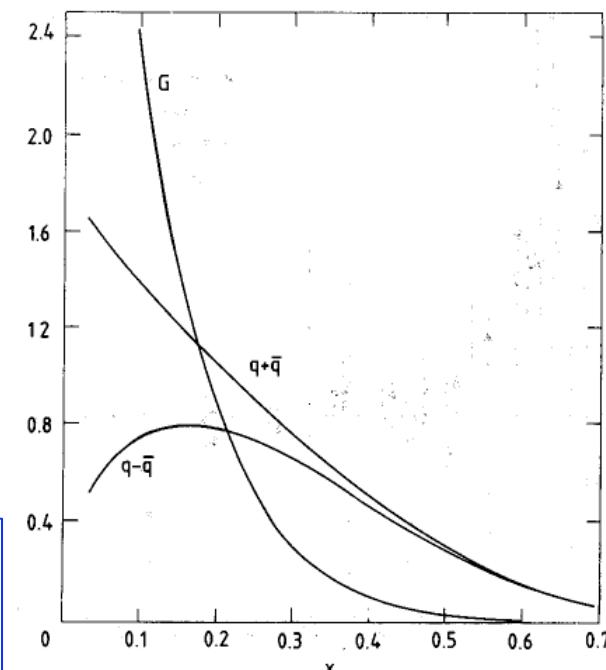
$$q = x(u+d+s+c)$$

$$q-q\bar{q} = x(u_v+d_v)$$

$$c=0$$

derivative – QCD??

M.Klein HERA & p Structure 12.5.2009



# Parton Distributions from a Global QCD Analysis of Deep Inelastic Scattering and Lepton-Pair Production\*

Jorge G. Morfin<sup>1,3</sup> and Wu-Ki Tung<sup>1,2</sup>

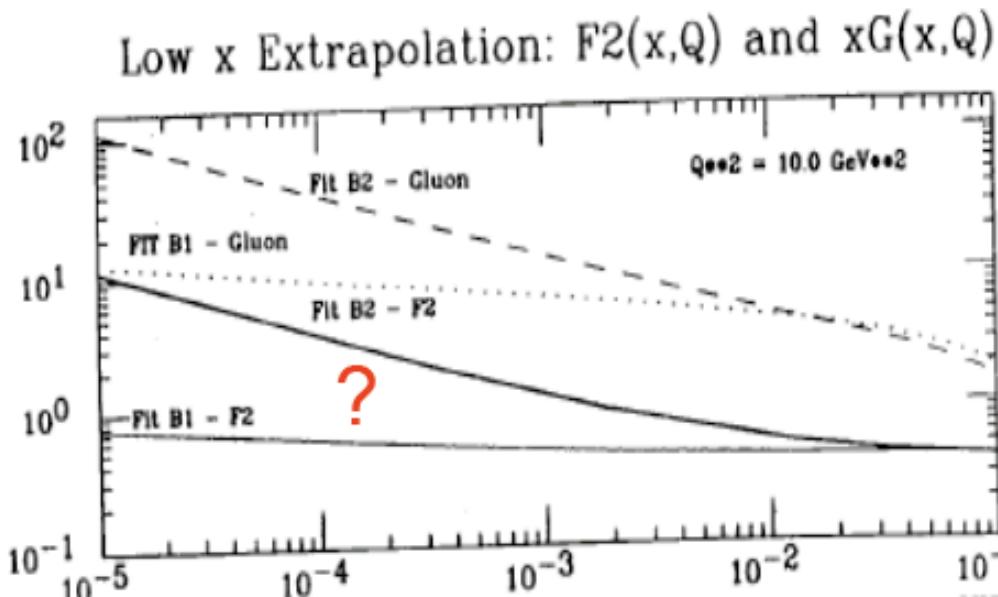
FERMILAB-Pub-90/74

April 1990

\* Submitted to Z. Phys. C.

$$f(x, Q) = e^{A_0} x^{A_1} (1-x)^{A_2} \ln^{A_3} x \ln^{A_4} (1-x)$$

" $A_1$  changes rapidly with  $Q^2$ " ICHEP Singapore 1990



Global  
Functional forms  
Systematic errors  
Kinematic ranges  
Heavy target corr's  
LO-NLO  
Renorm. Schemes

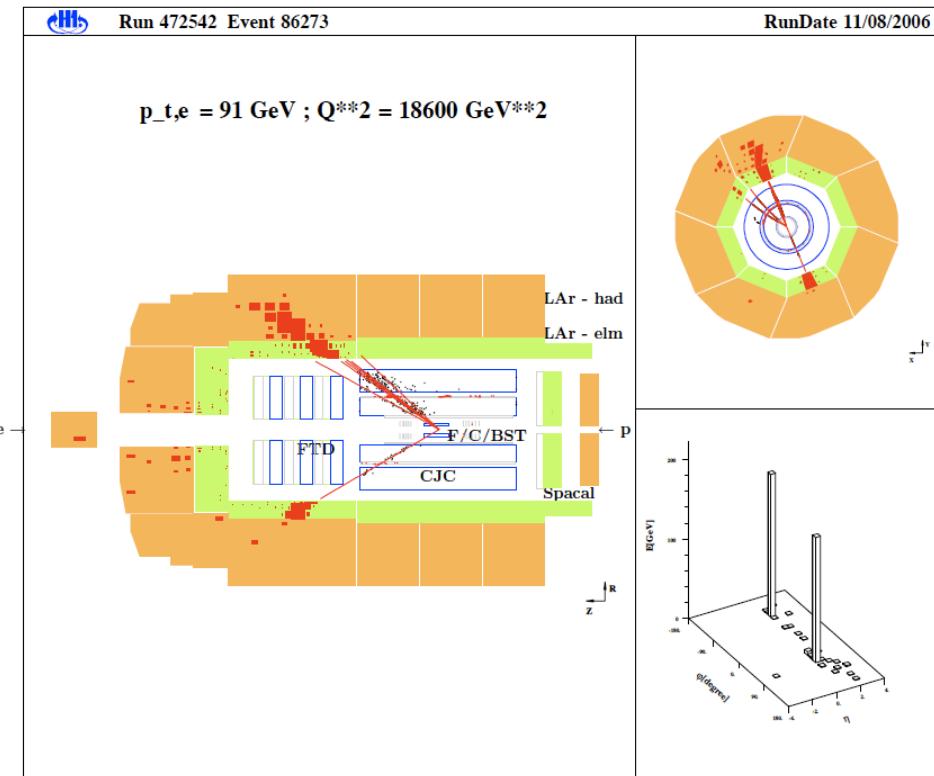
No HERA  
No heavy quarks  
No error bands  
No NNLO

In lively dispute with MRS (T)

A.D. Martin, R.G. Roberts & W.J. Stirling,  
Mod. Phys. Lett. A4 1135 (1989)



## A neutral current DIS event in the H1 detector



LAr (Pb –elm, SS –hadr)  $\sigma_{\text{had}} = 50\%/\sqrt{\text{E}}$   
 SpaCal (elm+hadr)  $\sigma_{\text{elm}} = 12\%/\sqrt{\text{E}}$   
 B/C/FST  
 CIP  
 Driftchamber (CJC) ...  
 Trigger: LAr, CIP, FTT, BST

Alignment (trackers, Comptons)  
 Calibration (kinem. peak, DA)  
 Luminosity:  $e p \rightarrow e p \gamma$  (1%)  
 MC simulation GEANT3 + physics

**Redundant reconstruction of the kinematics from e and h final state.  
 ‘Removal’ of Radiative Corrections.**

$$E - p_z = E'_e(1 - \cos \theta_e) + \sum_i (E_i - p_{z,i}) = \Sigma_e + \Sigma_h$$

$$y_e = 1 - \frac{\Sigma_e}{2E_e} \quad Q_e^2 = \frac{p_{t,e}^2}{1 - y_e}$$

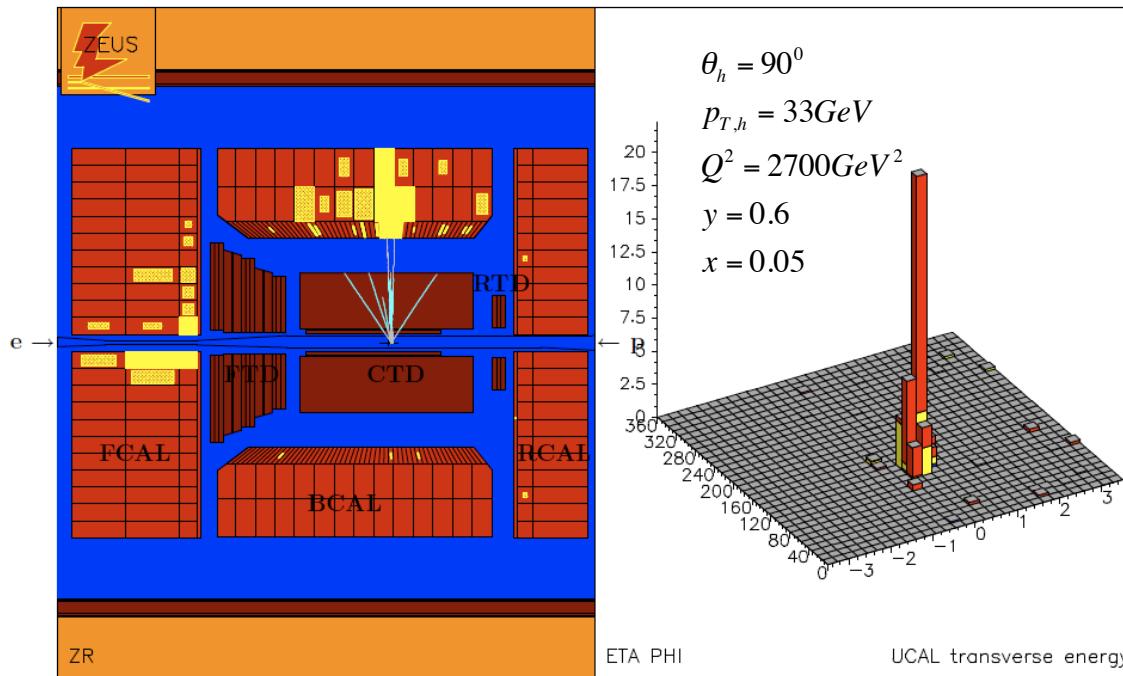
$$y_h = \frac{\Sigma_h}{2E_e} \quad Q_h^2 = \frac{p_{t,h}^2}{1 - y_h}$$

$$y_\Sigma = \frac{\Sigma_h}{E - p_z} \quad Q_\Sigma^2 = \frac{p_{t,e}^2}{1 - y_\Sigma}$$



Uranium/Sc –elm and hadronic  
 $\sigma_{\text{elm}} = 18\%/\sqrt{\text{E}}$ ,  $\sigma_{\text{had}} = 35\%/\sqrt{\text{E}}$   
MVD (fwd, central)  
Driftchamber (CTD) ...  
Trigger: Calorimeter

A charged current DIS event in the ZEUS detector



Inverse neutrino and anti-neutrino scattering off p's

$$y_{DA} = \frac{\tan(\theta_h/2)}{\tan(\theta_e/2) + \tan(\theta_h/2)}$$

$$Q_{DA}^2 = 4E_e^2 \cdot \frac{\cot(\theta_e/2)}{\tan(\theta_e/2) + \tan(\theta_h/2)}$$

$$\tan \frac{\theta_{PT}}{2} = \frac{\Sigma_{PT}}{P_{T,e}}$$

$$\Sigma_{PT} = 2E_e \frac{C(\theta_h, P_{T,h}, \delta_{PT}) \cdot \Sigma_h}{\Sigma_e + C(\theta_h, P_{T,h}, \delta_{PT}) \cdot \Sigma_h}$$

Calibration and alignment methods and L measurement similar as for H1. Kinematic reconstruction different, which is at the origin of a systematic error compensation in the combination of the H1 and ZEUS cross section data.

# The first papers

ZEUS

H1

• PLB 293 (92) 465

•  $\sigma_{\text{tot}}(\gamma p)$

PLB 299 (93) 374 S

PLB 297 (92) 404 • Hard Scattering in  $\gamma p$  • PLB 297 (92) 205 S

• Hadronic final state in DIS • PLB 298 (93) 469 S

• PL, accepted (A)  
DESY 93-030 • 2 jet production in DIS

PLB 303 (93) 183 • Deep Inelastic Sc. at low  $x$  • PLB 299 (93) 385 S

• DESY 93-017

PL

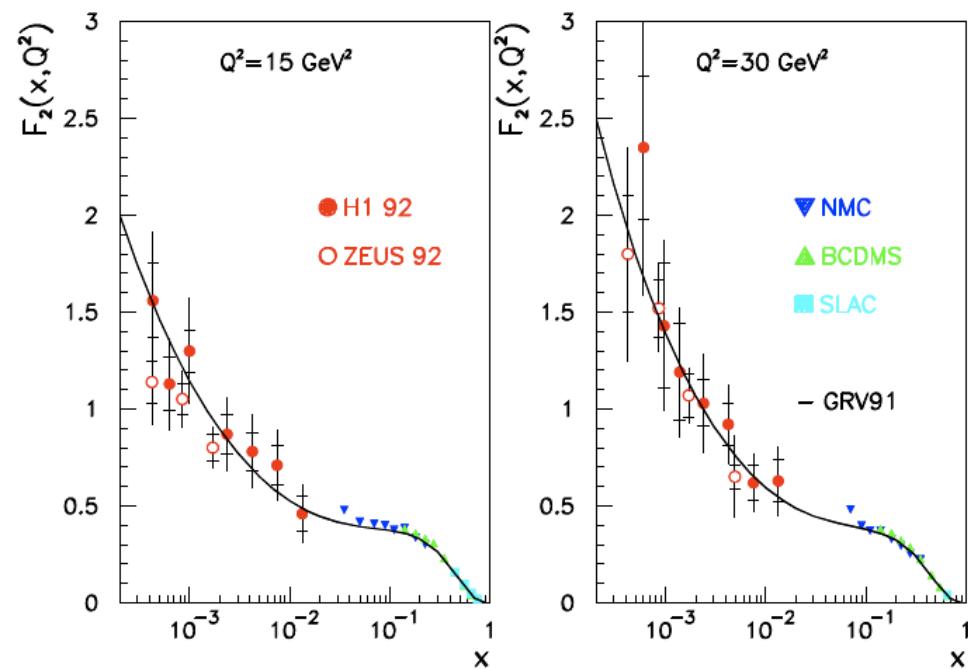
• Leptoquark Search

DESY 93-029 A  
NPhys B.

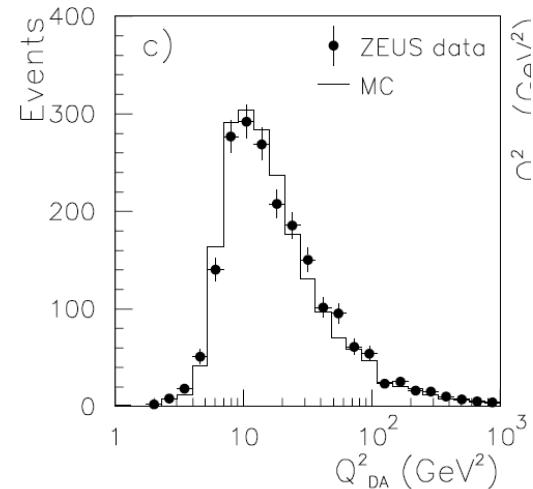
S summer data  $\sim 2 \text{nb}^{-1}$

A autumn data  $\sim 25 \text{nb}^{-1}$

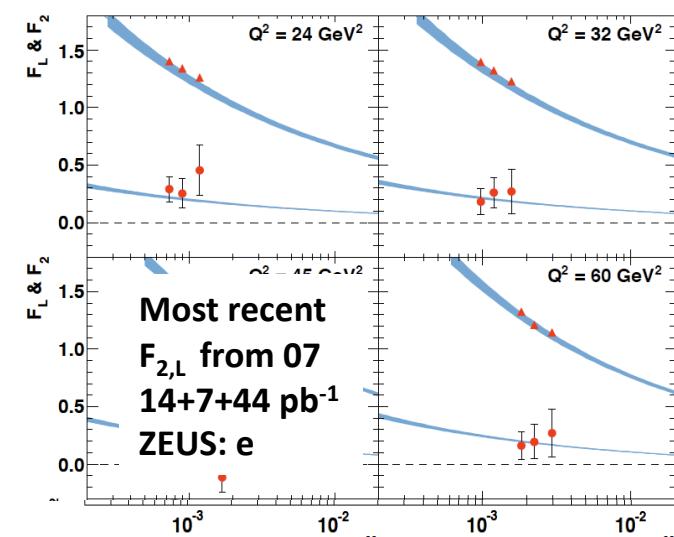
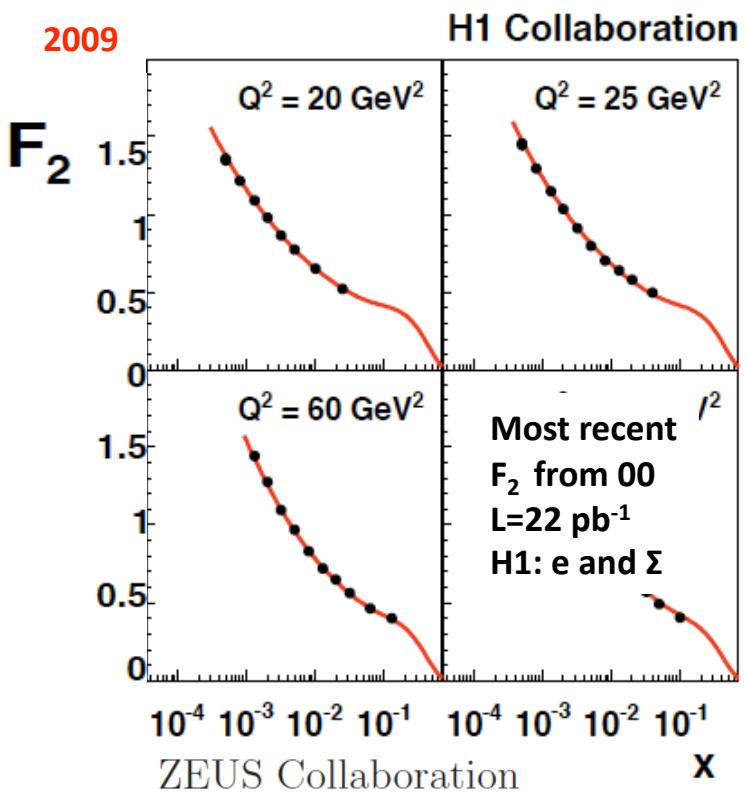
$F_2 = vW_2$



The  $F_2$  structure function increases rapidly as  $x$  decreases.

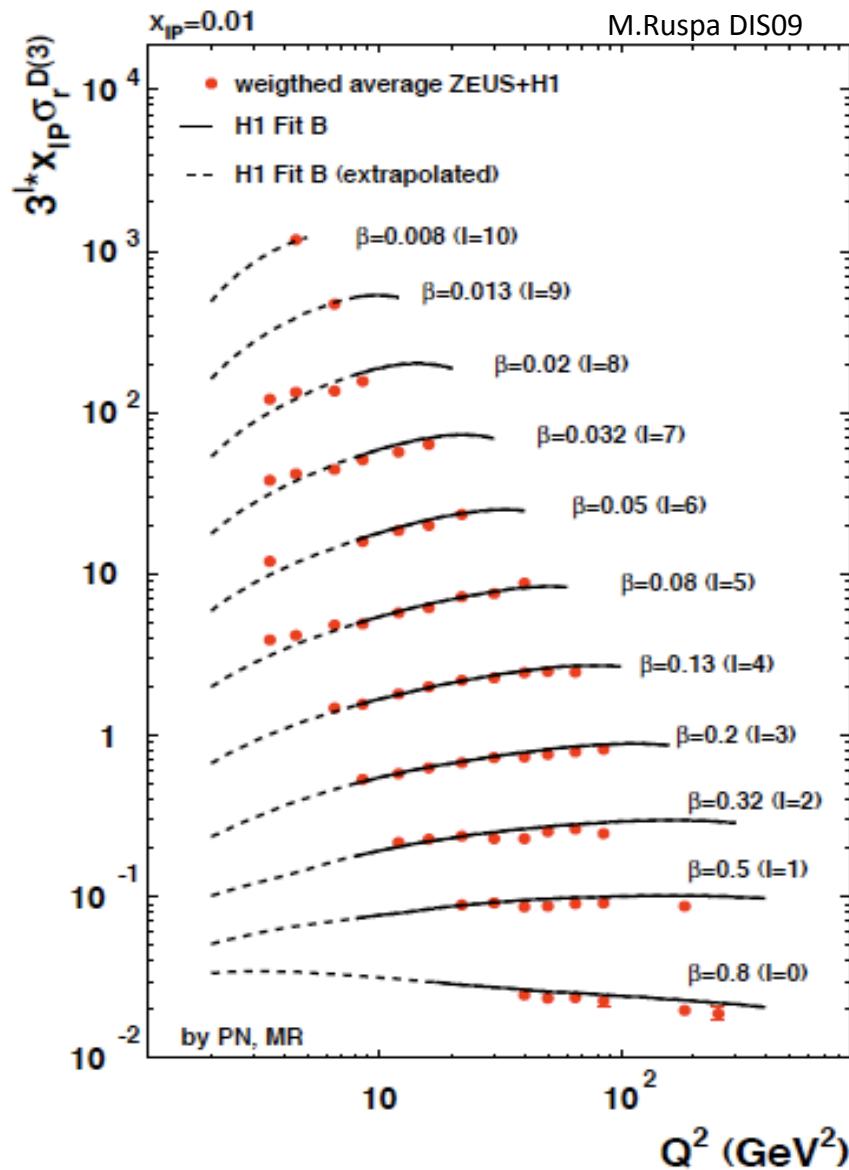
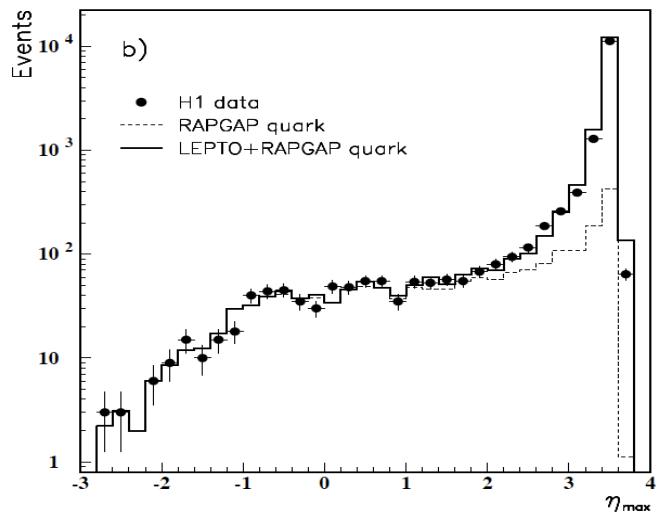
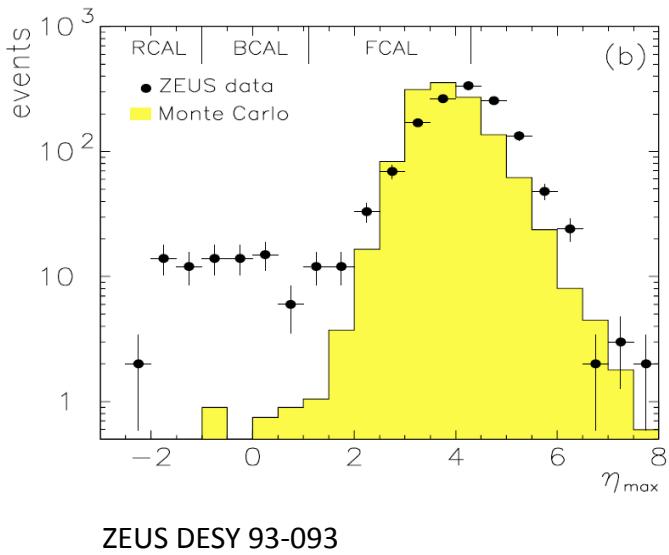


First  $F_2$  data  
taken in 1992  
 $L=0.03 \text{ pb}^{-1}$   
H1: e and h  
ZEUS: DA



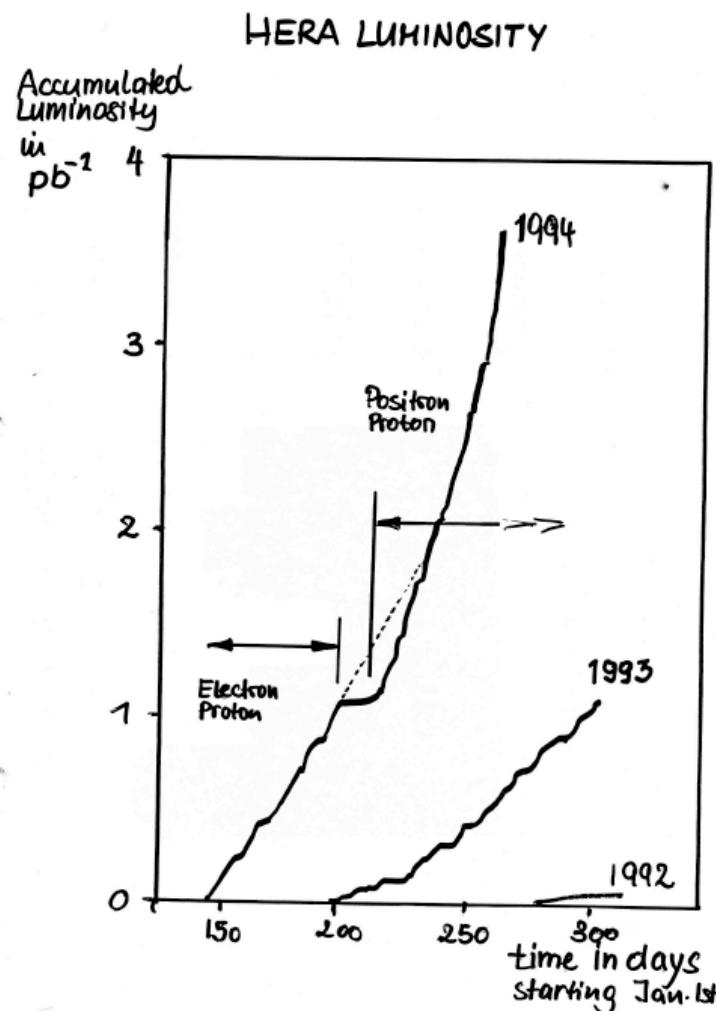
Systematic errors		e	m	$\sigma_r$ Errors in 2009
E <sub>e</sub>	2% and $\pm 2\%$ smearing	5-15%	3-6%	Spacal Double angle calibration: 0.2%
$\eta$	5 mrad	4-12%	4-8%	BST /CJC/ BDC      0.2mrad
y <sub>JB</sub>	fragmentation [0°, PS vs HERWIG], 7% energy scale, $(y_{JB} - y_{Gen})/y_{Gen}$ , thresholds	-	10-25%	Noise at low y      3% at 0.01
Z <sub> vtx</sub>	statistics, satellite bunch, comparison of methods I,II	7%		z vertex      0.3%
BPC, tracker cut, EBdi/ECRA, cluster-fit		6%		eID: BDC      max 1% 0.5%
structure fact. D <sup>-</sup> /D <sup>0</sup> (lowest x).		5-10%		Iteration      --
radiative corrections ( MC statistics for I) Z <sub> vtx</sub> , E-P <sub>Z</sub> in MC		8%	2%	RC to alpha in MC      0.3%
bin centre correction (Q <sub>2</sub> , $\eta \rightarrow x$ , Q <sup>2</sup> )		5%	2%	Negligible
		<u>17-29%</u>	<u>18-29%</u>	----- 1.3-3%
• Statistics: 950 events				$\sim 10^6$
• Scale error: lumi 7% TOF, trigger $\rightarrow$ 9%				+ Lumi 1.2%      trigger --

# Rapidity Gaps $\rightarrow F_2^D$



Normalisations, LRG vs L/FPS  
Regge + QCD. Dijets to fix  $xg$  at large  $\beta$ . Cf P.Newman

# Meeting on the Future of HERA [24.9.1994]



F.Willeke [ $\varepsilon_{\text{HERA}} = 5 \text{ (93)} - 10 \text{ (94)} - 20 \text{ (9/94)}\%$ ]

F.Sciulli: ep with high luminosity

M.K. First measurement of  $F_L$

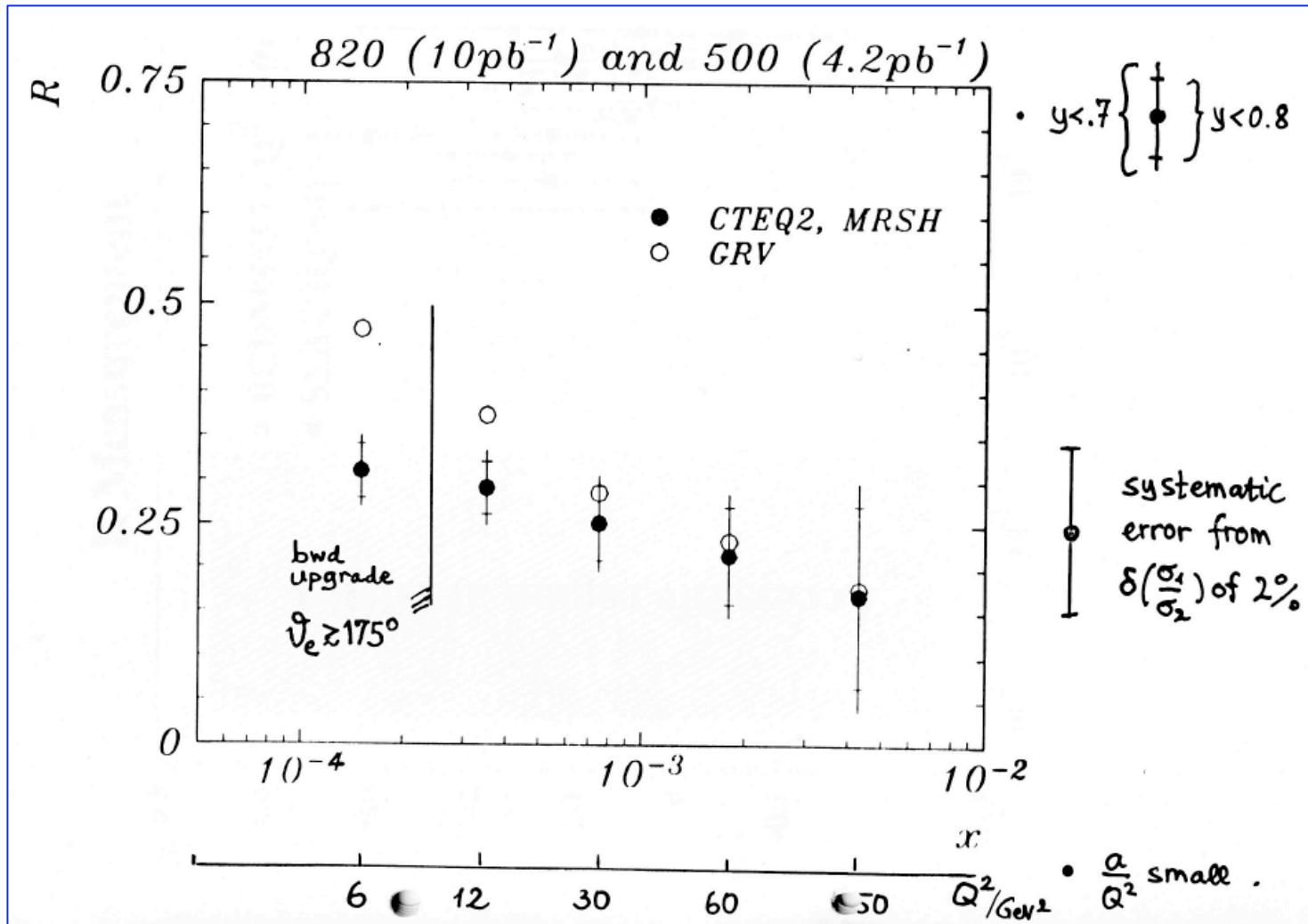
R.Brinkmann: HERA – e

F. Willeke: HERA – p

also HERMES and HERA-B talks

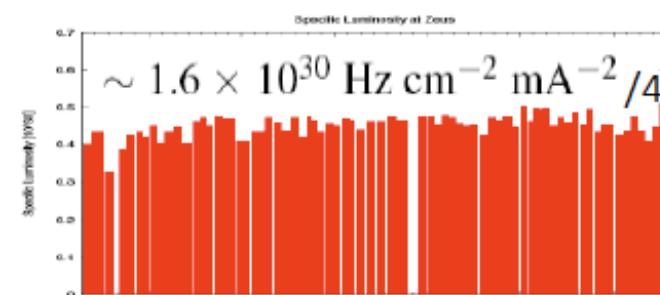
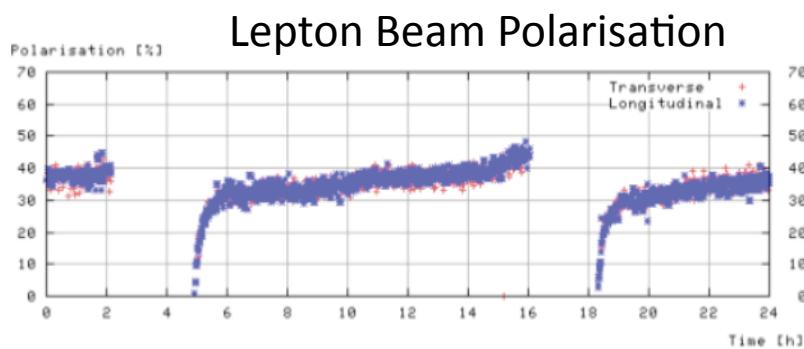
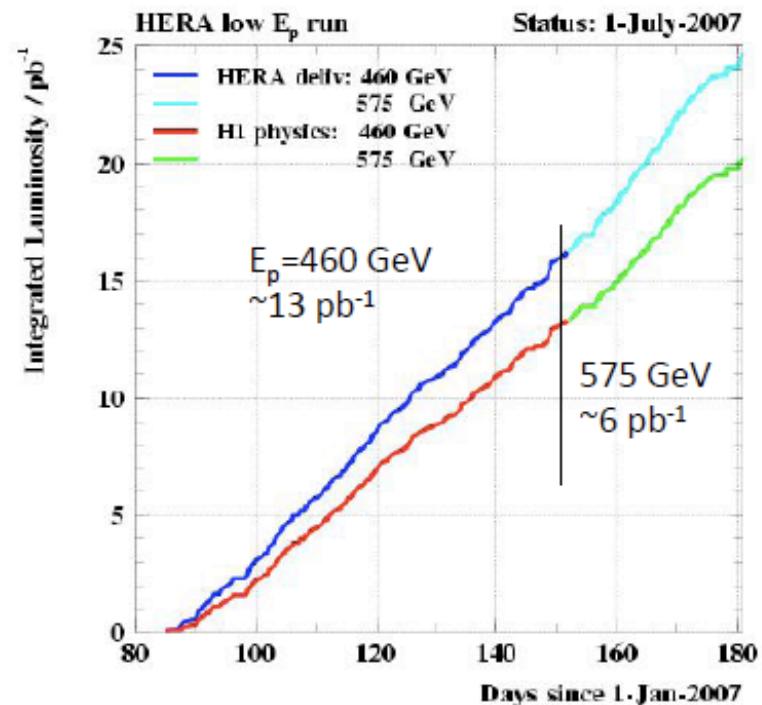
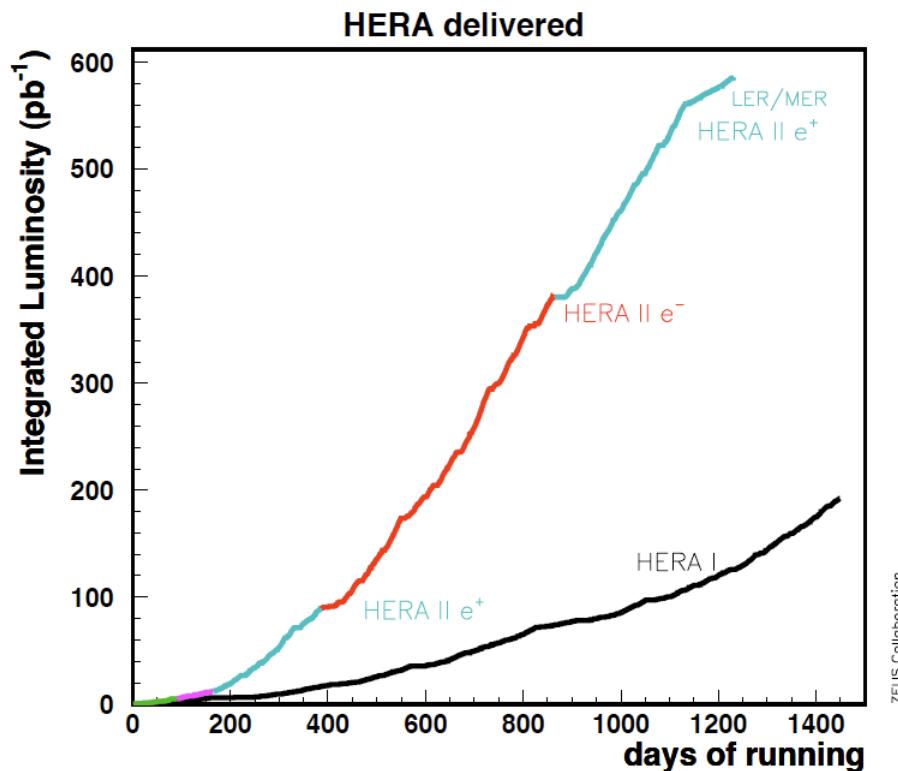
→ Foundation of luminosity upgrade programme

## Simulated R in 1994..



MK future of HERA meeting

# HERA 1992-2007

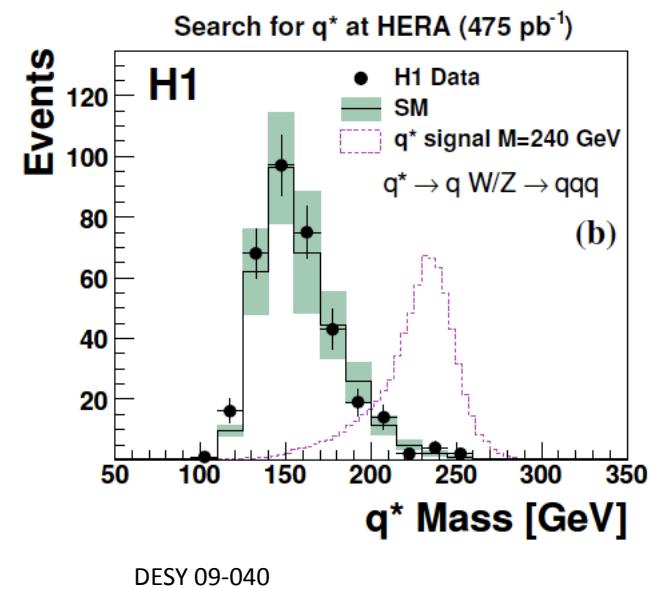
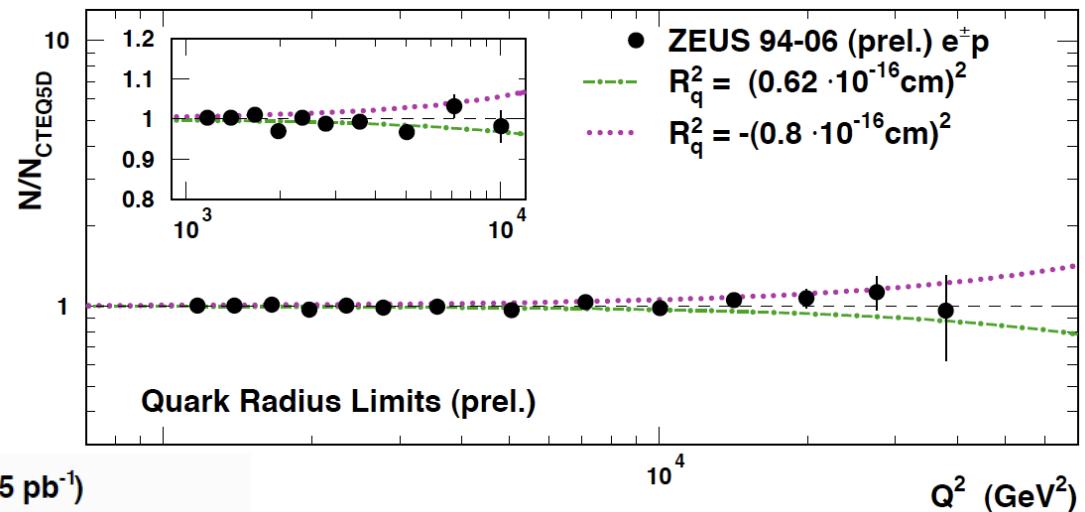
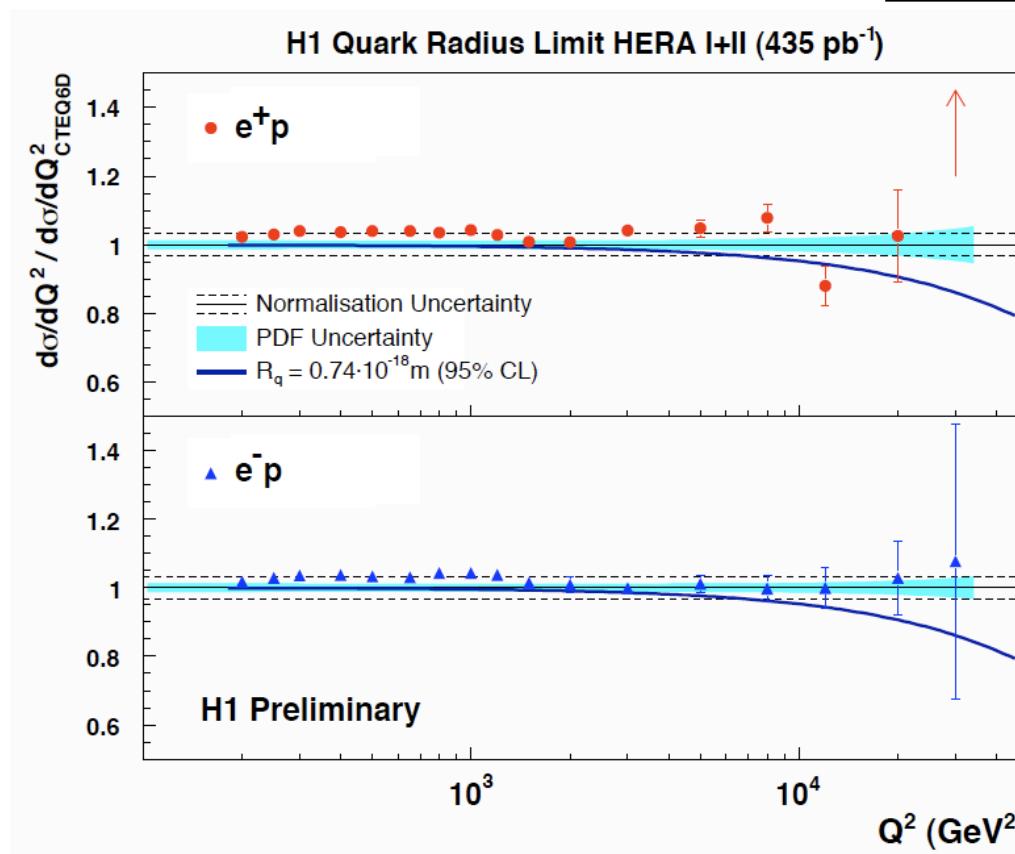


Specific luminosity over first 2 weeks

# Quark Substructure?

Preliminary results based on full HERA statistics:

no substructure of quarks, no  $q^*$ , corresponding limits on CI, ED,...

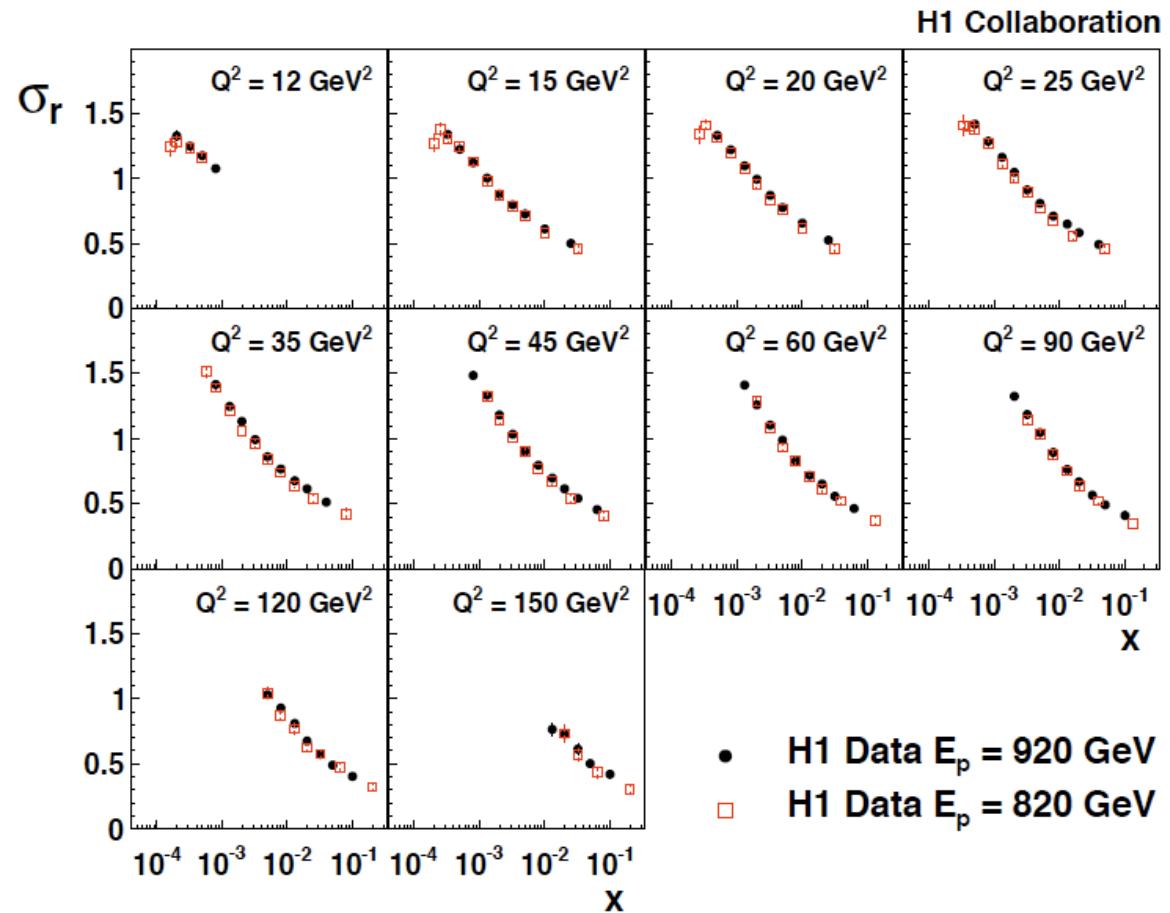
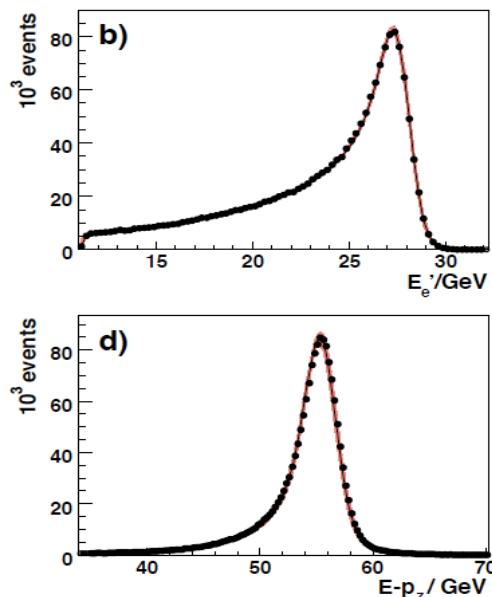


# The most precise $F_2$ measurement, H1

H1: DESY 09-005

Based on methods detailed in 08-171,  
medium  $Q^2$  accessed with maximum  
precision [1.3-2%]

Reanalysis of 97 (820 GeV) data,  
 $+0.5\%$  (lumi) and small change of  $Q^2$   
dependence due to reweighting error.  
Both data sets combined to one.



Electron scattered into SpaCal ("backwards"). Track with BDC  
cross checked with CJC and BST. 920 data taken in 2000,  
just before break for the luminosity upgrade of HERA..

# H1PDF2009

H1: DESY 09-005

$$xg(x) = A_g x^{B_g} (1-x)^{C_g} [1 + D_g x]$$

$$xu_v(x) = A_{u_v} x^{B_{u_v}} (1-x)^{C_{u_v}},$$

$$xd_v(x) = A_{d_v} x^{B_{d_v}} (1-x)^{C_{d_v}},$$

$$x\bar{U}(x) = A_U x^{B_U} (1-x)^{C_U},$$

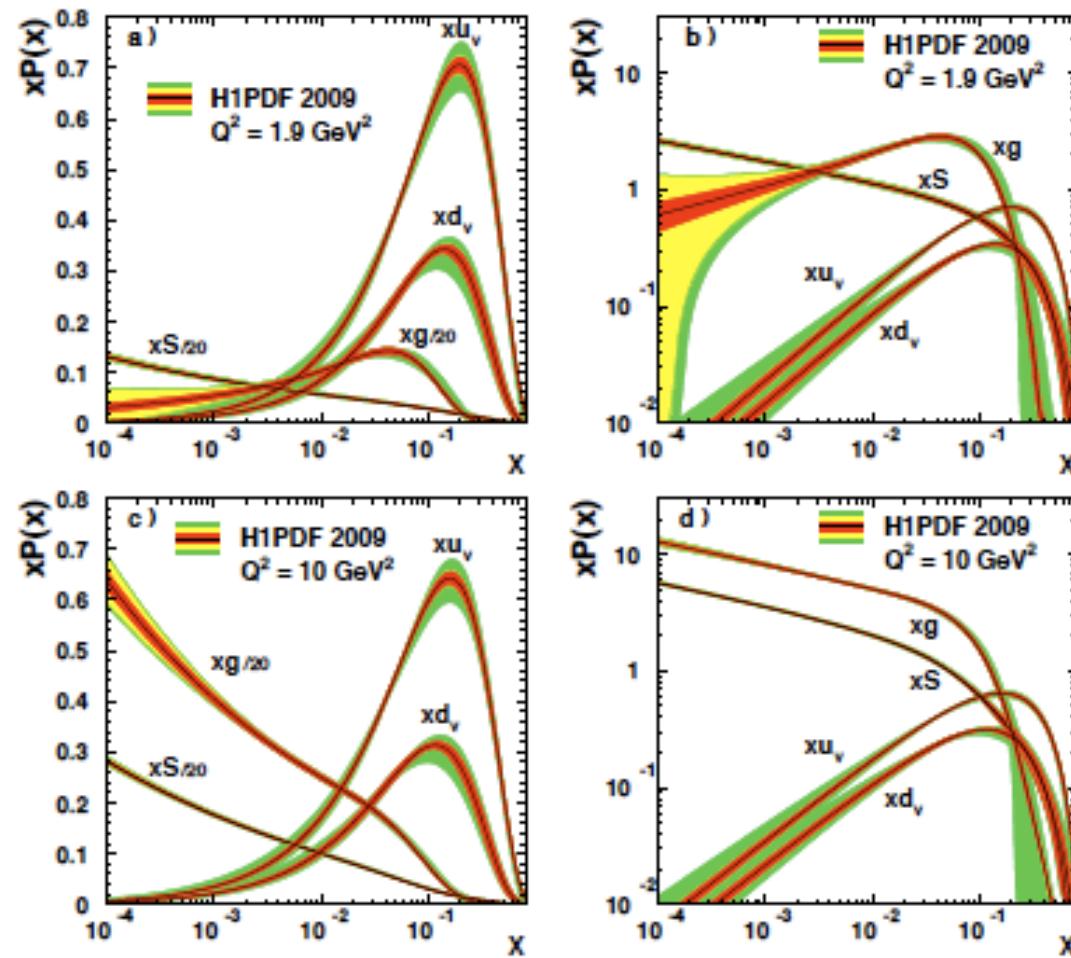
$$x\bar{D}(x) = A_D x^{B_D} (1-x)^{C_D}.$$

$$\chi^2_{\text{dof}} = 587/644, Q_0^2 = 1.9$$

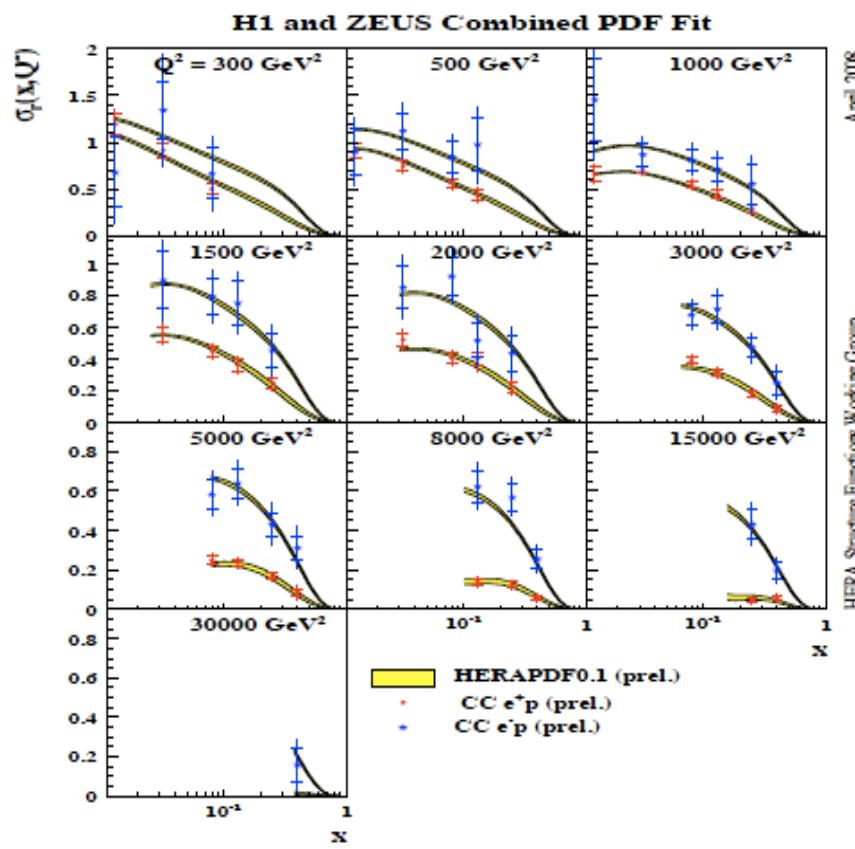
$xP$	$A_P$	$B_P$	$C_P$	$D_P$
$xg$	5.66*	0.243	18.76	34.0
$xu_v$	5.15*	0.784	3.25	—
$xd_v$	3.29*	0.784*	4.77	—
$x\bar{U}$	0.105*	-0.177	2.42	—
$x\bar{D}$	0.152	-0.177*	3.42	—

Sea at low  $x$  fixed by  $F_2$  (if  $d=u!$ )

Gluon at low  $Q^2, x$  uncertain, expressed via  $Q_0^2$  variation; at high  $x$  too, expressed as parameterisation choice variation. Get astonishingly easy a high sea at large  $x$ .. Large uncertainties at high  $x$  (masses)



“p is glue for  $x < 0.1!$ ”  
for  $Q^2 >$  few  $\text{GeV}^2$  (DIS)



Combinations: 06/07 high  $Q^2$  mainly  
DIS08: attempt for full systematic analysis  
including joint QCD fit to combined data.

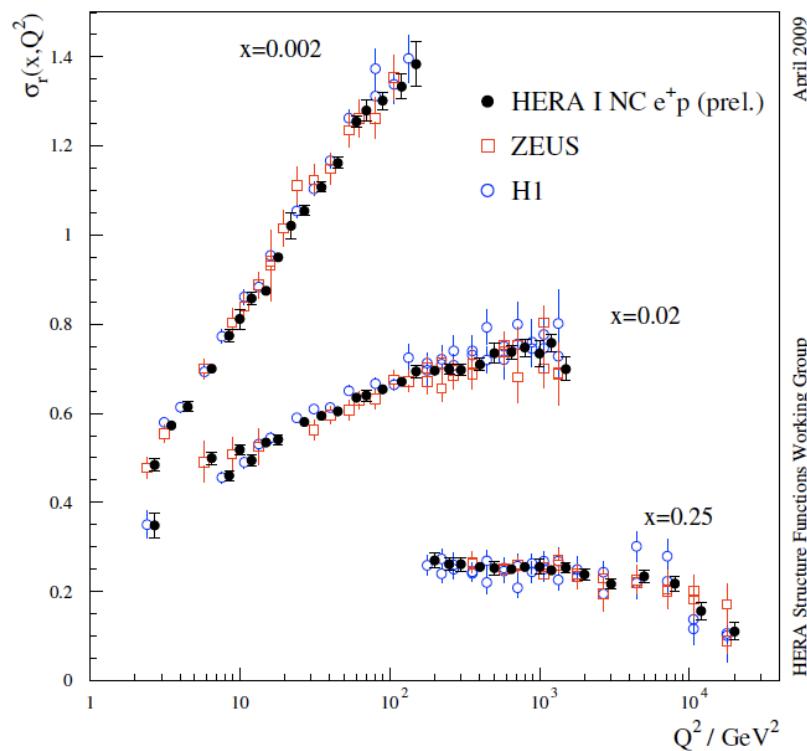
**DIS09: Madrid, end of April: new preliminary:**  
adapt to modified  $\chi^2$ , include new  
H1 data (which are 1.3-2% accurate  
in the bulk region). Fit close to H1pdf09:  
VFNS,  $Q_0^2$ , parameterisation and uncertainties

## Combination of H1+ZEUS Data

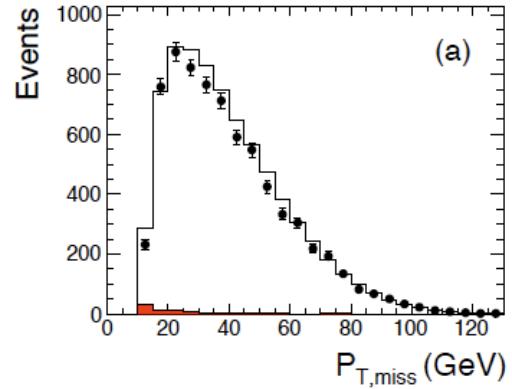
$$\chi_{\text{exp}}^2(m, a) = \sum_i \frac{\left[ m^i - \sum_j \frac{\partial \mu^i}{\partial \alpha_j} (a_j - \alpha_j) - \mu^i \right]^2}{\Delta_i^2} + \sum_j \frac{(a_j - \alpha_j)^2}{\Delta_{\alpha_j}^2}$$

$$\chi_{\text{tot}}^2(m, b') = \chi_{\text{min}}^2 + \sum_{i=1}^{N_M} \frac{\left[ m^i - \sum_{j=1}^{N_S} \Gamma_j^{i,\text{ave}} b'_j - \mu^{i,\text{ave}} \right]^2}{\Delta_{i,\text{ave}}^2} + \sum_{j=1}^{N_S} (b'_j)^2$$

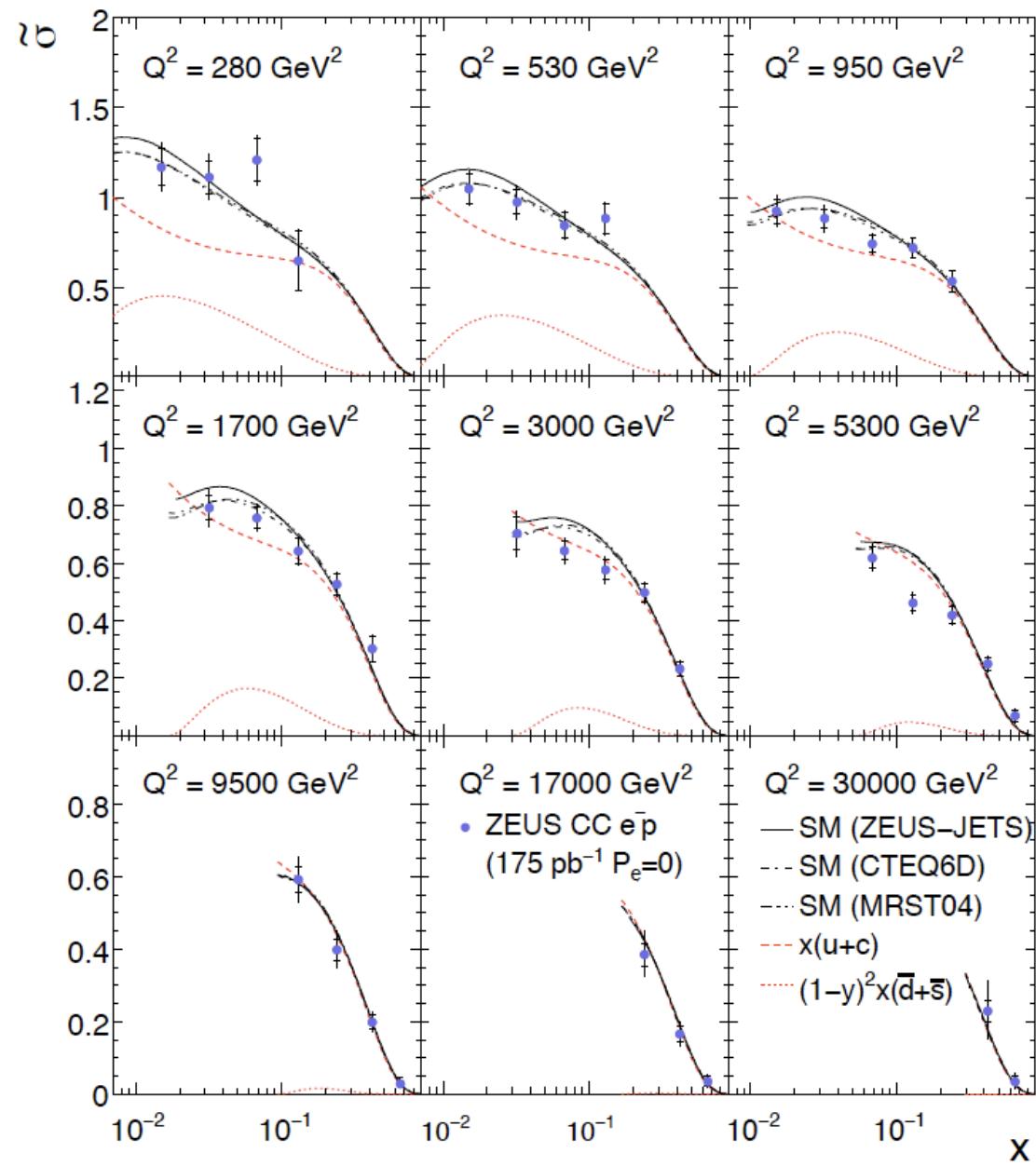
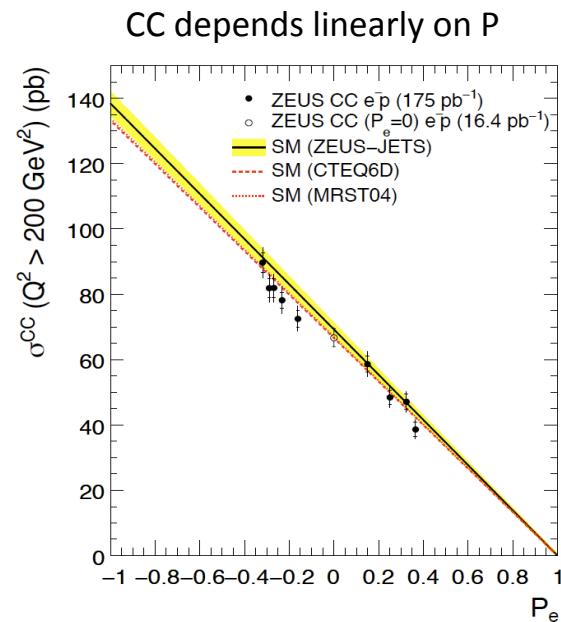
Minimisation for more than one data set with possible systematic error correlations among the sets (>100 sources in H1/ZEUS). Being used for data combination and QCD fit (as in H1  $F_2$  papers)



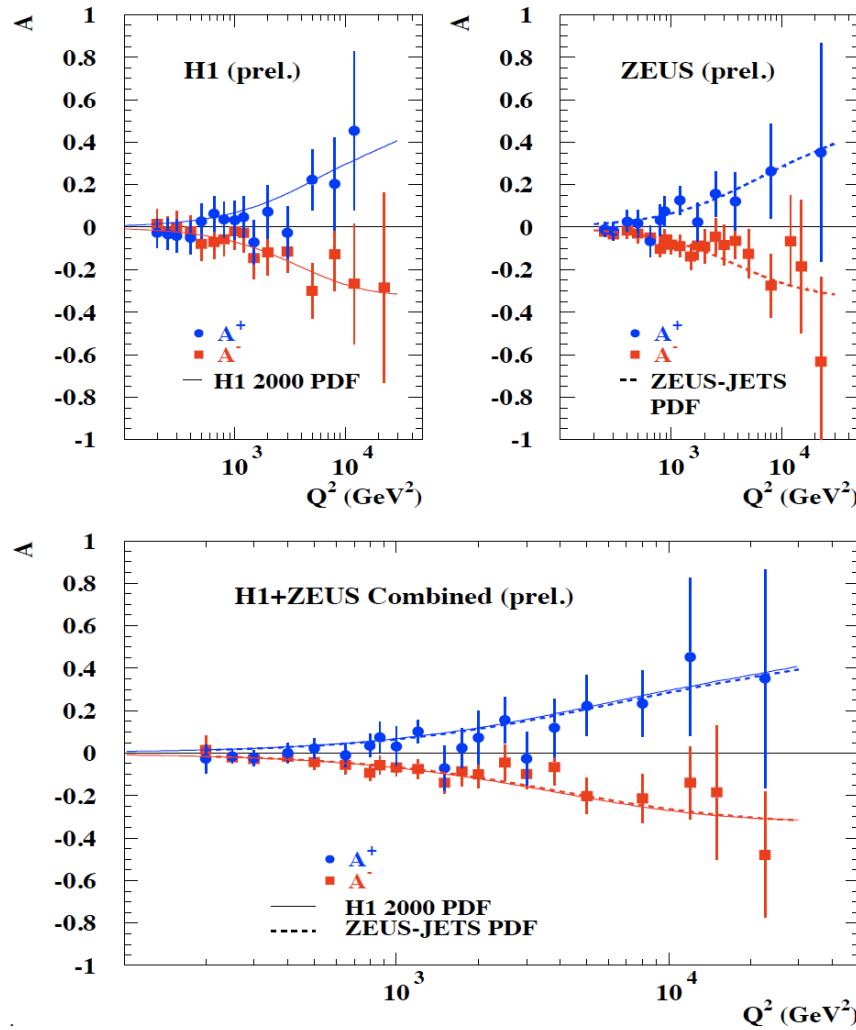
# The new high $Q^2$ CC ( $e^-p \rightarrow vX$ ) measurement by ZEUS



DESY 08-177, submitted  
HERA II,  $175 \text{ pb}^{-1}$



# u/d at large x - parity violation $A^\pm$



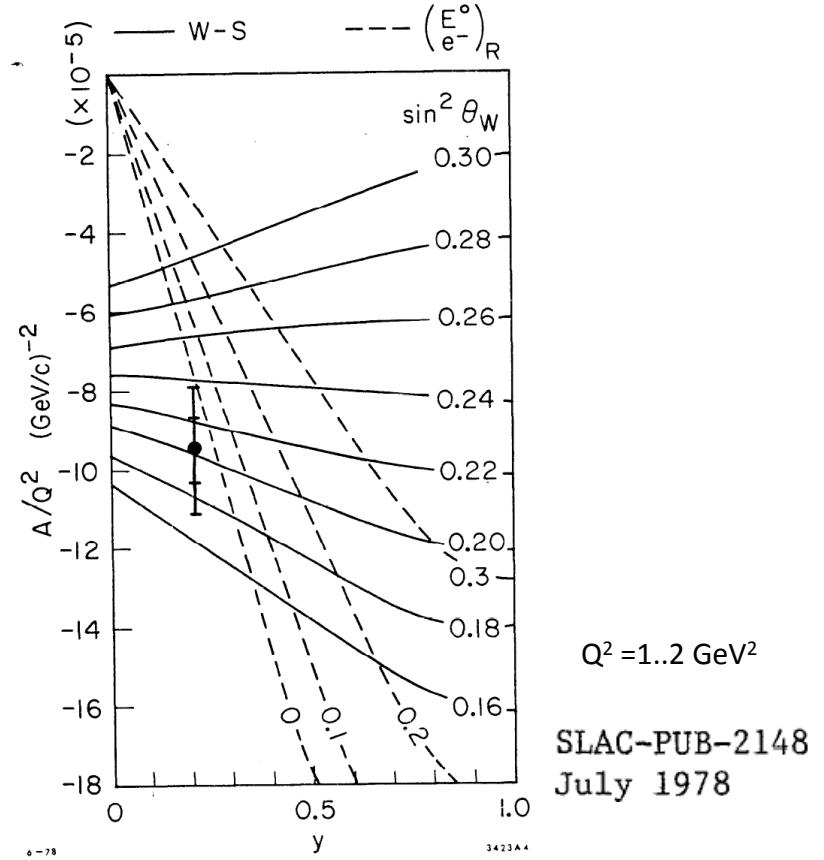
ICHEP06, H1prel. 06-142, ZEUSprel. 06-022

Cf L.Stanco

$$A^\pm = \frac{2}{P_R - P_L} \cdot \frac{\sigma^\pm(P_R) - \sigma^\pm(P_L)}{\sigma^\pm(P_R) + \sigma^\pm(P_L)}$$

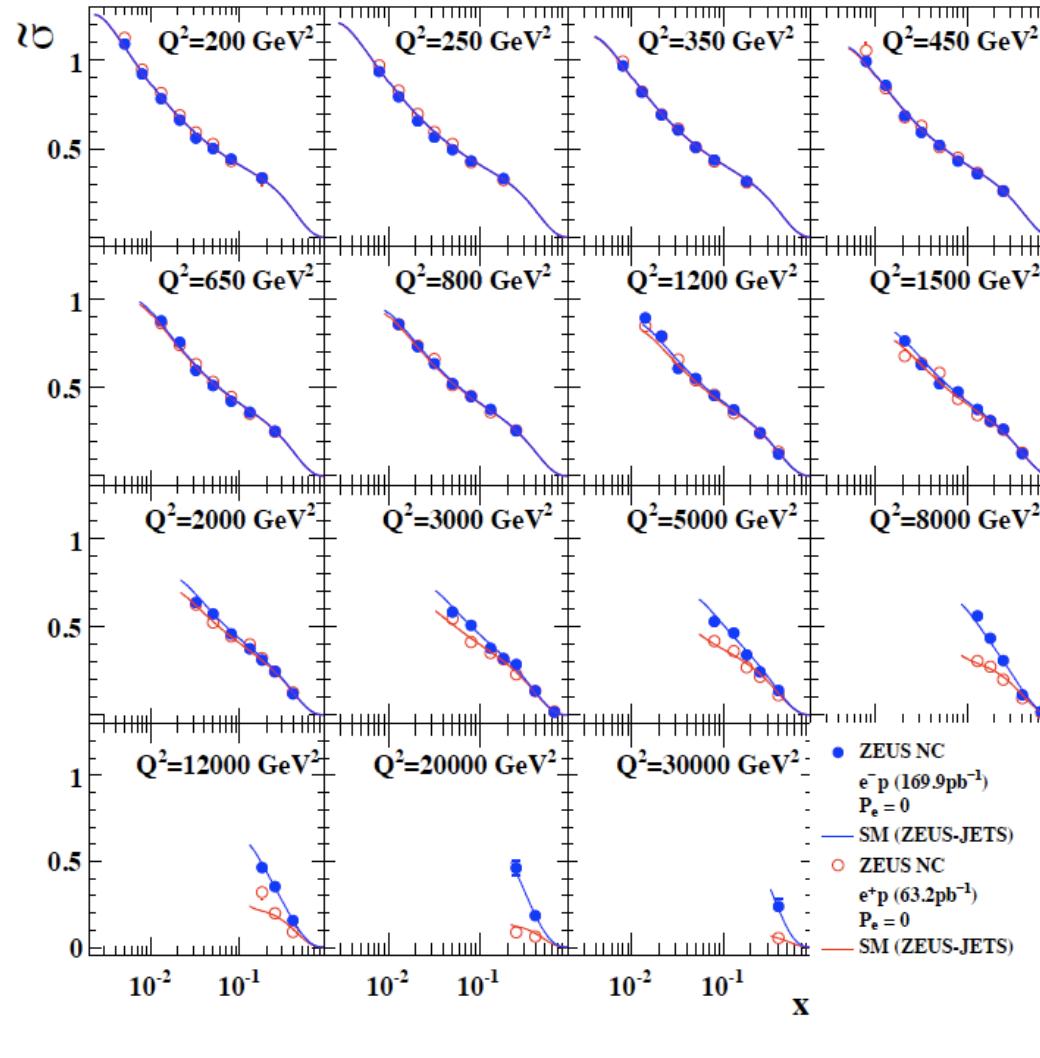
$$A^\pm \simeq \mp k a_e \frac{F_2^{\gamma Z}}{F_2}$$

DEUTERIUM TARGET

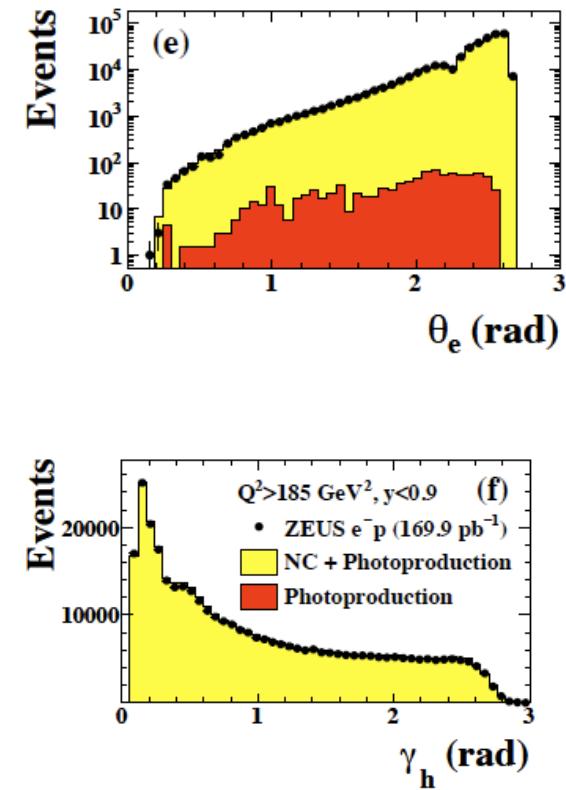


SLAC-PUB-2148  
July 1978

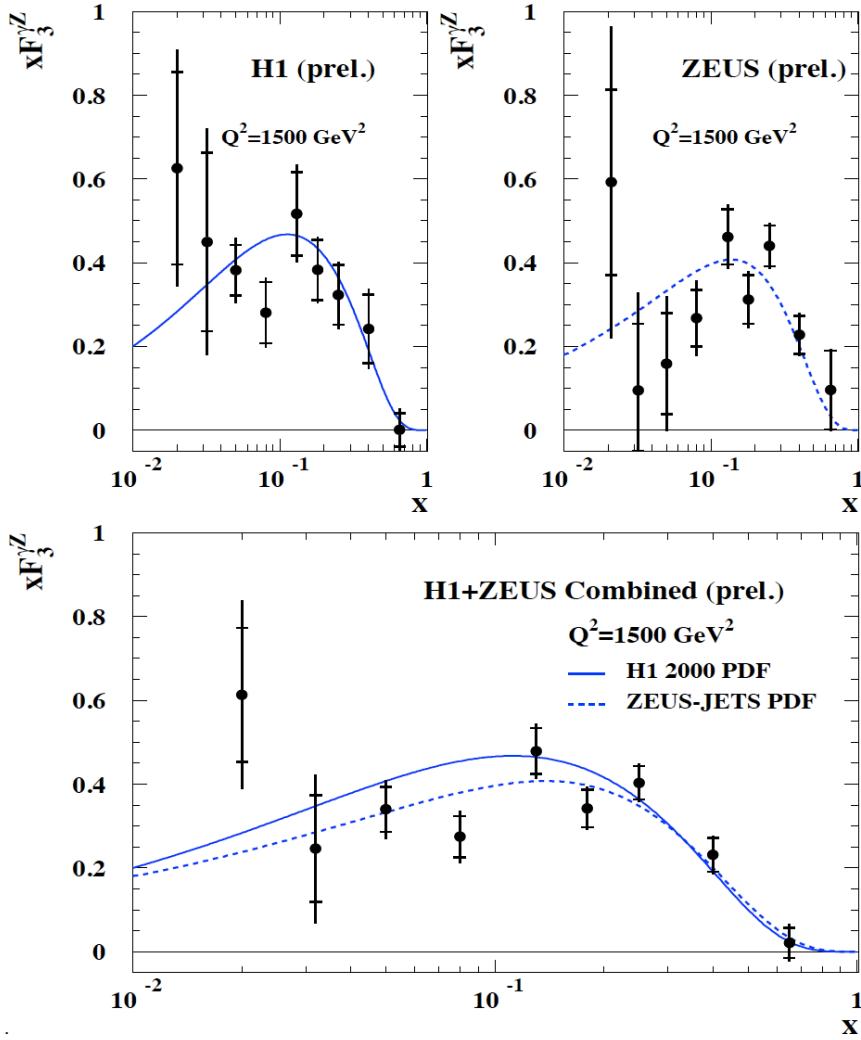
# The new high $Q^2$ NC ( $e^-p \rightarrow e^-X$ ) measurement by ZEUS



DESY 08-202, submitted  
HERA II,  $169 \text{ pb}^{-1}$   
polarised  $e^-$  beam  
Double angle method:



# Valence Quarks – $xF_3$

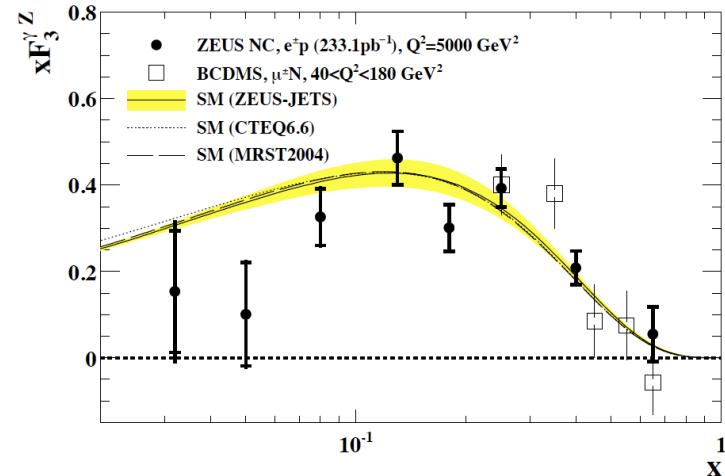


ICHEP06, H1prel. 06-142, ZEUSprel. 06-022

$$\tilde{\sigma}^- - \tilde{\sigma}^+ = 2 \frac{Y_-}{Y_+} (-a_e \cdot k x F_3^{\gamma Z} + 2 v_e a_e \cdot k^2 x F_3^Z)$$

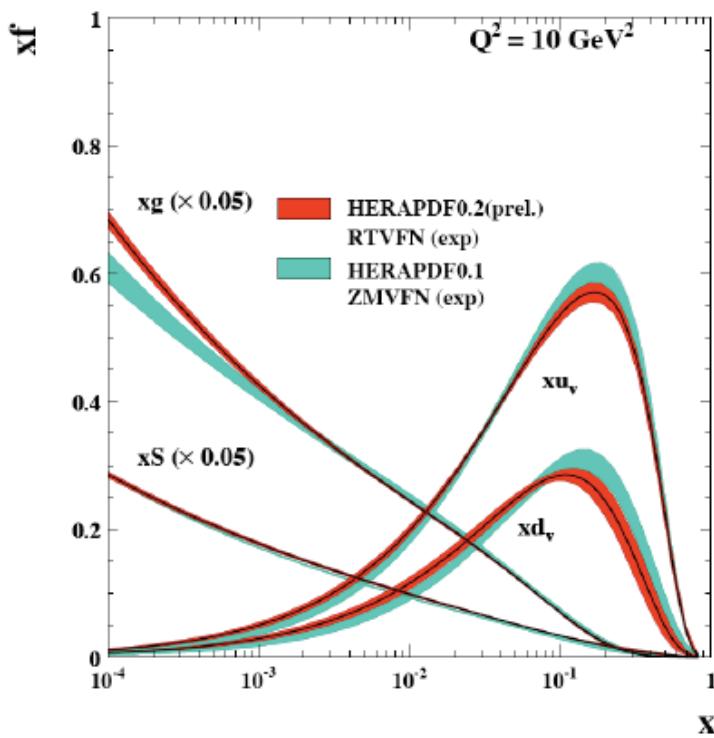
$$xF_3^{\gamma Z} = 2x [e_u a_u (U - \bar{U}) + e_d a_d (D - \bar{D})]$$

Measurement of valence quarks  $[2u_v+d_v]/3$   
down to low  $x$ , unless  $q_{\text{sea}} \neq \text{anti-}q$   
Difficult to measure at HERA, needs high  $Q^2$   
and contributes only at high  $y$  as  $Y_- = 1 - (1-y)^2$

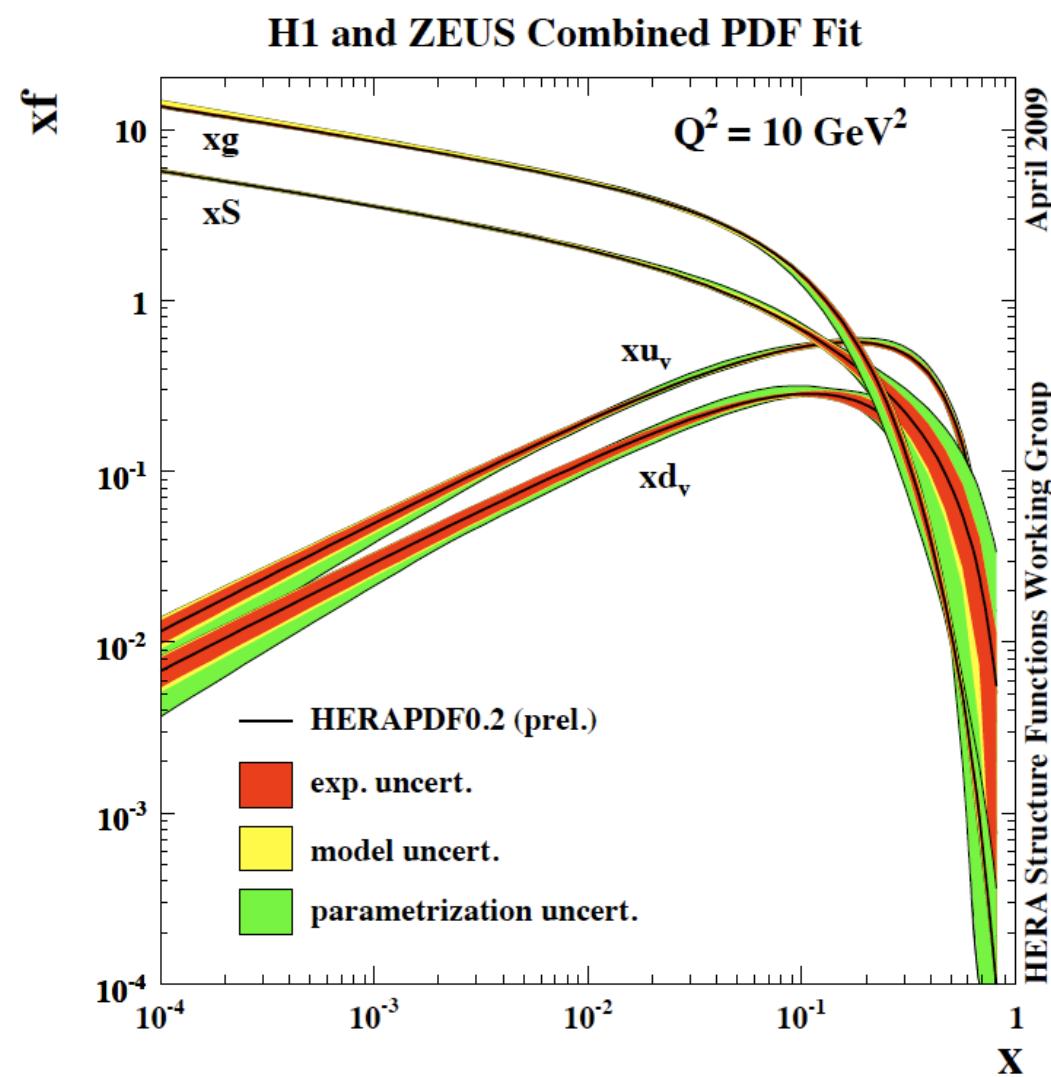


Update of  $x F_3$  by ZEUS, DESY 08-202

# HERAPDF0.2

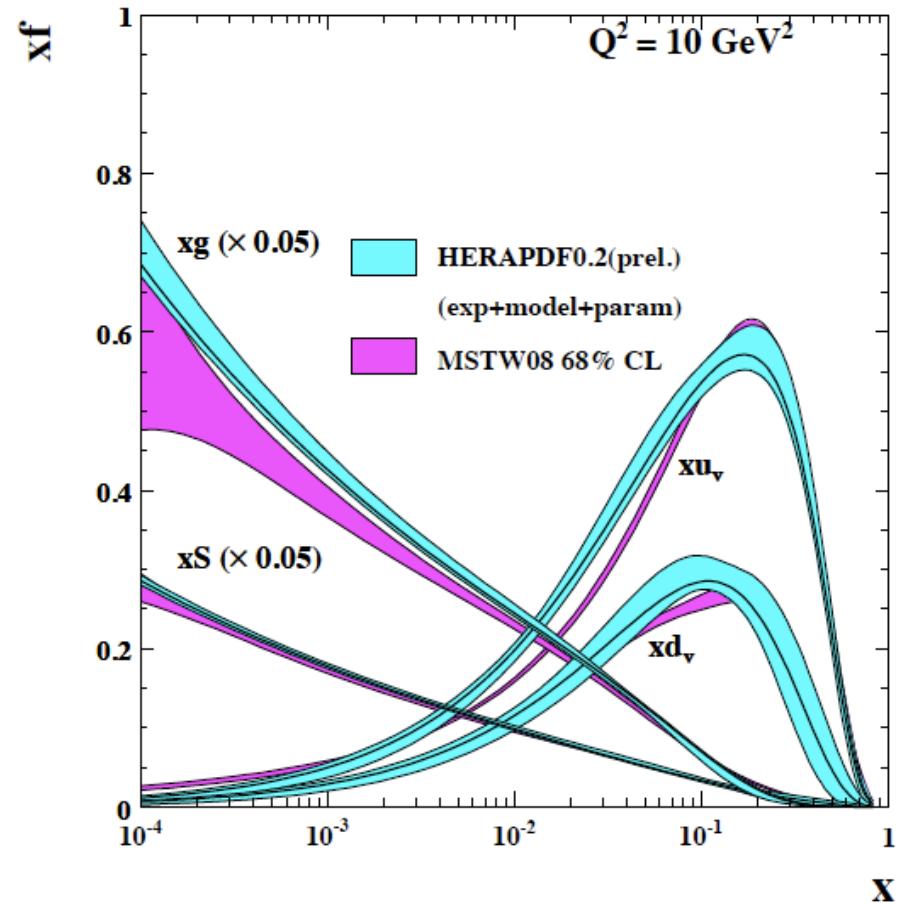
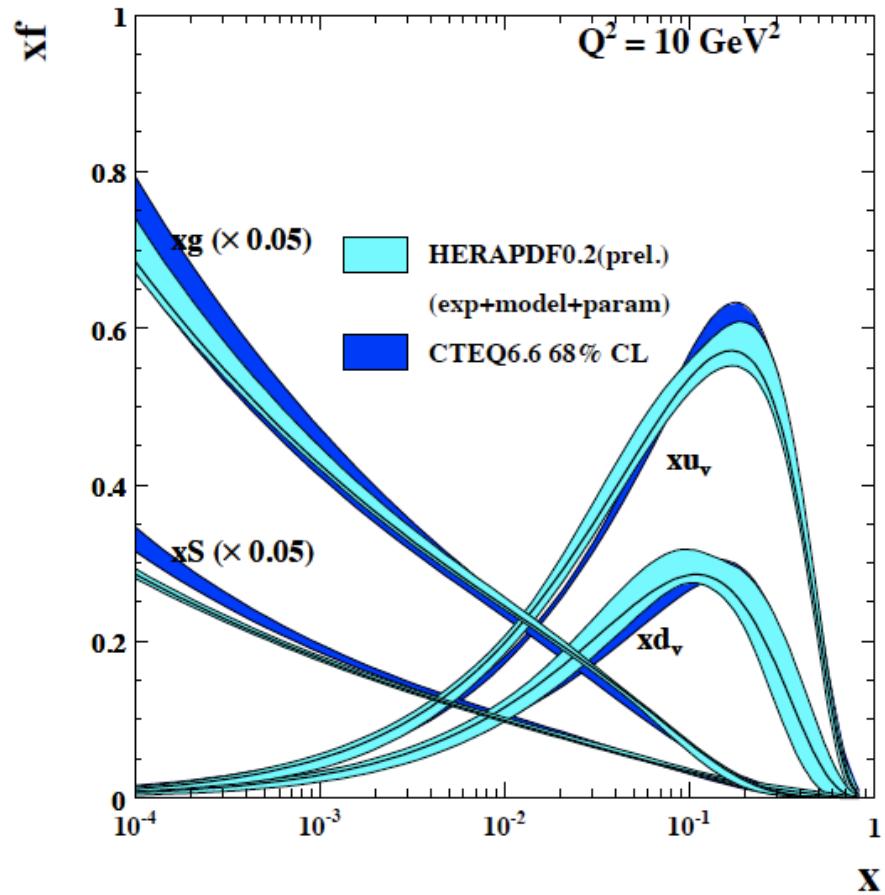


New precision H1 data in.  
Variable flavour scheme  
Pdf uncertainties  
9 parameters ( $Q_0^2=1.9 \text{ GeV}^2$ )  
Based on HERA I alone.  
Publication this year.

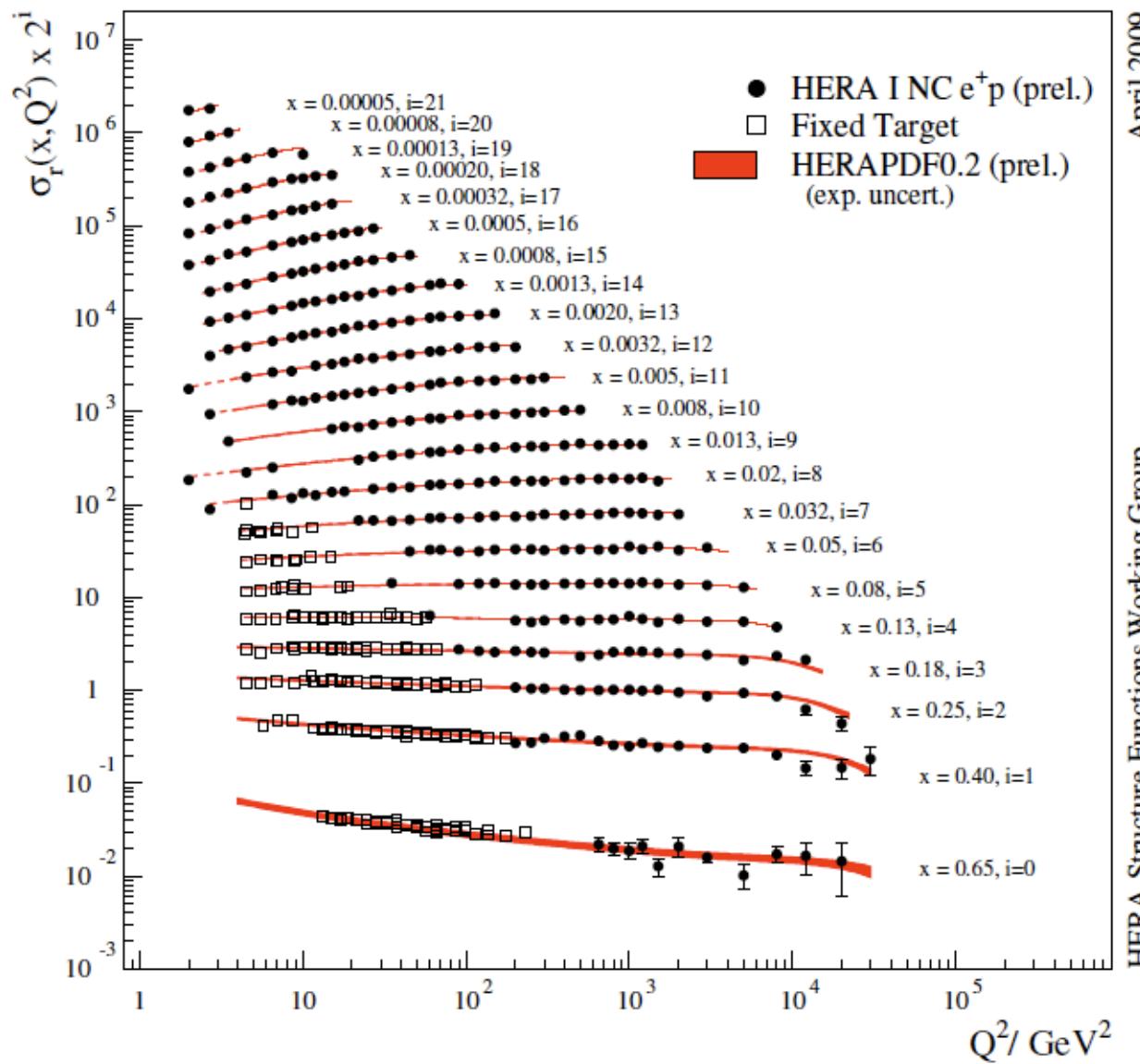


Assumptions on: strange, u/d at low x  
Dynamic generation of c,b. uncertainties  
at large x: parameterisation, input data,  $xg$

# HERApdf vs GLOBALs



# H1 and ZEUS Combined PDF Fit



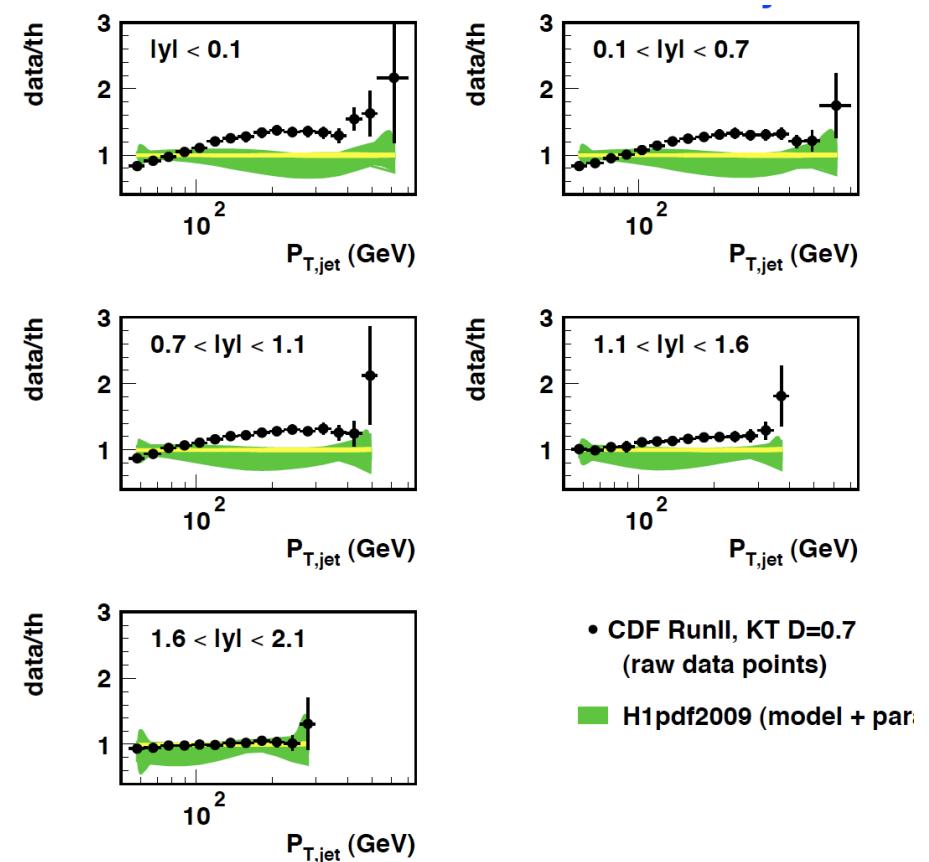
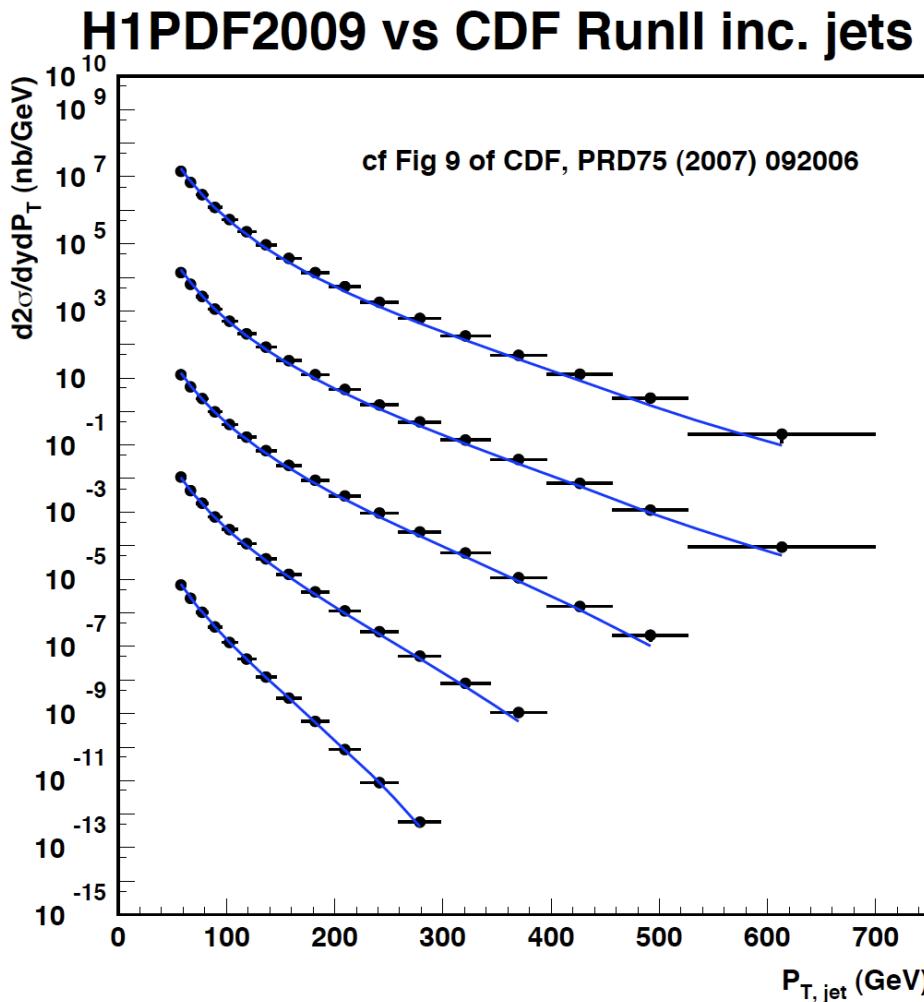
April 2009

HERA Structure Functions Working Group

BCDMS not  
part of the fit.

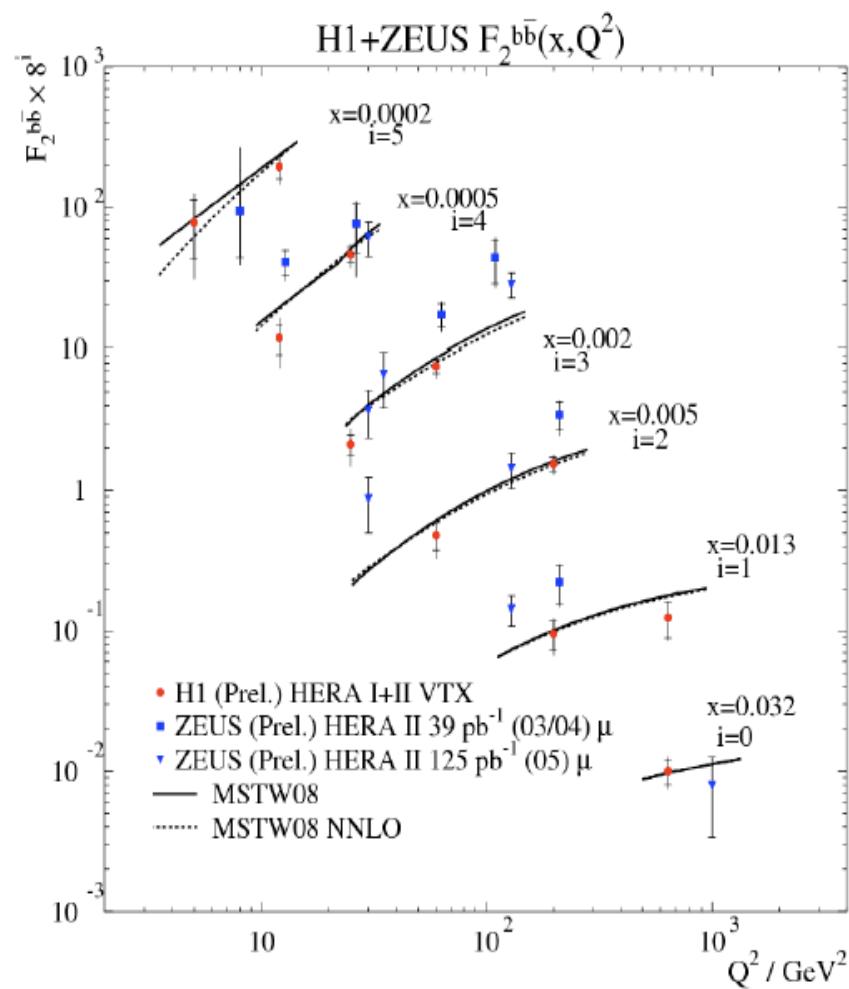
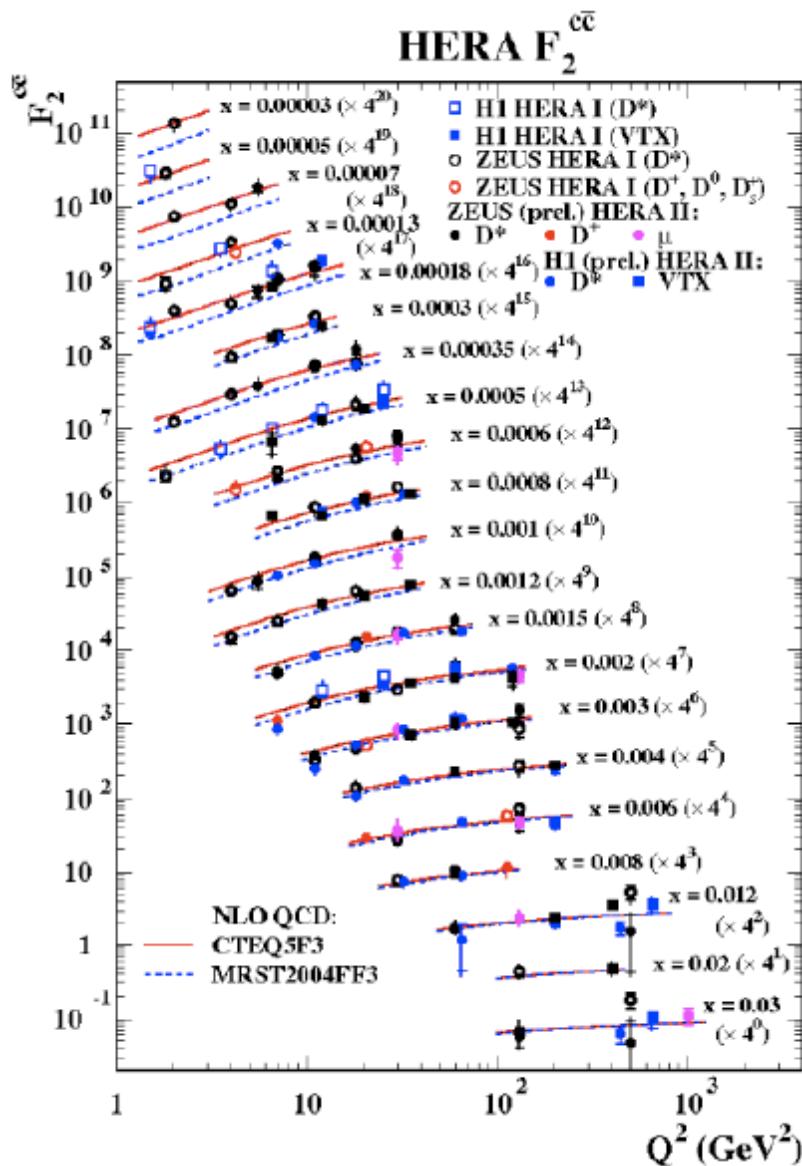
$\alpha_s$  to NNLO  
for the final  
analysis.

# Comparison with Tevatron



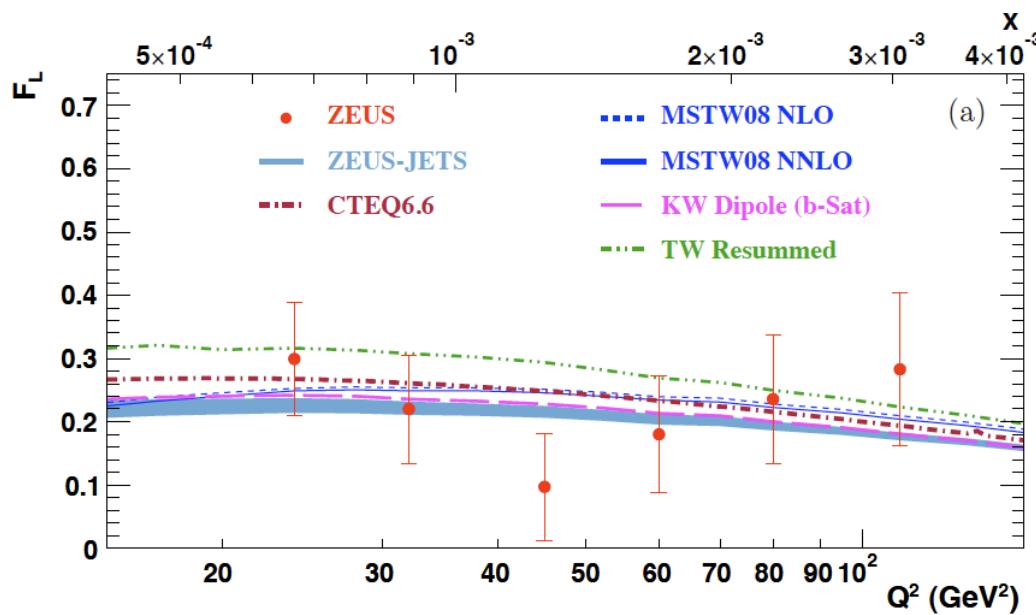
CDF not part of the fit.

# charm and beauty



In recent fits  $c$  and  $b$  are dynamically generated,  
i.e. the predictions are absolute [VFNS]  
Remember  $c=0$ , 20 years ago (EMC)

# The Longitudinal Structure Function - ZEUS



DESY 09-046.

Errors from joint fit allowing changes of systematics.

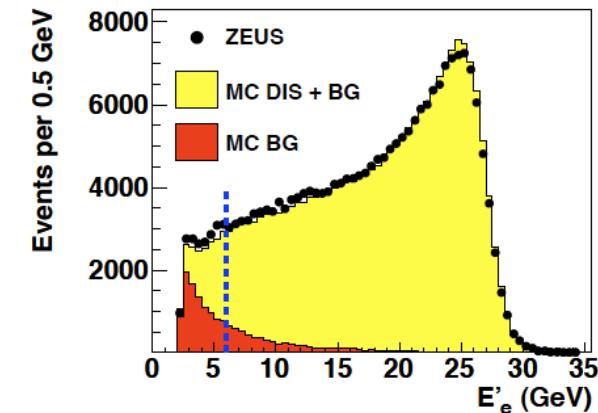
Published both  $F_L$  and  $F_2$

$$R = 0.18 + 0.07 - 0.05$$

remember  $R = 0.18 \pm 0.10$  from

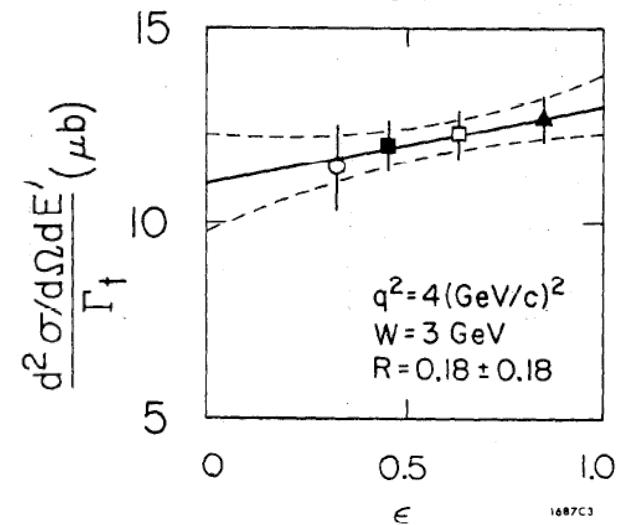
SLAC-PUB-815  
April 1971

J.Grebeniuk, DIS09

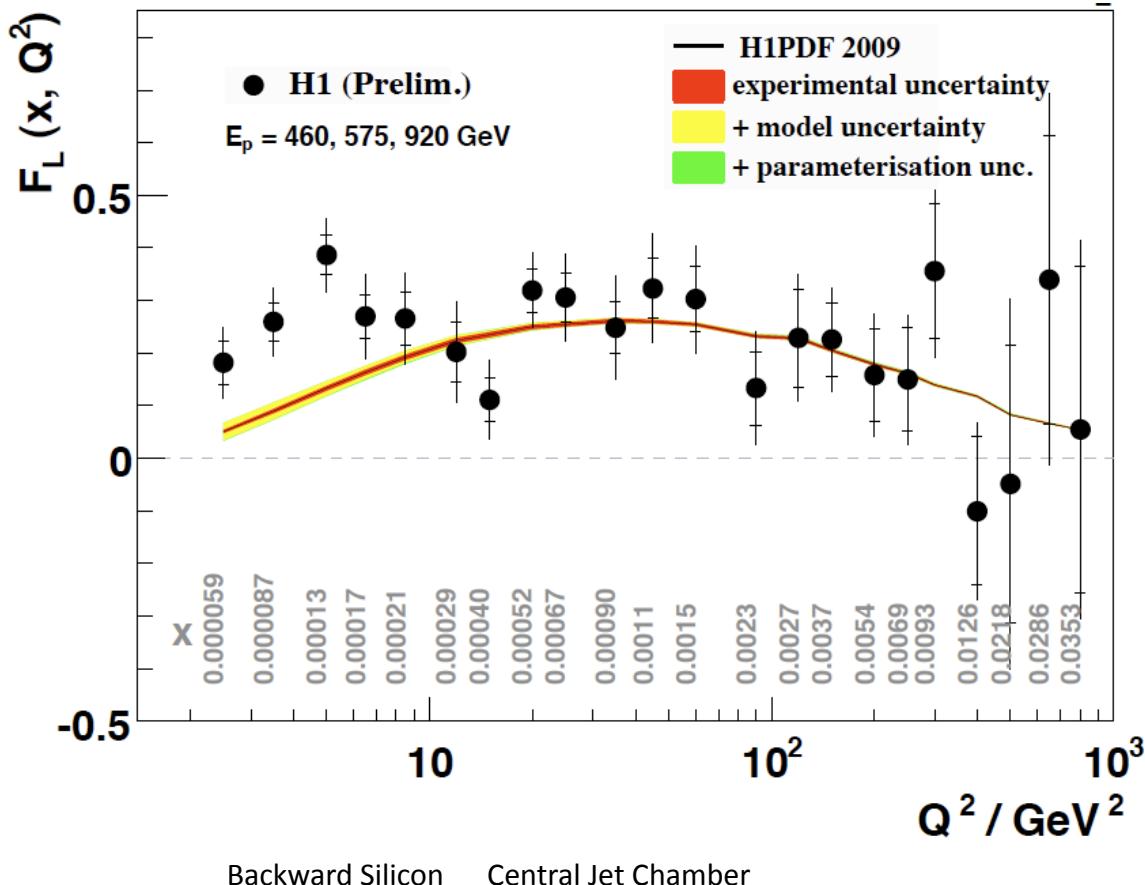


$E'_e > 6$  GeV.

eID with NN based on shower shape,  
some hit requirement (MVD, CTD)  
outside track reconstruction acc.  
Monte Carlo used for background subtraction



# The Longitudinal Structure Function $F_L$ - H1



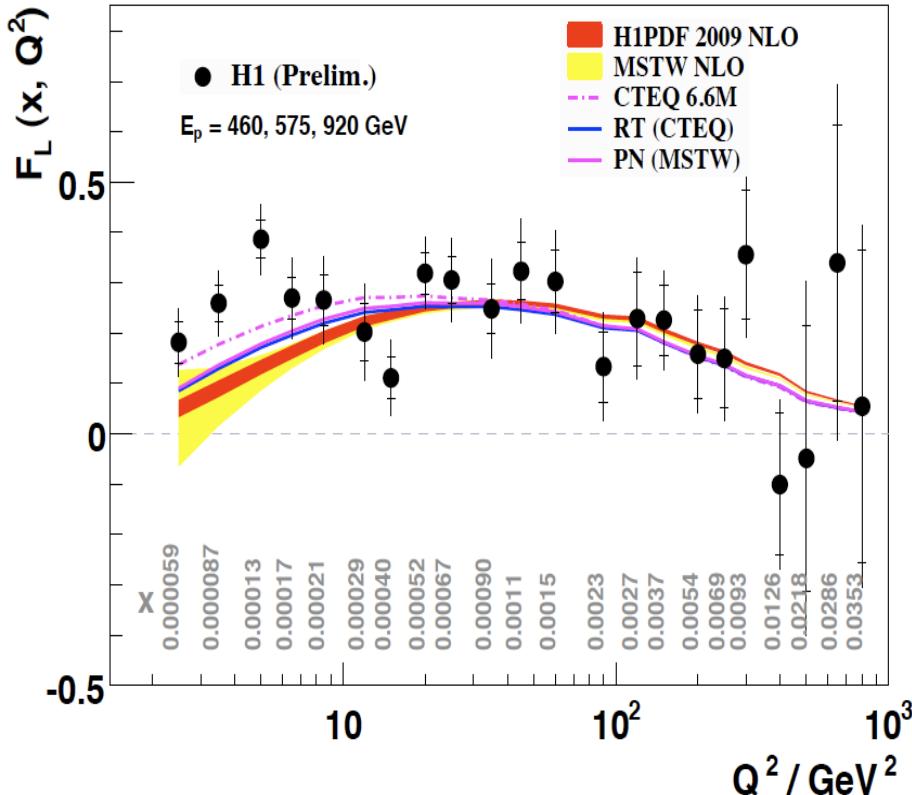
$E_e' > 3 \text{ GeV}$   
eID with max.  $p_T$   
BST/CJC track and  
charge determination  
to remove  $\gamma p$  bkgd

Values extracted point  
by point assuming  
uncorrelated errors  
at this stage.

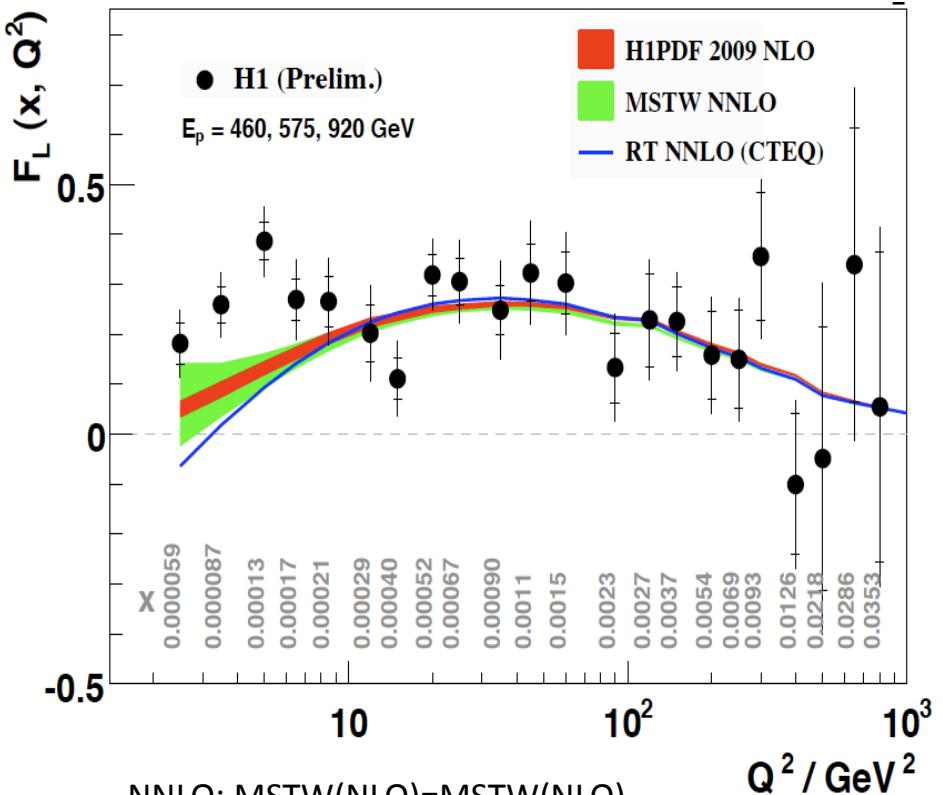
Results at medium  $Q^2$   
are consistent with ZEUS  
but error treatment differs.

Improvement over  
first  $F_L$  publication:  
F.D. Aaron et al.,  
Phys. Lett. B 665, 139 (2008)

# $F_L$ at low $x$ – some puzzles



MSTW =H109 (NLO)  
 CTEQ6.6 higher  
 Use CTEQ prescription to calculate  $F_L$ :  
 MSTW moves up !

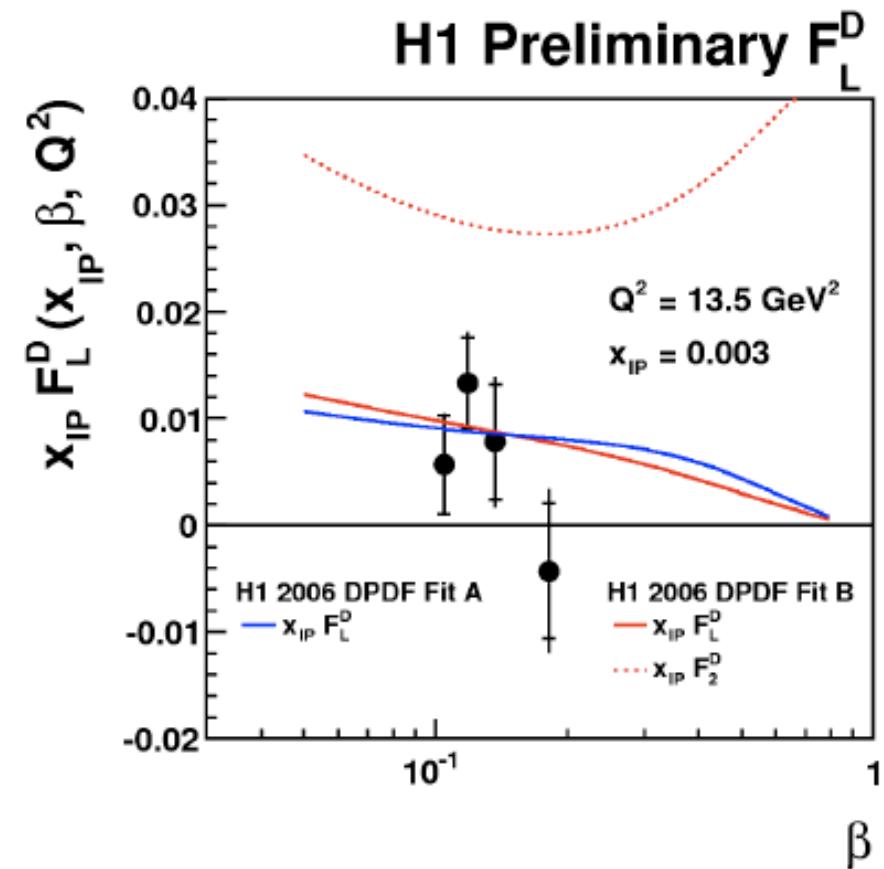
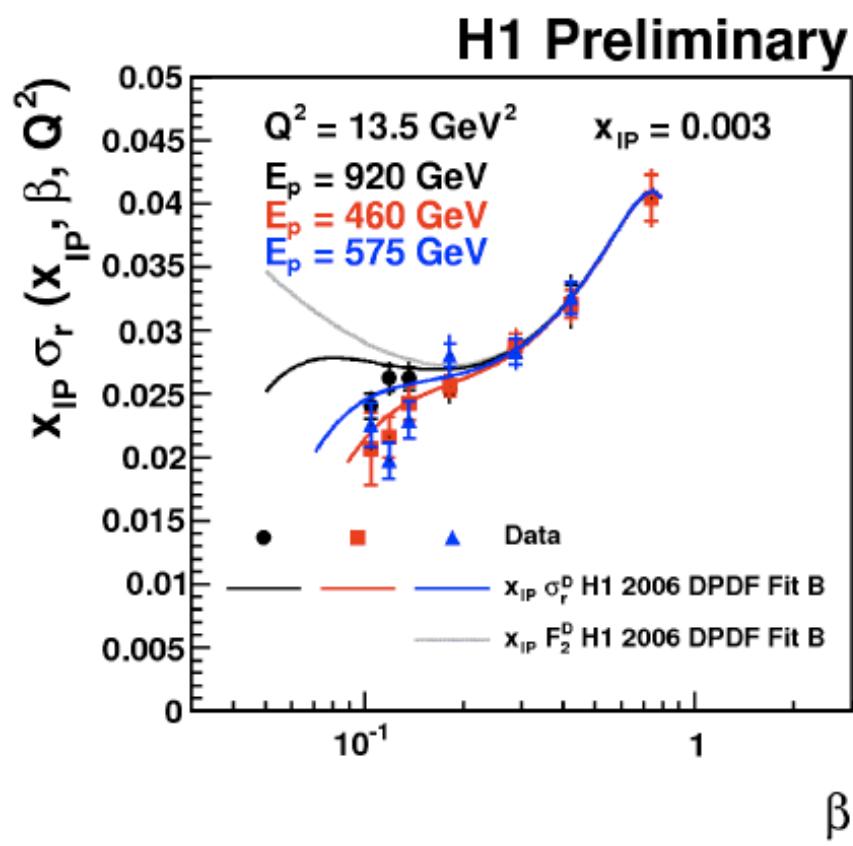


NNLO: MSTW(NLO)=MSTW(NLO)  
 but CTEQ moves down...

Need best possible measurement at low  $x, Q^2$   
 To help understanding h.o. QCD

Dipole models work.

$$\sigma_r = F_2^D - f(y) F_L^D$$



$$\frac{d^3 \sigma^{ep \rightarrow eXY}}{dx_{IP} d\beta dQ^2} = \frac{2\pi\alpha^2}{\beta Q^4} Y_+ \sigma_r^D(x_{IP}, \beta, Q^2)$$

David Šálek DIS09

# Summary

- Below  $x=0.1$  the proton structure in the DIS region is gluon dominated.
- Quarks are pointlike down to  $0.7 \cdot 10^{-18} \text{ m}$  – HERA was the best microscope of mankind
- Broadly, the observations (here  $F_2$ (inclusive, charm, beauty, diffractive) and  $F_L$ (inclusive, diffractive)) can be understood by NLO QCD
- A great development in the understanding of qg dynamics with new concepts as parton amplitudes, unintegrated distributions and the new area of high parton densities
- The HERA data and inclusive QCD fits are able to describe fixed target and Tevatron jet data, and yield predictions for the LHC ( $W, Z, Higgs$ )
- Next steps:
  - completion of data analysis (high  $Q^2$ ,  $y$ ,  $F_L$ , ...)
  - Studies of QCD at low  $x$ ,  $Q^2$  (stability of  $\alpha_s$ ,  $F_L$ )
  - Coupling constant and pdf's at NNLO ..

HERA was a remarkable success  
and so have been the collaborations: H1-ZEUS, HERA, Theory.

A review: M.K. and Rik Yoshida, Collider Physics at HERA, Prog. Part. Nucl. Phys. 61,343 (2008)

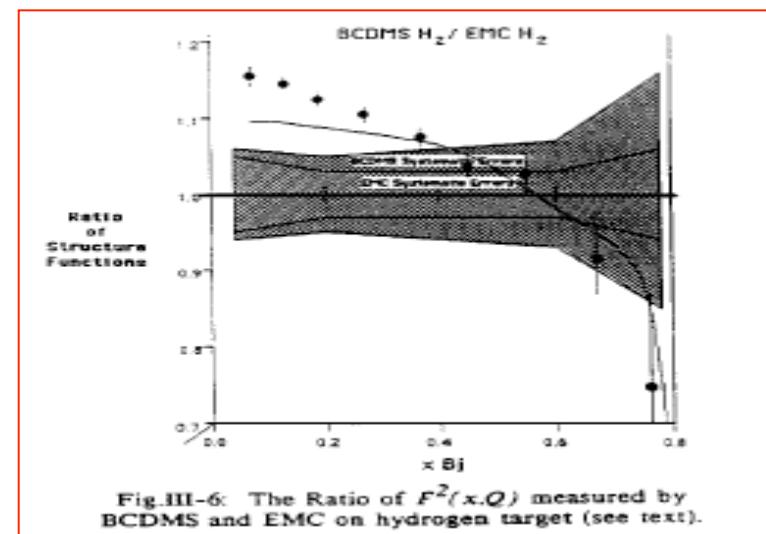
# 1989/90

MUON EXPERIMENTS			
	BCDMS	BFP	EMC
Target	C and H <sub>2</sub>	Fe	H <sub>2</sub> D <sub>2</sub> Fe
Energy	100 - 280	93, 215	120 - 280
x-range	.06 - .80	.08 - .65	.03 - .65
Q <sup>2</sup> -range	25 - 280	5 - 220	3 - 200
# events	C: 680K	690K	Fe: 1080K
R(x, Q <sup>2</sup> )	Expt.	0.0	0.0

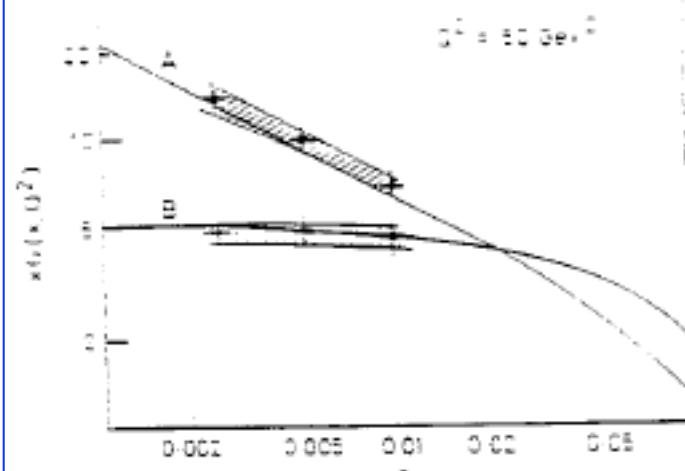
Table III-1: Major recent Muon Experiments.

NEUTRINO EXPERIMENTS				
	BEBC	CCFRR	CDHSW	CHARM
Target	Ne H	Fe	Fe	Marble
Energy	10 - 200	30 - 250	30 - 300	10 - 200
x-range	.025 - .80	.02 - .65	.02 - .65	.02 - .55
Q <sup>2</sup> -range	2 - 70	1 - 200	0.2 - 200	0.2 - 100
R(x, Q <sup>2</sup> )	R(QCD)	R(QCD)	R(QCD)	0.1
# Events	25K	170K	940K	160K
SU(3) symmetry	$\bar{s} = 0.25 (\bar{u} + \bar{d})$	$\bar{s} = 0.2 (\bar{u} + \bar{d})$	$c = \bar{c} = 0$	$c = \bar{c} = 0$
Charm	slow rescale: $m_c = 1.5$		No correction	

Table III-2: Major recent charged-current Neutrino Experiments.



## The anticipated resolving power



FERMILAB-Conf-89/26

N

<sup>1</sup> Wu-Ki Tung<sup>a,b,c</sup>, J. G. Morfin<sup>b</sup>, H. Schellman<sup>b</sup>, S. Kunori<sup>d</sup>, A. Caldwell<sup>e</sup>, F. Olness<sup>f</sup>