
Rare Kaon Physics

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Outline

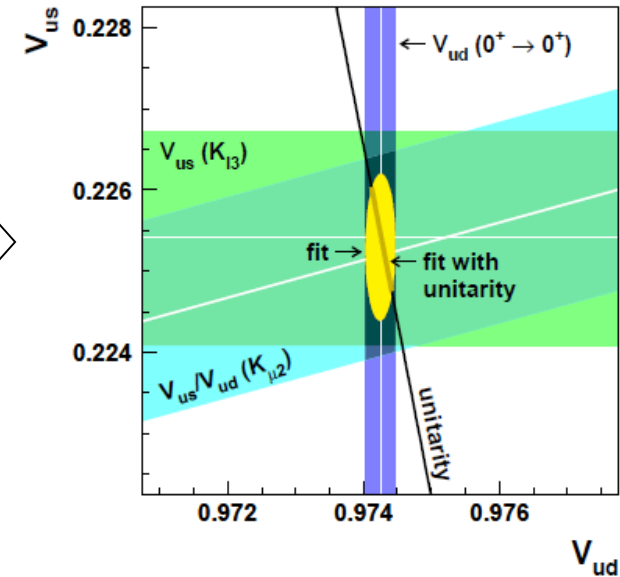
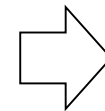
- × Kaon Physics: overview
- × Present days: setting the context
- × (Brief) theoretical introduction to $K \rightarrow \pi\nu\bar{\nu}$
- × NA62 @ CERN SPS
- × A glance toward the future
- × Conclusions

Kaon Physics: a Building Block of the Standard Model

- ✘ Discovery of strange particles: first observation of a quark-flavour not present in the ordinary matter [*Nature* 160 4077 (1947) 855]
- ✘ Postulation of neutral meson oscillation [*Phys. Rev* 97 (1955) 1387]
- ✘ $\theta - \tau$ puzzle: first hint of P violation [*Phys. Rev.* 104 (1956) 254]
- ✘ Discovery of CP violation in the K^0 mixing [*Phys. Rev. Lett.* 13 (1964) 138]
- ✘ 3 quark-model to describe the observed meson / baryon spectra [*Phys. Lett.* 8 (1964) 214]
- ✘ Prediction of the c quark to explain the unexpectly low observed branching ratio of the decay $K_L \rightarrow \mu^+ \mu^-$ [*Phys. Rev. D* 2 (1970) 1285]
- ✘ First evidence of CP violation in the K^0 decay (NA31@ CERN) [*Phys. Lett. B* 206 (1988) 169]
- ✘ Measurement of CP violation in the K^0 decay (NA48@CERN, KTeV@FNAL)
 $Re(\varepsilon'/\varepsilon) = (16.8 \pm 1.4) \times 10^{-4}$ [*Phys. Lett. B* 544 (2002) 97, *Phys. Rev. D* 83 (2010) 092001]

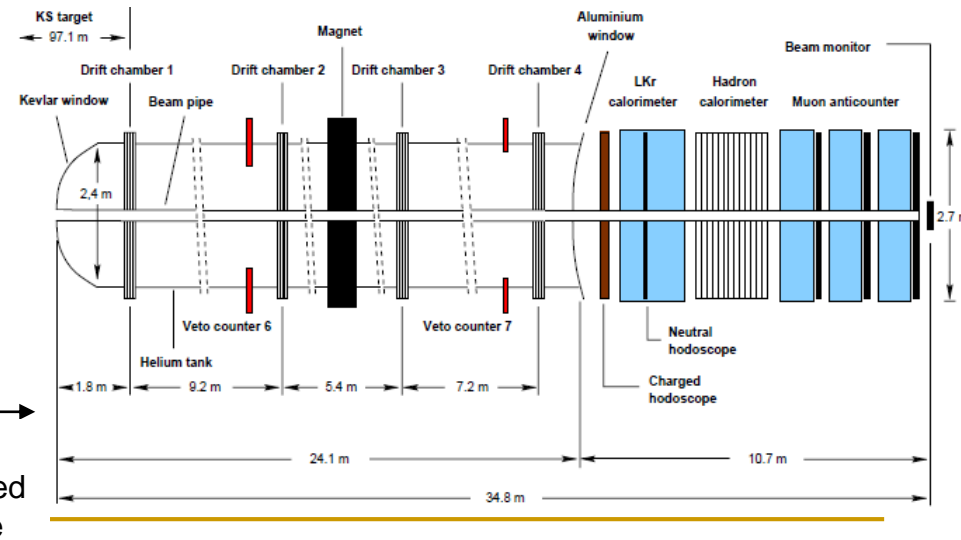
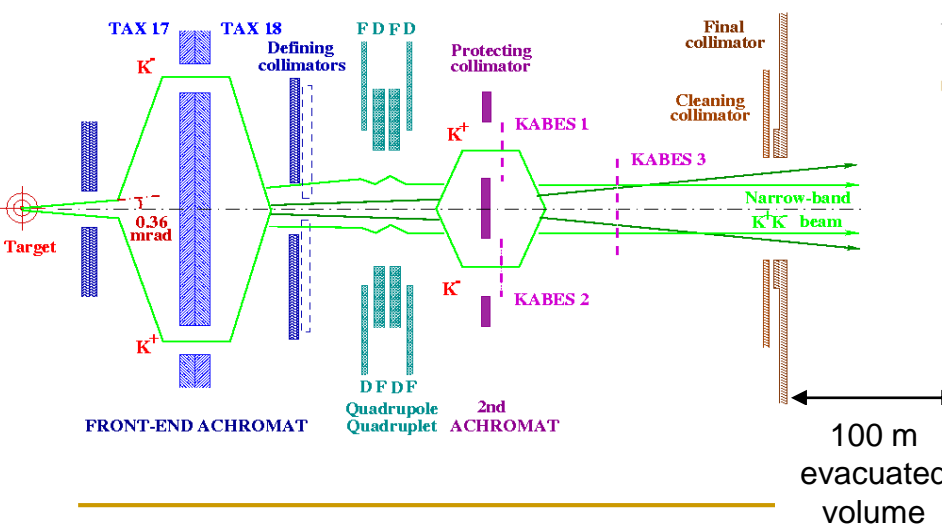
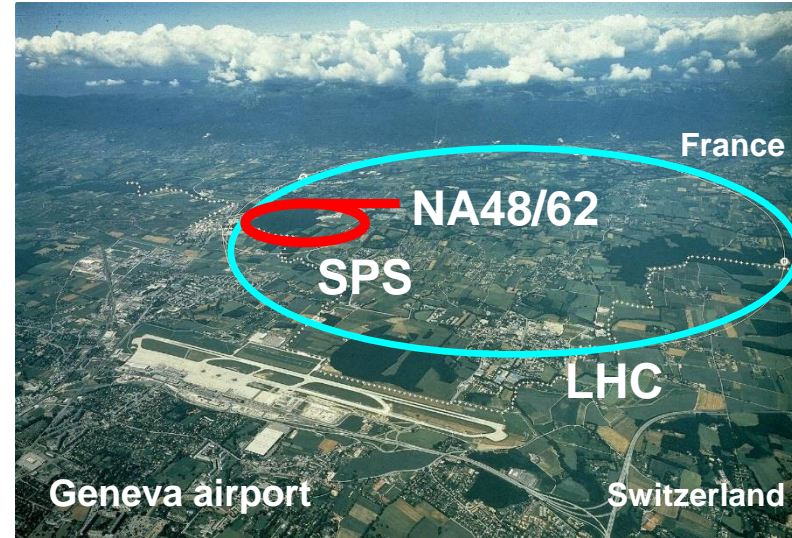
Kaon Physics: Toward the Present Era

- ✗ **Kaon Experiments** [pre-LHC era 2000 – 2010]
 - ✗ NA48 (CERN), KTeV (FNAL), KLOE (LNF), CPLEAR (CERN), E865 (BNL), ISTRA+ (Protvino)
- ✗ Low energy QCD (e.g. χ PT)
- ✗ Test of CPT symmetry invariance
- ✗ Precision test of the CKM unitarity
 - ✗ Most precise determination up to date of V_{us} from data on leptonic and semileptonic kaon decays [Eur. Phys. J. C 69 (2010) 399].
 - ✗ $|V_{us}| = 0.2253 \pm 0.0009$
- ✗ Test of lepton universality
- ✗ High order test of SM through rare K decays



Kaon @ CERN - SPS

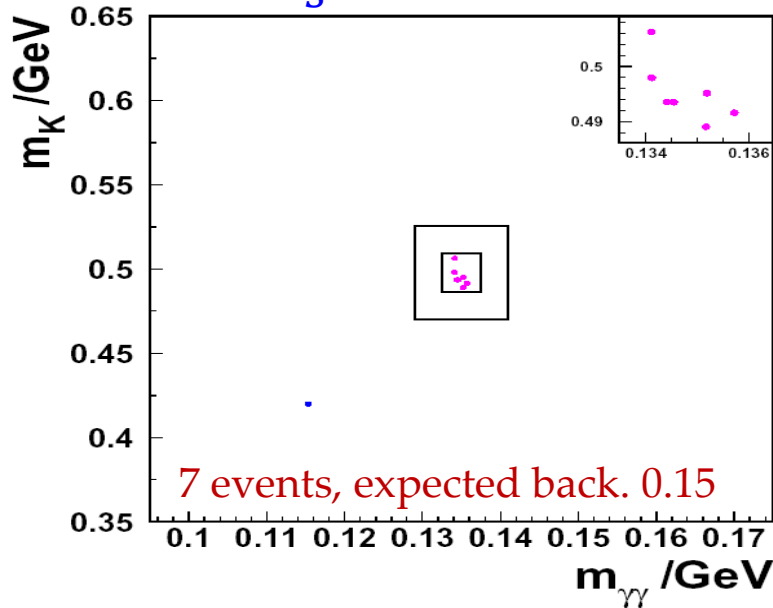
- '97-'01 NA48: ϵ'/ϵ
- '02 NA48/1: K_S (rare decays)
- '03-'04 NA48/2: K^\pm (CP violation),
semileptonic, low energy QCD
- '07-'08 NA62: Lepton universality



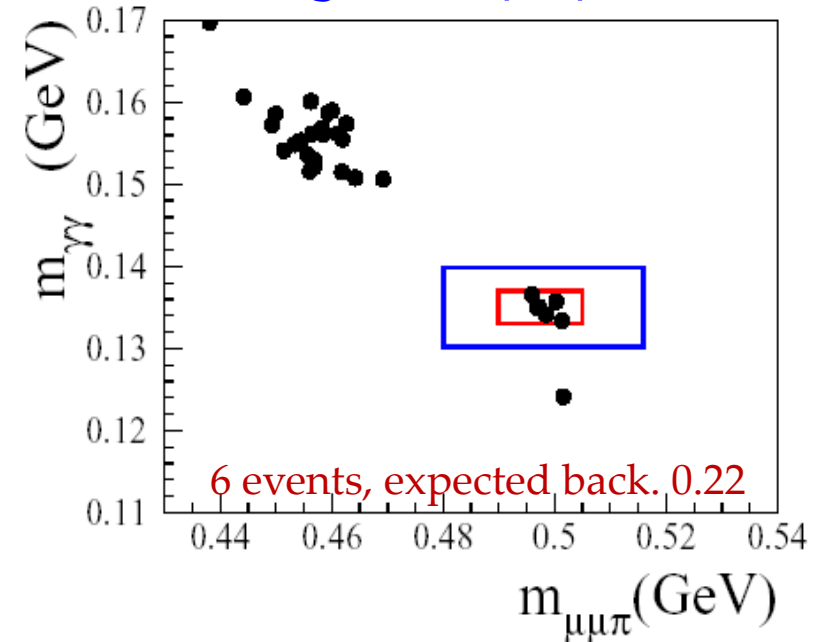


Rare Kaon Decays (NA48/1)

$$K_S \rightarrow \pi^0 e^+ e^-$$



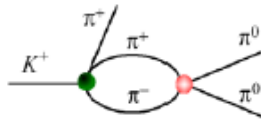
$$K_S \rightarrow \pi^0 \mu^+ \mu^-$$



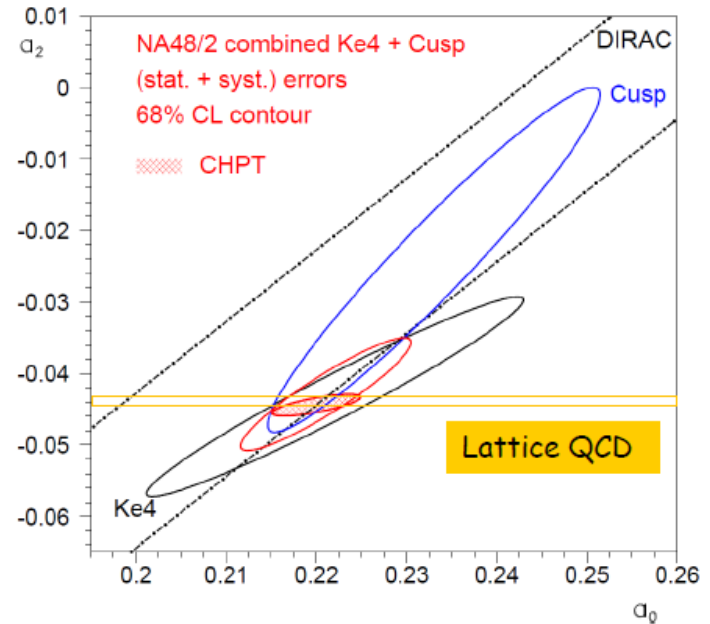
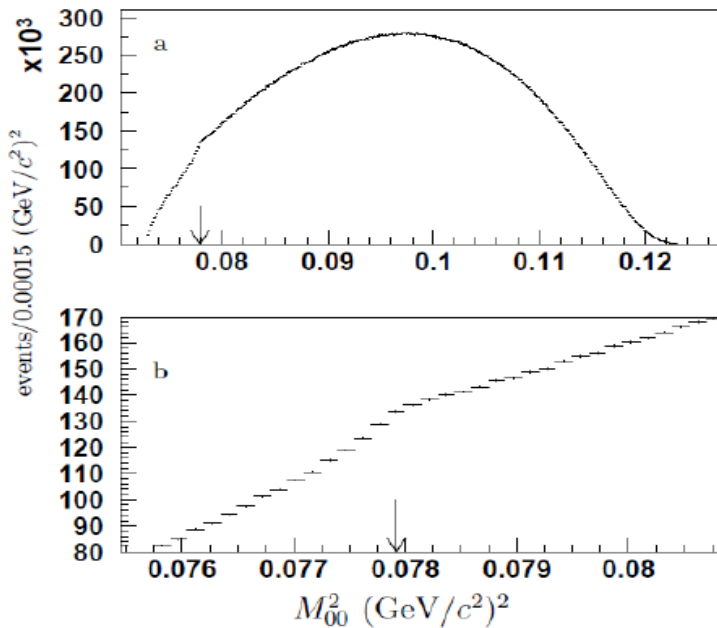
- ✗ $Br(K_S \rightarrow \pi^0 e^+ e^-) = (5.8_{-2.3}^{+2.8}(\text{stat}) \pm 0.8(\text{syst})) \times 10^{-9}$ [Phys. Lett. B 576 (2003)]
- ✗ $Br(K_S \rightarrow \pi^0 \mu^+ \mu^-) = (2.9_{-1.2}^{+1.4}(\text{stat}) \pm 0.2(\text{syst})) \times 10^{-9}$ [Phys. Lett. B 599 (2004)]

Low Energy Physics (NA48/2)

- ✗ $K^\pm \rightarrow \pi^\pm \pi^0 \pi^0$
- ✗ $\pi\pi$ scattering length



- ✗ $K^\pm \rightarrow \pi^+ \pi^- e^\pm \nu$ (K_{e4})
- ✗ $\pi\pi$ scattering length
- ✗ 1.13 M, 0.6% background



$$a_0^0 - a_2^0 = 0.2639 \pm 0.0020_{stat} \pm 0.0015_{syst}$$

$$a_2^0 = -0.0429 \pm 0.0044_{stat} \pm 0.0028_{syst}$$

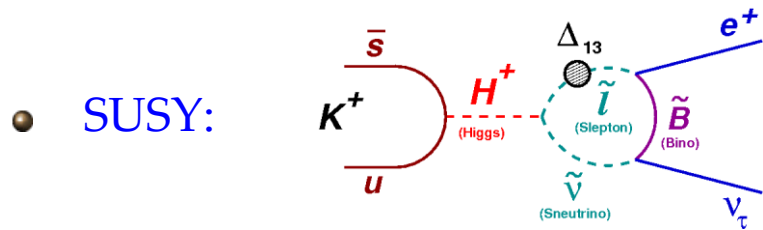
$$a_0^0 = 0.2210 \pm 0.0047_{stat} \pm 0.0040_{syst}$$

[Eur. Phys. J. C 64 (2009) 589]

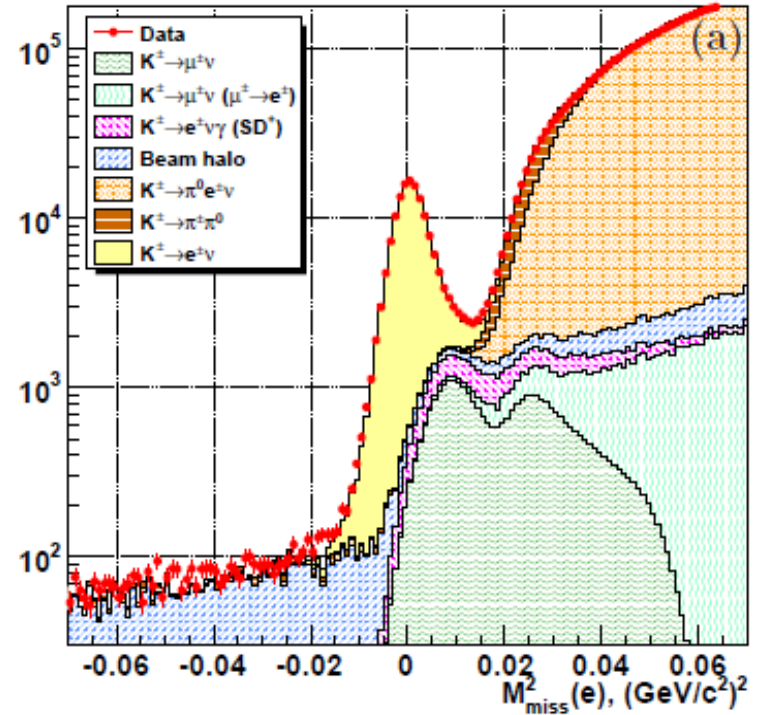
[Eur. Phys. J. C 70 (2010) 635]

Lepton Universality (NA62 '07)

● SM: $R_K^{SM} = \frac{\Gamma(K^\pm \rightarrow e^\pm \nu)}{\Gamma(K^\pm \rightarrow \mu^\pm \nu)} = (2.477 \pm 0.001) \times 10^{-5}$



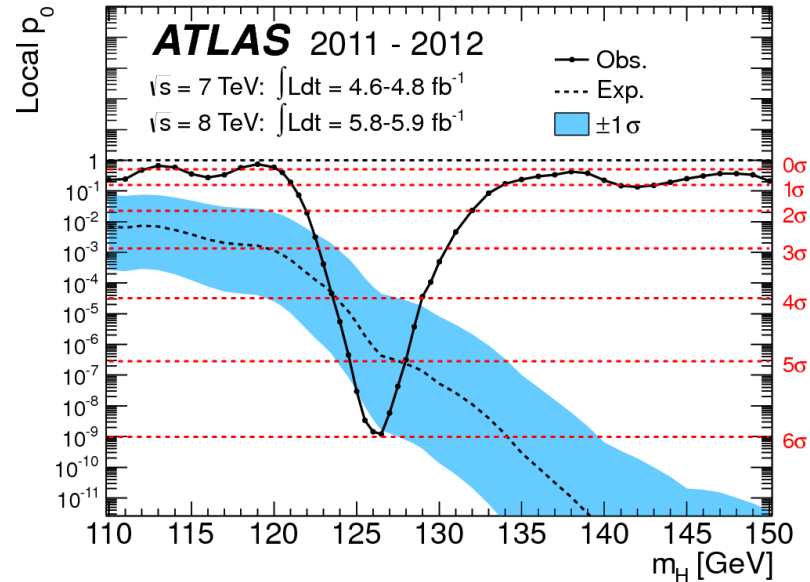
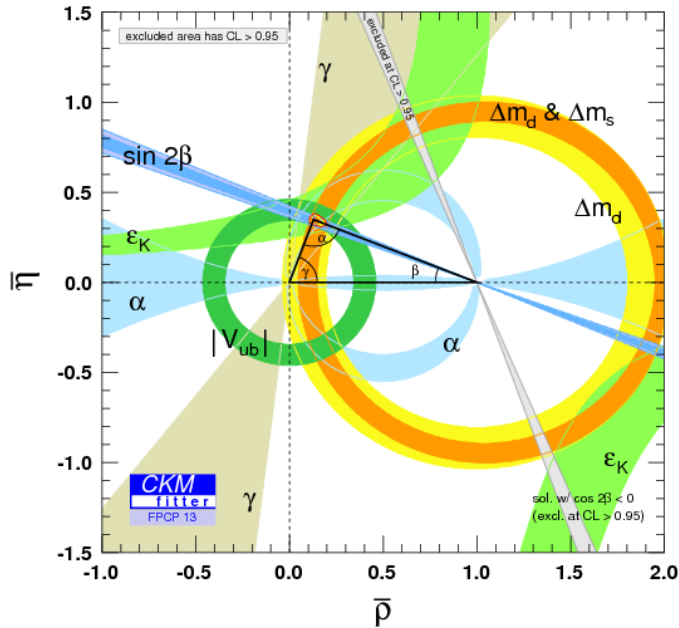
- NA62 4-months run ('07)
- NA48 apparatus
- Full data sample: 145'958 K_{e2}
- 10% background measured using data



$R_K = (2.488 \pm 0.007_{\text{stat.}} \pm 0.007_{\text{syst.}}) \times 10^{-5} = (2.488 \pm 0.010) \times 10^{-5} \quad (\chi^2/\text{ndf} = 47/39)$

[Phys. Lett. B 719 (2013) 326]

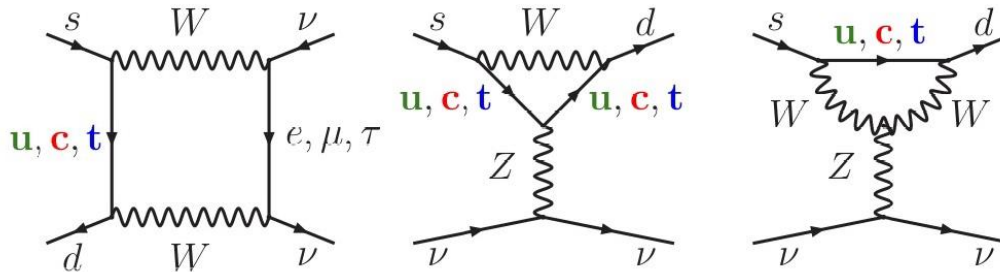
Present days: the LHC era



- ✗ SM Flavour dynamic studied (B-factories).
- ✗ Higgs – like boson found (LHC)
- ✗ SUSY not observed directly (LHC)
- ✗ Dark matter / energy
- ✗ Matter / anti-matter asymmetry
- ✗ ν mass and oscillation
- ✗ Hierarchy problem
- ✗ ...

The $K \rightarrow \pi \nu \bar{\nu}$ decays: a theoretical clean environment

- FCNC loop processes: $s \rightarrow d$ coupling and highest CKM suppression



- Very clean theoretically
 - Short distance contribution dominate
 - No hadronic uncertainties
- SM predictions [Brod, Gorbahn, Stamou, *Phys. Rev. D* 83, 034030 (2011)]
[G. Buchalla, A.J. Buras, *Nucl. Phys. B* 412, 106 (1994)]

$$\text{BR}(K_L \rightarrow \pi^0 \nu \nu) = (2.43 \pm 0.39 \pm 0.06) \times 10^{-11}$$

$$\text{BR}(K^+ \rightarrow \pi^+ \nu \nu) = (7.81 \pm 0.75 \pm 0.29) \times 10^{-11}$$

1° error: uncertainty from input parameters
2° error: pure theoretical uncertainty

$K \rightarrow \pi \nu \bar{\nu}$ NP Sensitivity

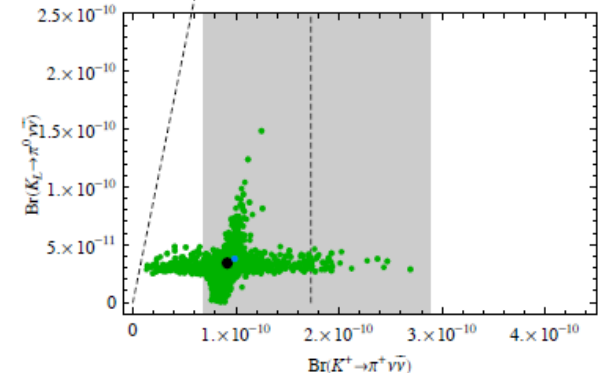
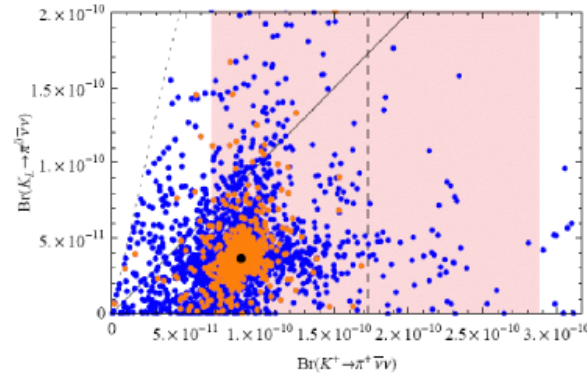
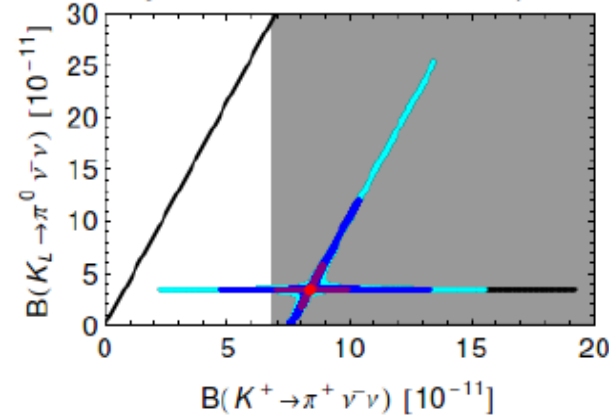
- Z' gauge boson mediating FCNC at tree level [A.J.Buras et al., JHEP 1302 (2013) 116]
- Little Higgs with T-parity [JHEP 0903 (2009) 108]
- Custodial Randall-Sundrum [Acta Phys. Polon. B 41 (2010) 657]
- Best probe of MSSM non-MFV (still not excluded by LHC) [JHEP 0608 (2006) 088]

Z' model

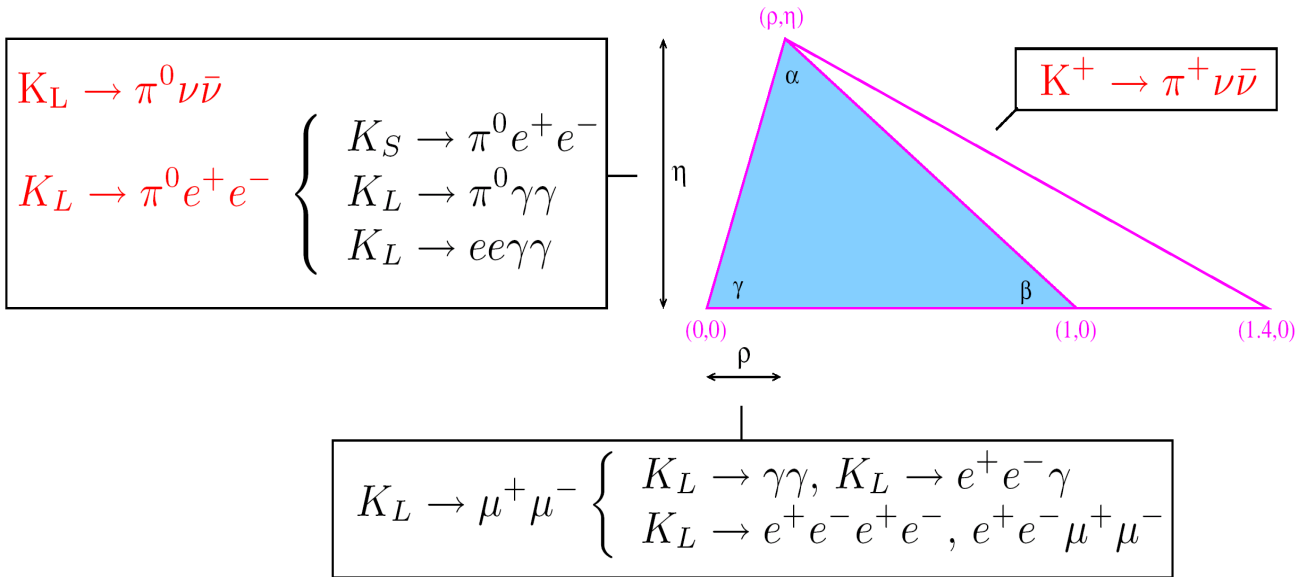
Randall - Sundrum

Little Higgs

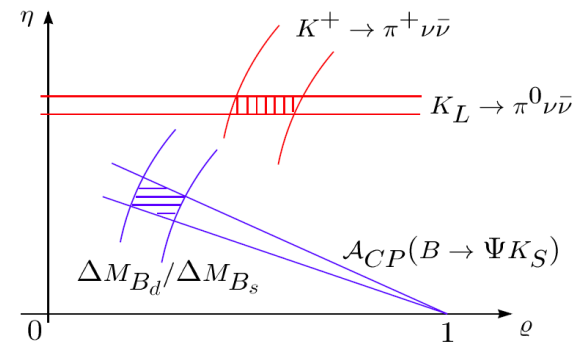
LHS2, Cyan: 5TeV, Blue: 10TeV, Purple: 30TeV



Connection with Flavour Physics



- K physics alone can fully constraint the CKM unitarity triangle.
- Comparison with B physics can provide description of NP flavour dynamics

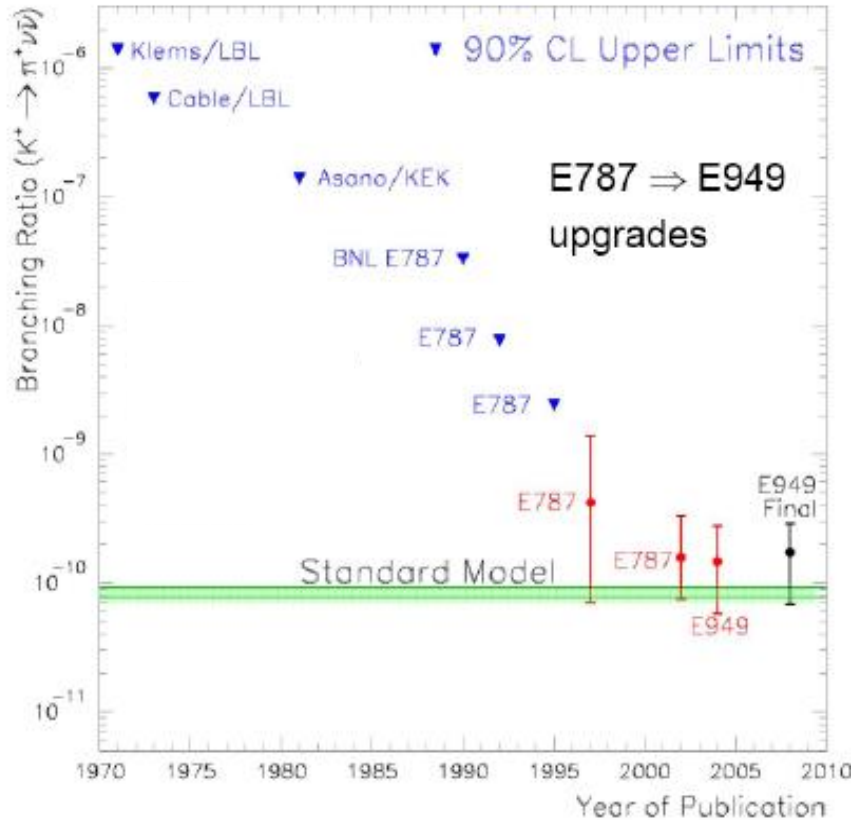


$K \rightarrow \pi \nu \bar{\nu}$ in LHC era: Experimental Requirement

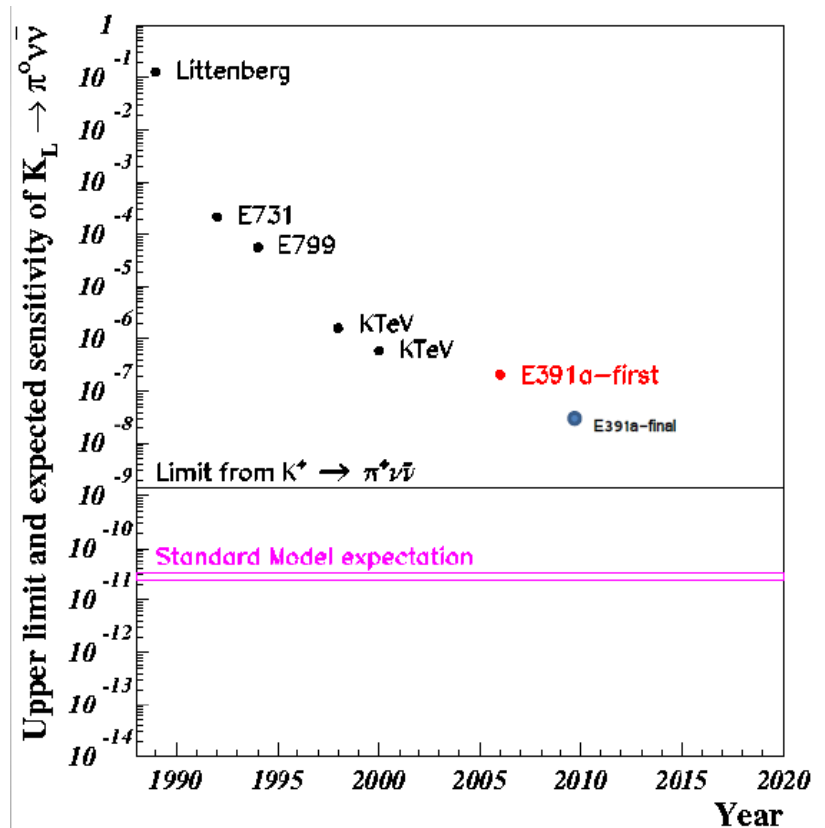
BR($K \rightarrow \pi \nu \bar{\nu}$) measurement
with $< 10\%$ accuracy

$K \rightarrow \pi \nu \bar{\nu}$ Experimental State of the Art

$$K^+ \rightarrow \pi^+ \nu \bar{\nu}$$



$$K_L \rightarrow \pi^0 \nu \bar{\nu}$$

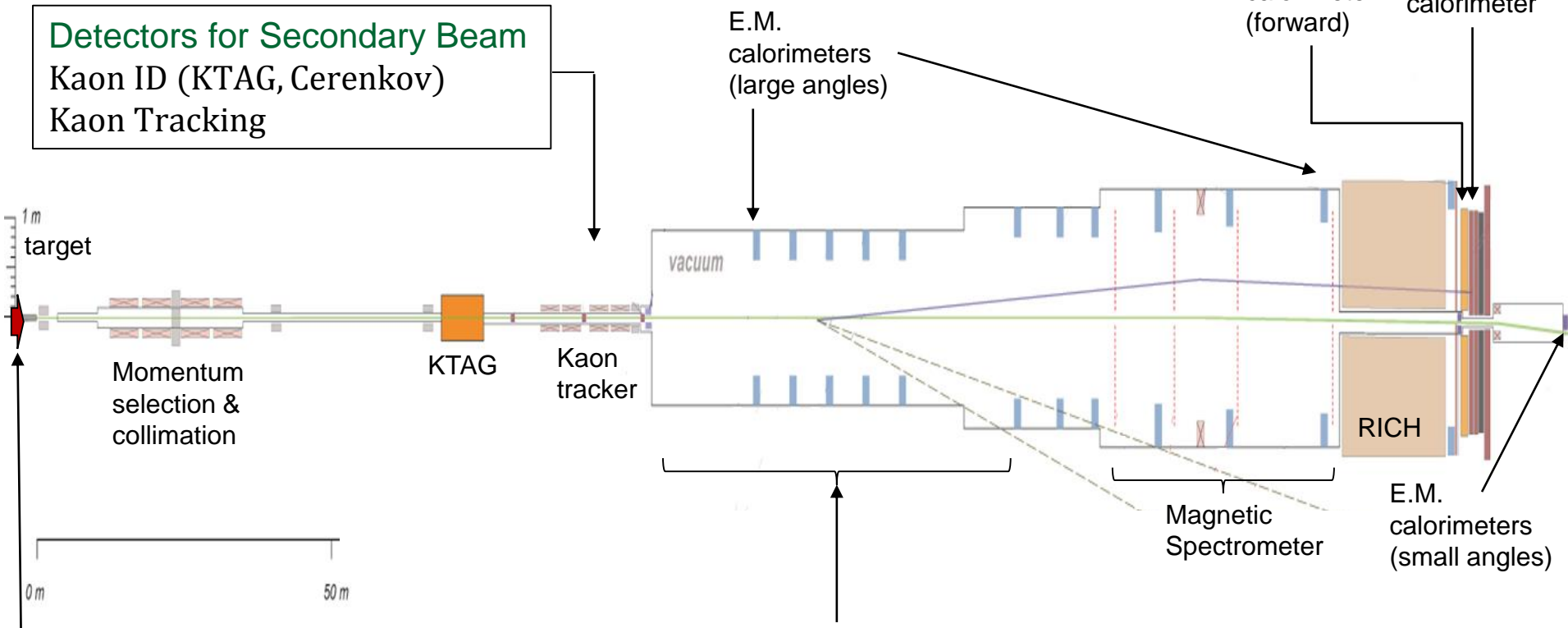


The NA62 Experiment for $K^+ \rightarrow \pi^+ \nu \bar{\nu}$

- Experiment at CERN – SPS, replacing the NA48 apparatus
- Goal:
 - 10% precision $BR(K^+ \rightarrow \pi^+ \nu \bar{\nu})$ in 2 years of data
- Requirements:
 - Statistics: $O(100)$ events [$BR(SM) \sim 8 \times 10^{-11}$]
 - K decays (2 years) 10^{13} , Signal acceptance $\sim 10\%$
 - Systematics: $<10\%$ precision background measurement
 - $>10^{12}$ background rejection ($<20\%$ background)
- Technique:
 - K Decay – in – flight

NA62 Apparatus

Detectors for Secondary Beam
 Kaon ID (KTAG, Cerenkov)
 Kaon Tracking



SPS proton
 400 GeV
 10^{12} p/s

Secondary Beam
 75 ± 1 GeV/c
 $20 \text{ cm}^2, < 100 \mu\text{rad}$
 K(6%), π^+ , p
 750 MHz

Kaon Decay
 ~ 5 MHz
 $4.5 \times 10^{12}/\text{year}$
 60 m volume
 10^{-6} mbar vacuum

Detectors for decay products
 Charged particle tracking
 Charged particle Time Stamping
 Photon detection
 Charged particle ID
 Pion and muon identification

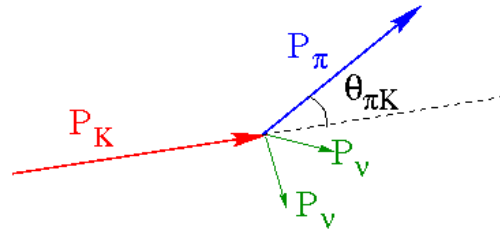
Status of NA62

- Installed (almost completely)
- First run: mid October – mid December 2014
- Detector commissioning
- Data quality studies





Scheme for $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ Analysis



- Signal (10% acceptance)

- Background

- K^+ decay modes

- Non- K decay events

- Background suppression factors $O(10^{12})$

Kinematics $O(10^4-10^5)$

Particle ID $O(10^7)$

γ detection $O(10^8)$

Non K decays $O(10^8-10^9)$

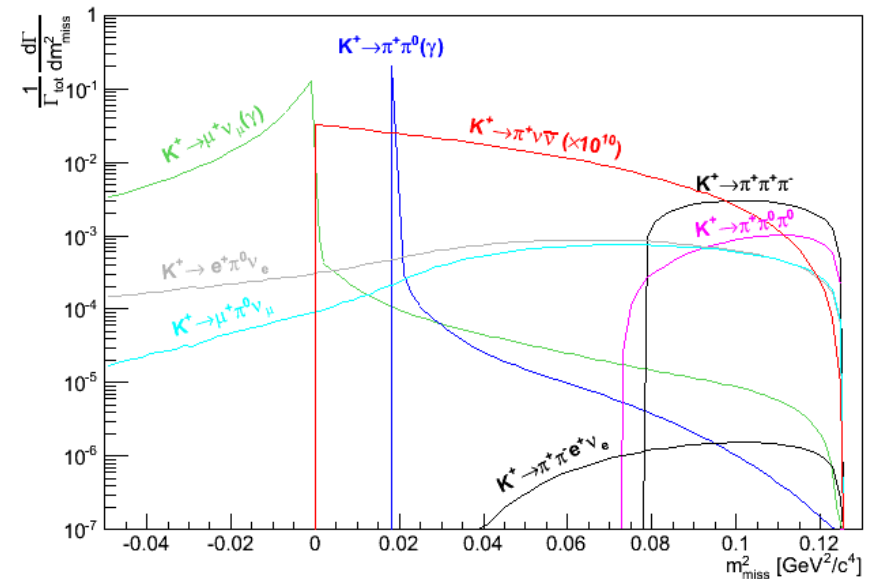
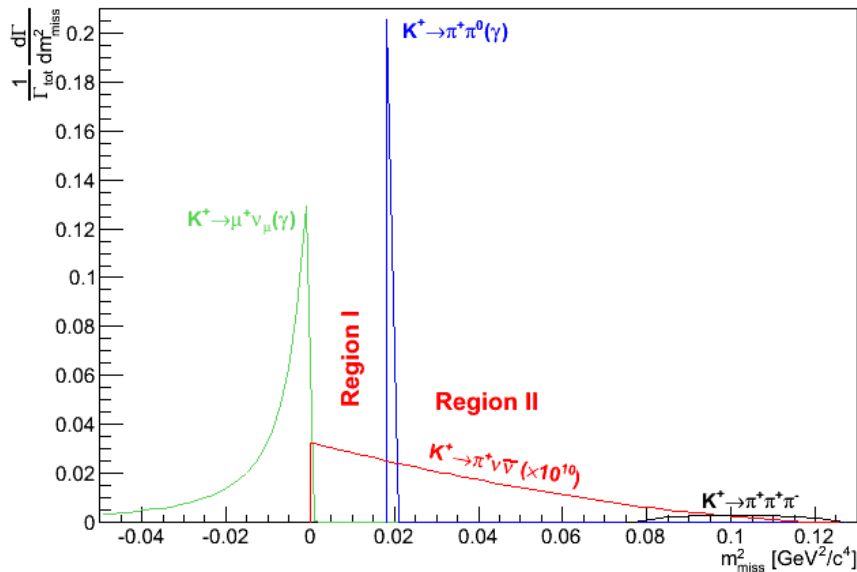
- Measurement of background suppression factors from data

- Signal acceptance determination and BR measurement

K^+ main decays	BR
$K^+ \rightarrow \mu^+ \nu$	0.6355
$K^+ \rightarrow \pi^+ \pi^0$	0.2066
$K^+ \rightarrow \pi^+ \pi^+ \pi^-$	0.0559
$K^+ \rightarrow \pi^+ \pi^0 \pi^0$	0.0176
$K^+ \rightarrow \pi^0 e^+ \nu$	0.0507
$K^+ \rightarrow \pi^0 \mu^+ \nu$	0.0335
$K^+ \rightarrow \pi^+ \pi^- e^+ \nu$	4.257×10^{-5}

Kinematics and Background Suppression

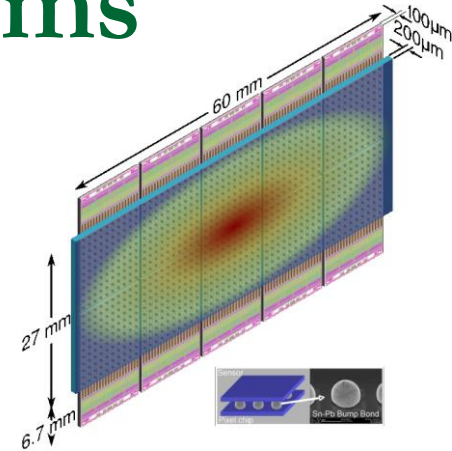
- Kinematic variable: $m_{miss}^2 = (P_K - P_{\pi^+})^2$



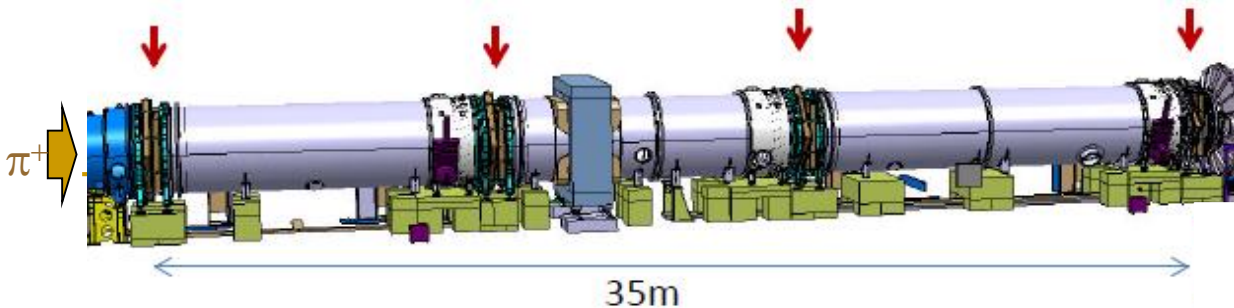
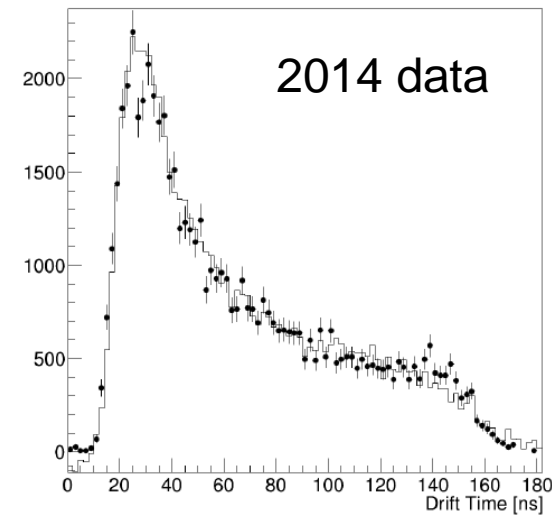
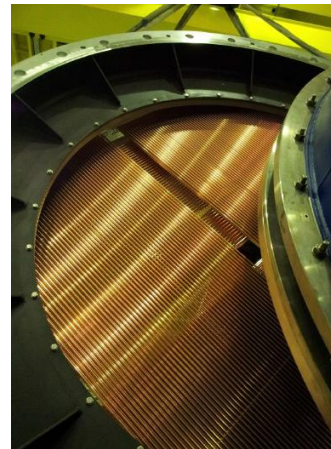
- Measurement of the K track [$\sigma(P_K)/P_K \leq 0.2\%$, $\sigma(\theta_K) \leq 20 \mu m$]
- Measurement of the π^+ track [$\sigma(P_\pi)/P_\pi \leq 1\%$, $\sigma(\theta_\pi) \leq 60 \mu m$ in 10-50 GeV region]
- Analysis requirement: $P_{\pi^+} < 35$ GeV/c (separation from $K^+ \rightarrow \mu^+ \nu$).
- Expected performances: $O(10^4)$ suppression of the main background modes.
- Limitations to background suppression: resolution tails, $\pi - K$ matching

Tracking Systems

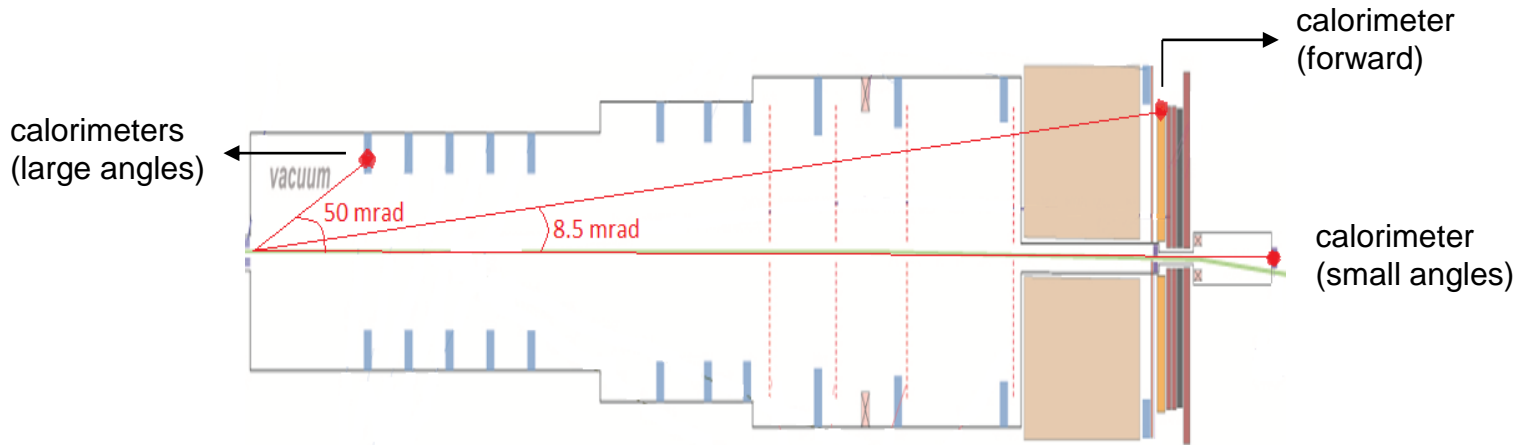
- ✗ Kaon tracker
- ✗ 3 Si pixel stations on the beam
- ✗ Rate: 750 MHz
- ✗ $X/X_0 < 0.5\%$ / station, $\sigma(t) \sim 200$ ps
- ✗ Partially commissioned in 2014



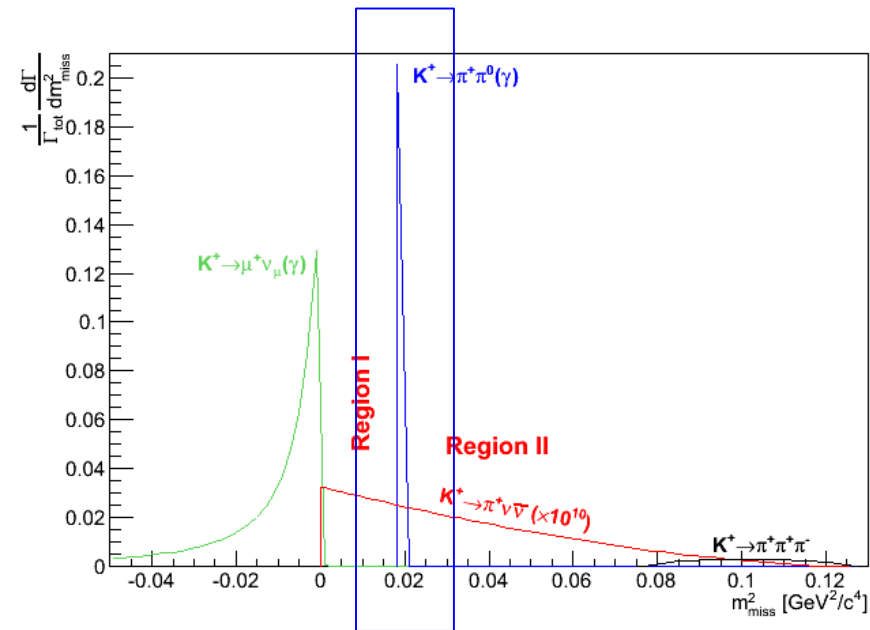
- ✗ Pion tracker
- ✗ Straw spectrometer in vacuum
- ✗ Rate 10 MHz
- ✗ $X/X_0 < 0.5\%$ / chamber
- ✗ Fully commissioned in 2014



Photon rejection



- Mainly to suppress $K^+ \rightarrow \pi^+ \pi^0$
- Analysis: $P_{\pi^+} < 35 \text{ GeV}/c \rightarrow E_{\pi^0} > 40 \text{ GeV}$
- Geometrical hermeticity: up to 50 mrad
- Inefficiency requirements on γ : $10^{-3} - 10^{-5}$
- Expected: $O(10^8)$ on π^0 rejection from $K^+ \rightarrow \pi^+ \pi^0$



Photon Detectors

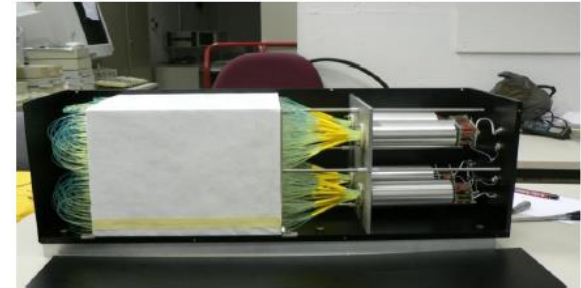
calorimeters
(large angles)



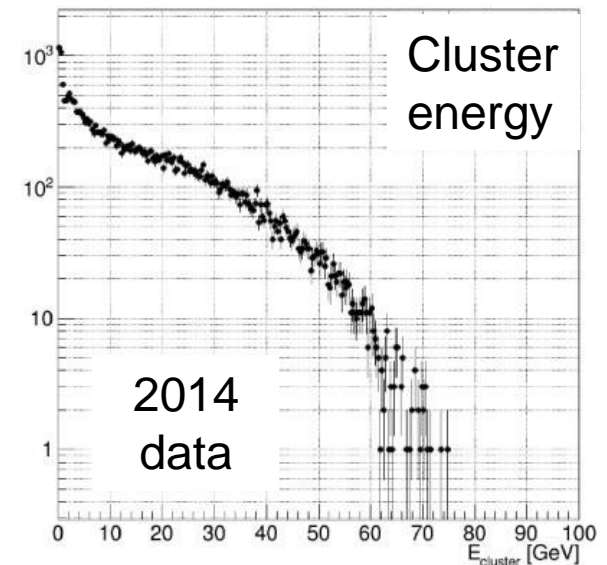
calorimeter
(forward)



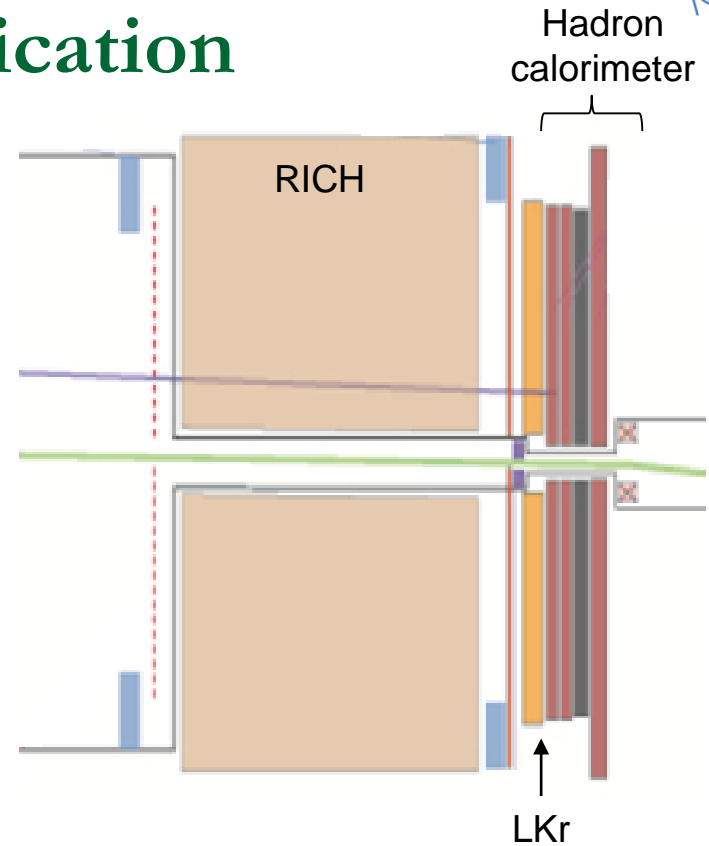
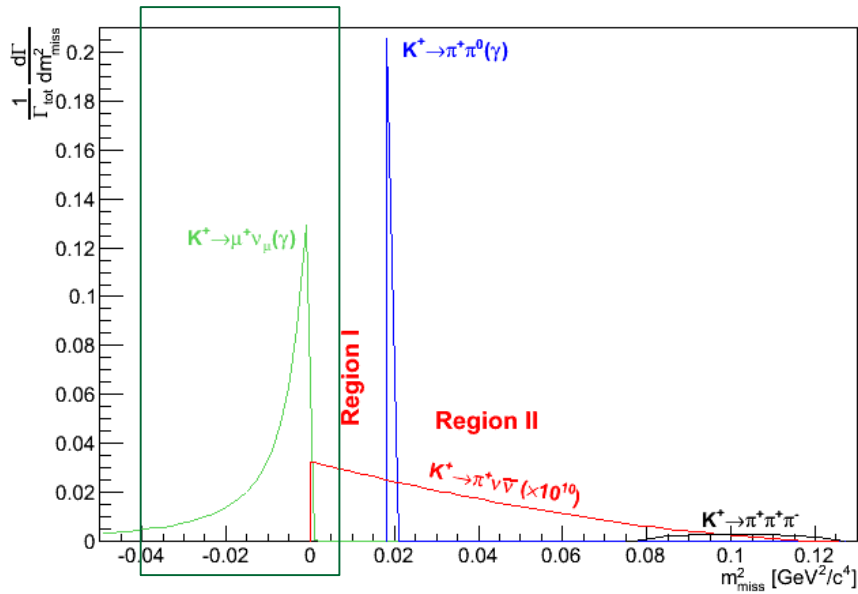
calorimeter
(small angles)



- ✗ Forward region
- ✗ Calorimeter at liquid Krypton (LKr, NA48)
- ✗ High energy, time, position resolution
- ✗ $< 10^{-5}$ efficiency for $\gamma > 10$ GeV (measured)
- ✗ New electronics commissioned in 2014



Particle Identification



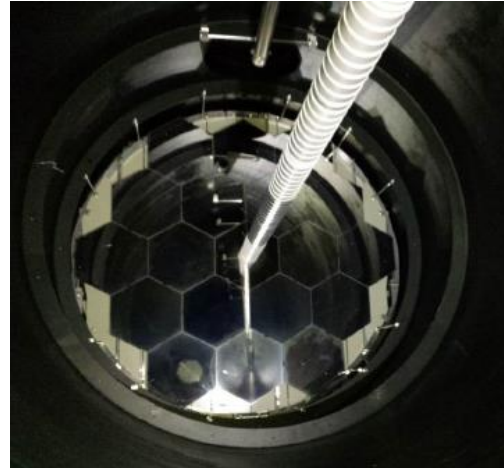
- $\pi/\mu/e$ separation
- Cerenkov and calorimetry technique employed
- Analysis: $P_{\pi^+} < 35 \text{ GeV}/c$ to get the best μ/π separation using the Cerenkov technique
- Expected μ/π separation $O(10^7)$

Particle ID Detectors

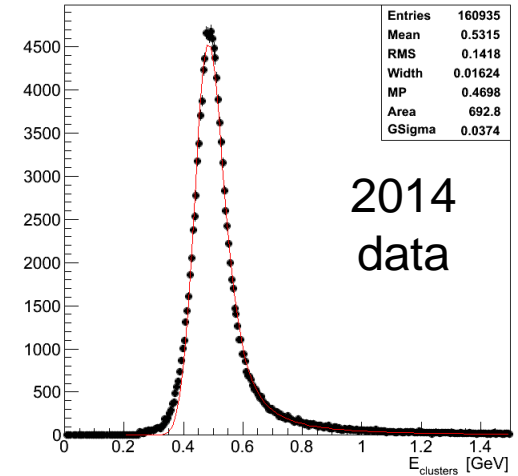
RICH Vessel



RICH Mirrors

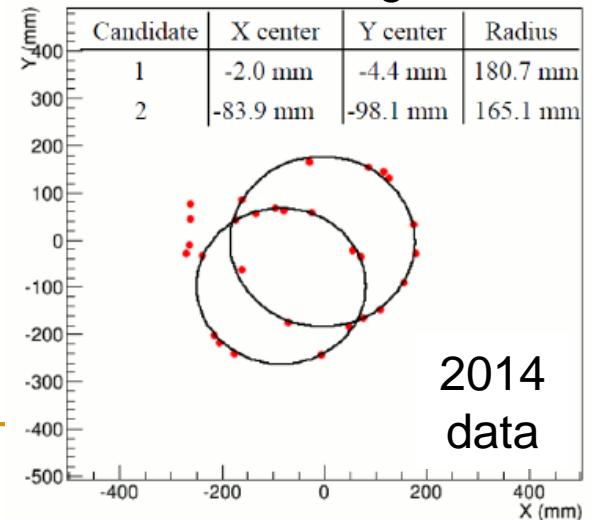


LKr MIP

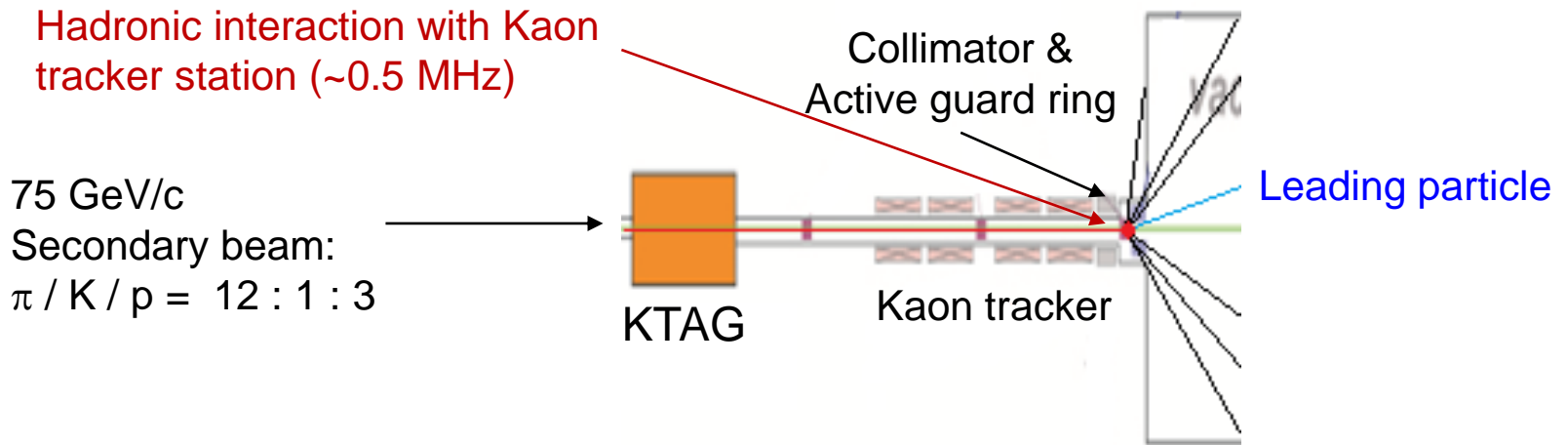


- RICH detector: 17 m, Ne @ 1 atm
- Cerenkov angle resolution $\leq 100 \mu rad$
- Time resolution $< 80 ps$
- μ/π separation $> 10^2$ measured on a prototype
- Redundancy in kinematic reconstruction
- Fully commissioned in 2014

RICH rings

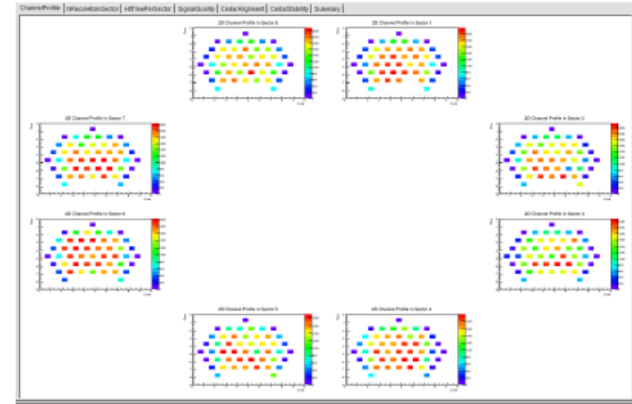


Non Kaon decay Background

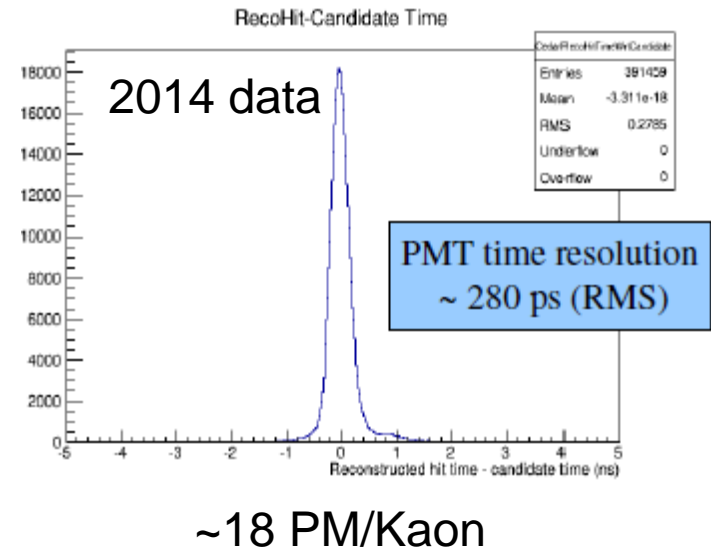


- Identify Kaon: KTAG
- Detect low energy products: guard - ring detector
- Reconstruct the origin of the leading particle: tracking systems

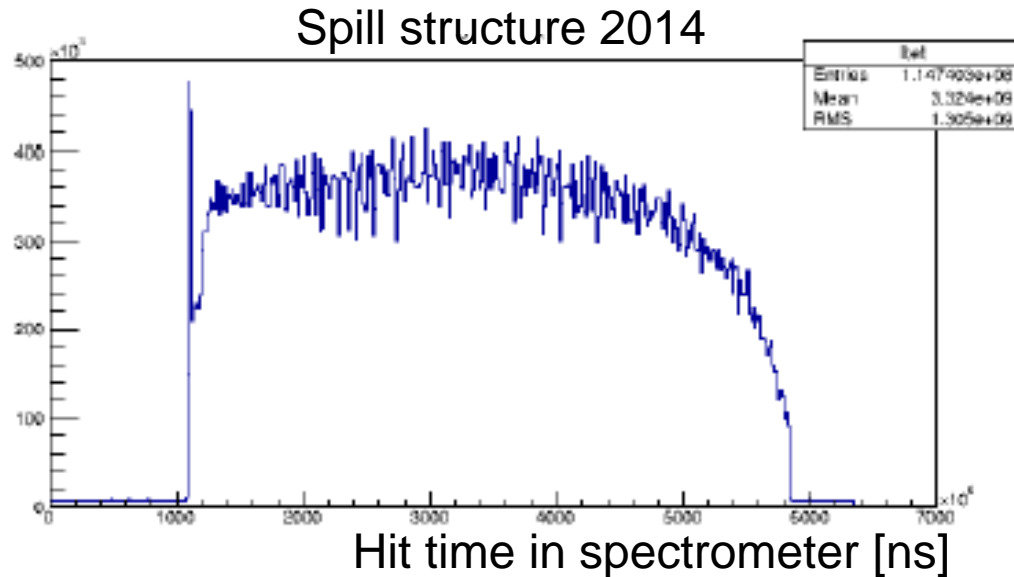
Beam K / π separation



- KTAG Cerenkov counter
- Radiator N_2
- New external optics, PMs and readout
- < 80 ps time resolution
- 95% efficiency in K ID ($> 99.9\%$ purity)
- 50 MHz particle rate
- Fully commissioned in 2014



Run 2014 (November - December)



- Run conditions:
- Continuous spill of protons on target for 6 s (every 20 s)
- 5% of the nominal beam intensity in 2014
- Trigger built from the signals in the downstream detectors (20 KHz)
- Data size: 20 Kbyte / event (~100 K events per spill).
- (last) 2 weeks of run dedicated to physics studies.



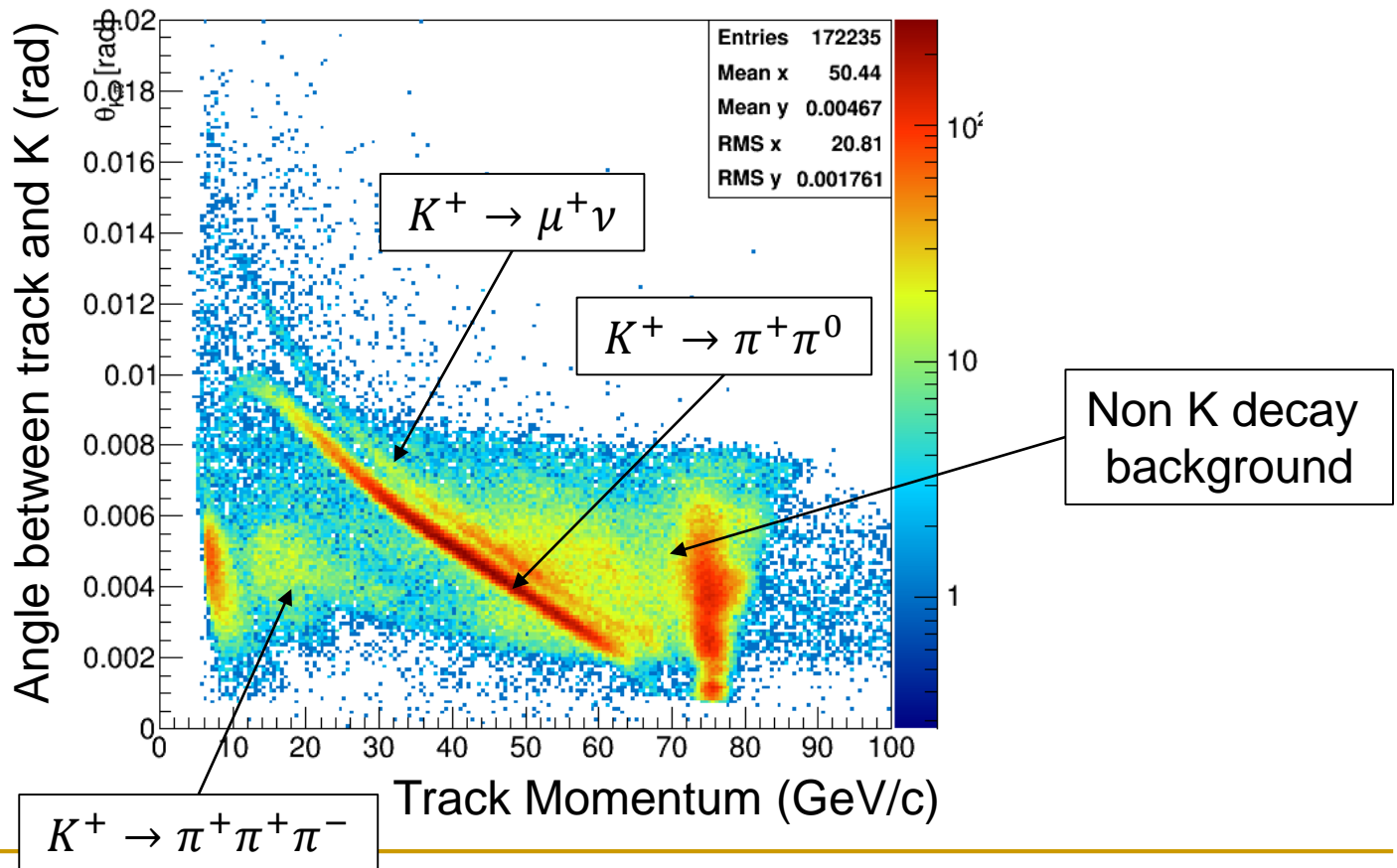
First Look to 2014 Data Quality

CAVEAT: Every plot is very preliminar

- Fresh data with complex detectors running for the first time
- No kaon tracker
- No detector calibrations / alignment
- First and un-complete versions of detector reconstructions
- Analysis from data at raw level
- 0.2% of the total statistics studied
- ...

First Look to 2014 Data Quality

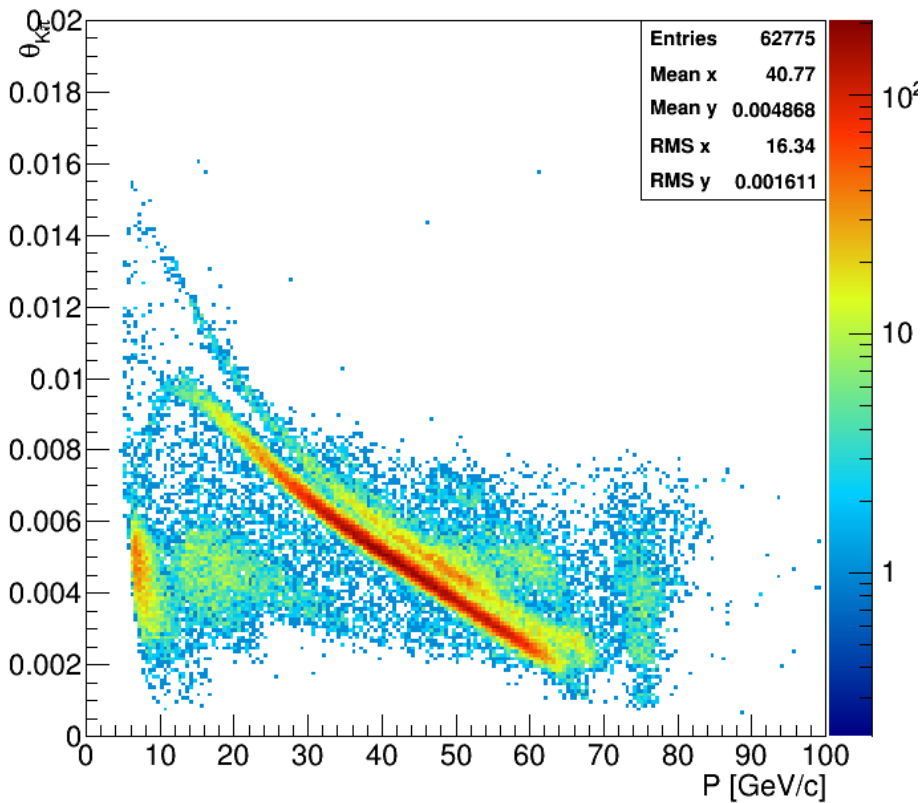
- Events with only 1 track in the spectrometer reconstructed (40 ns time window)
- 10^2 muon rejection at trigger level.



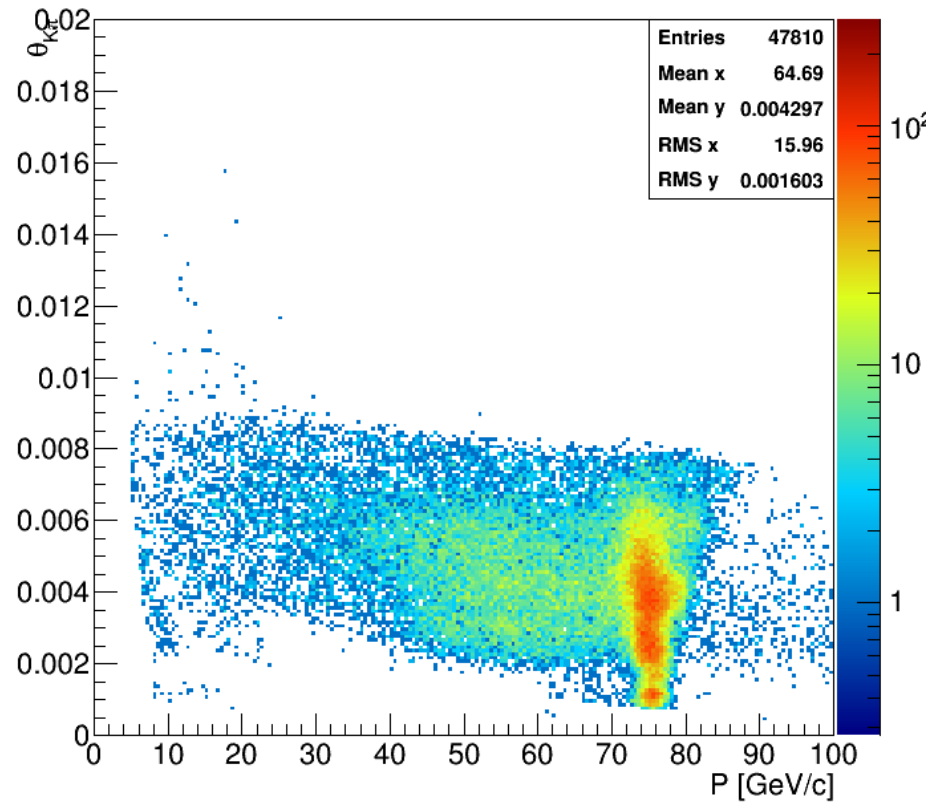
First Look to 2014 Data Quality

- Apply KTAG for K ID

K ID from KTAG in time with the track

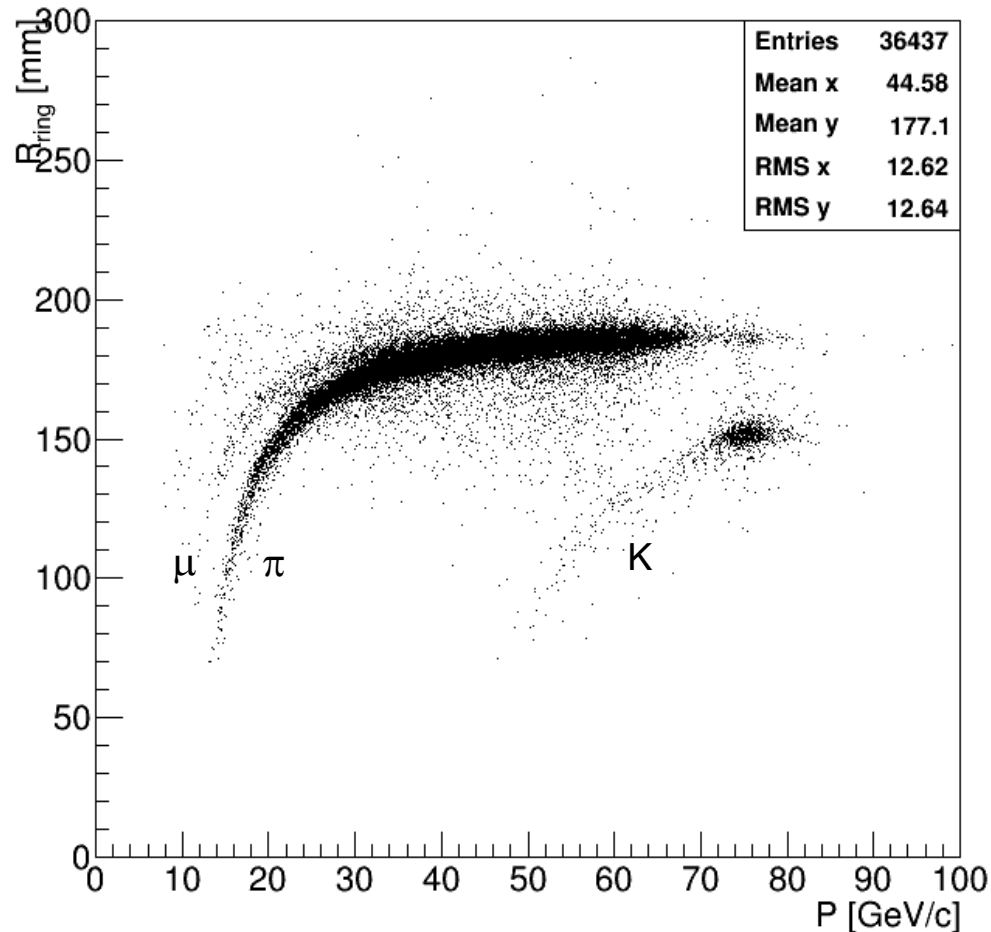


No K ID from KTAG



First Look to 2014 Data Quality

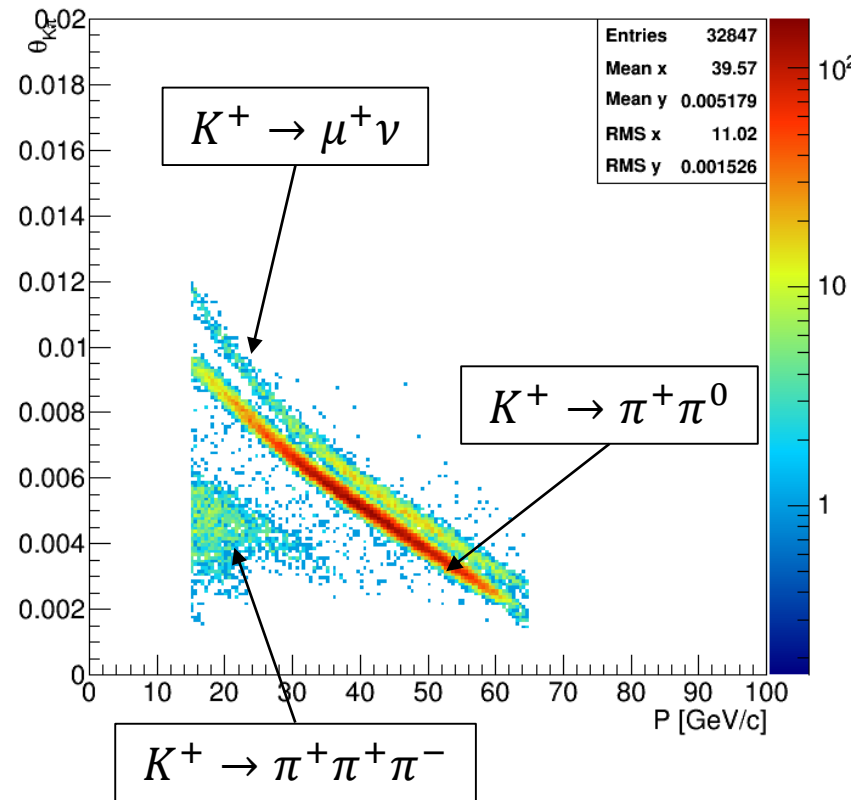
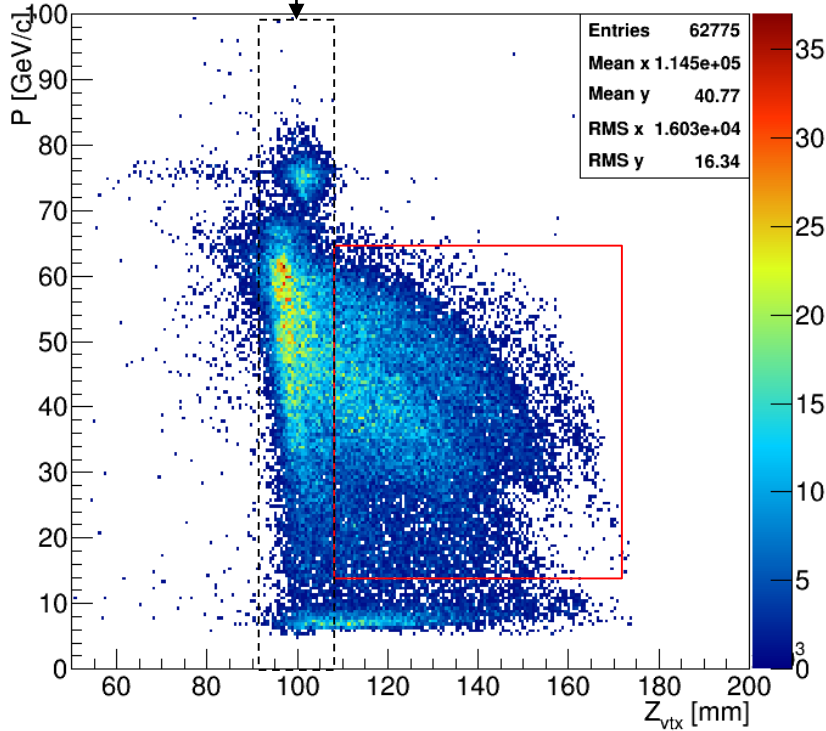
- Matching between tracks and RICH rings to study the particle content



First Look to 2014 Data Quality

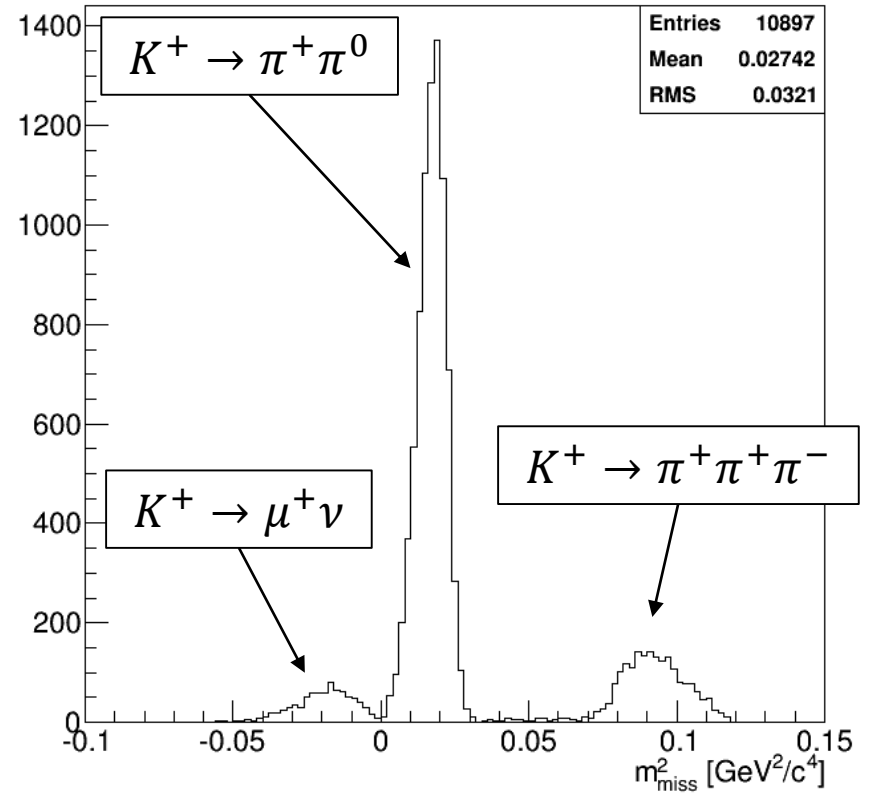
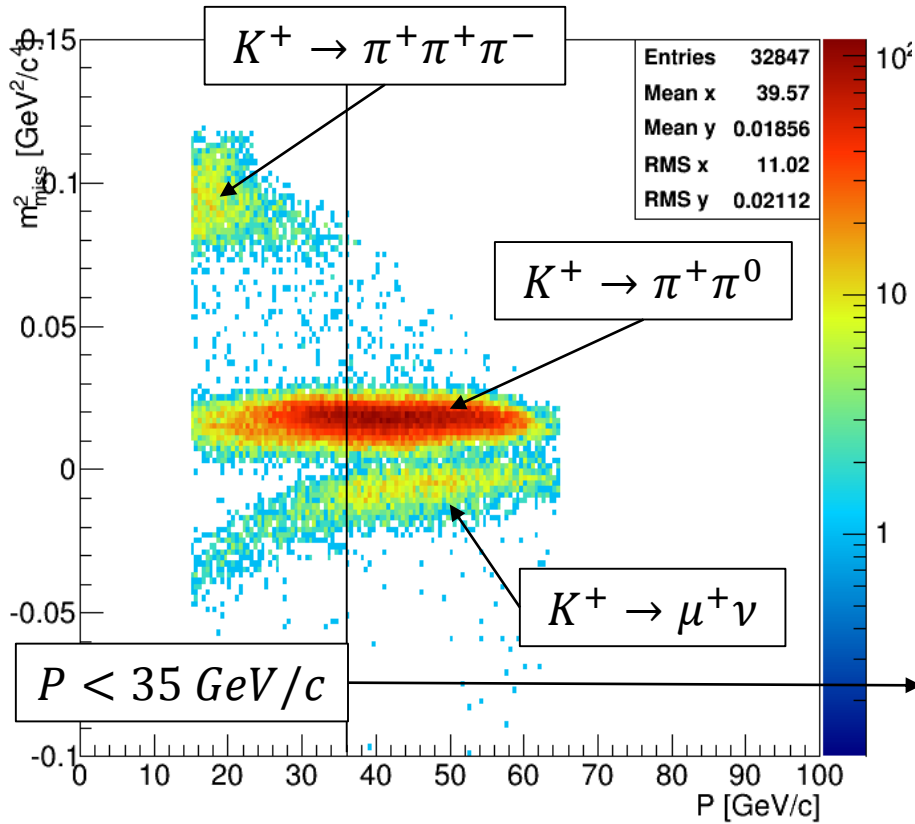
- Use track origin to suppress the background from kaon interactions

Collimator region



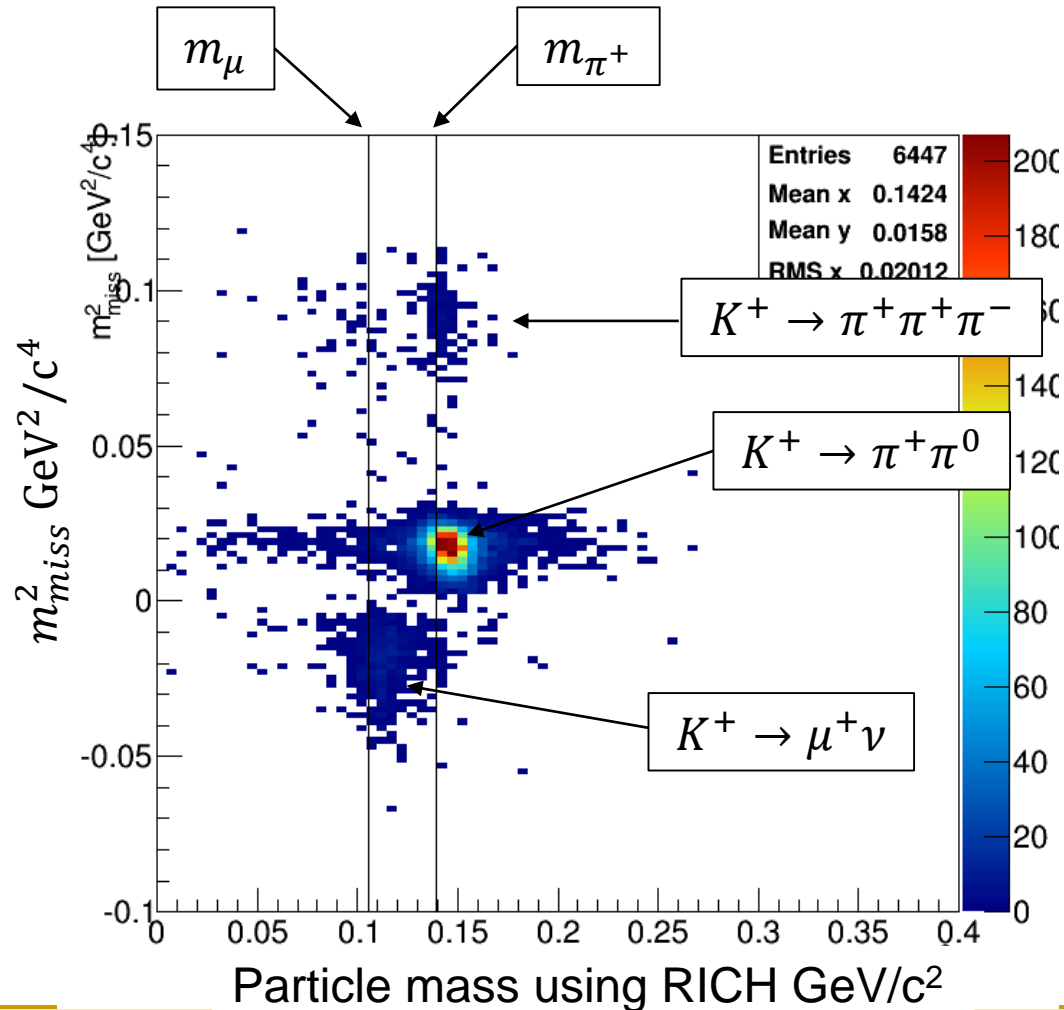
First Look to 2014 Data Quality

- Kinematic variable: $m_{miss}^2 = (P_K - P_{\pi^+})^2$
- Nominal K momentum and direction assumed (No Kaon tracker)



First Look to 2014 Data Quality

- Joining kinematics and particle ID



First Look to 2014 Data Quality

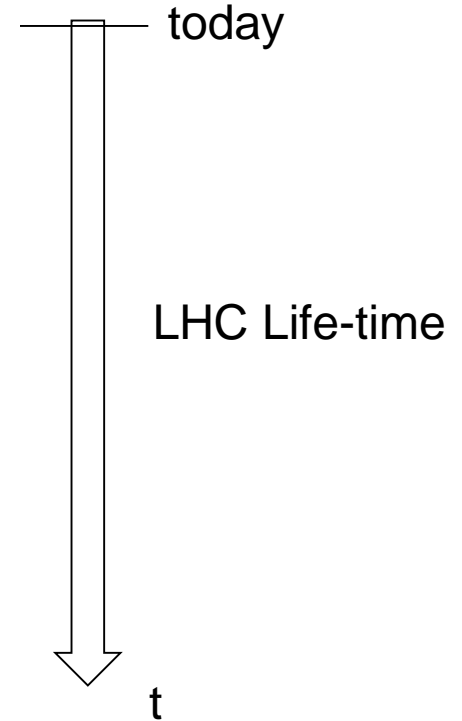
- NA62 is ready to collect and study
 $K^+ \rightarrow \pi^+ \nu \bar{\nu}$

Further NA62 K Physics Program

Decay	Physics	Present limit (90% C.L.) / Result	NA62
$\pi^+\mu^+e^-$	LFV	1.3×10^{-11}	0.7×10^{-12}
$\pi^+\mu^-e^+$	LFV	5.2×10^{-10}	0.7×10^{-12}
$\pi^-\mu^+e^+$	LNV	5.0×10^{-10}	0.7×10^{-12}
$\pi^-e^+e^+$	LNV	6.4×10^{-10}	2×10^{-12}
$\pi^-\mu^+\mu^+$	LNV	1.1×10^{-9}	0.4×10^{-12}
$\mu^- \nu e^+ e^+$	LNV/LFV	2.0×10^{-8}	4×10^{-12}
$e^- \nu \mu^+ \mu^+$	LNV	No data	10^{-12}
$\pi^+ X^0$	New Particle	$5.9 \times 10^{-11} m_{X^0} = 0$	10^{-12}
$\pi^+ \chi \chi$	New Particle	—	10^{-12}
$\pi^+ \pi^+ e^- \nu$	$\Delta S \neq \Delta Q$	1.2×10^{-8}	10^{-11}
$\pi^+ \pi^+ \mu^- \nu$	$\Delta S \neq \Delta Q$	3.0×10^{-6}	10^{-11}
$\pi^+ \gamma$	Angular Mom.	2.3×10^{-9}	10^{-12}
$\mu^+ \nu_h, \nu_h \rightarrow \nu \gamma$	Heavy neutrino	Limits up to $m_{\nu_h} = 350 \text{ MeV}$	
R_K	LU	$(2.488 \pm 0.010) \times 10^{-5}$	> $\times 2$ better
$\pi^+ \gamma \gamma$	χ PT	< 500 events	10^5 events
$\pi^0 \pi^0 e^+ \nu$	χ PT	66000 events	$O(10^6)$
$\pi^0 \pi^0 \mu^+ \nu$	χ PT	-	$O(10^5)$

K rare decays: a look forward

- $K^+ \rightarrow \pi^+ \nu \bar{\nu}$
 - 10% branching ratio measurement (NA62 now)
- $K^+ \rightarrow \pi^+ \nu \bar{\nu}$
 - < 5% branching ratio measurement (NA62 upgrade)
- $K_L \rightarrow \pi^0 e^+ e^- (\mu^+ \mu^-)$
 - 10 % measurement (from K^+ to K_L beam and few detector modifications)
- $K_L \rightarrow \pi^0 \nu \bar{\nu}$
 - Observation
- $K_L \rightarrow \pi^0 \nu \bar{\nu}$
 - 10% branching ratio measurement (significant accelerator improvement)



Conclusions

- × Kaons are partner of LHC in the quest for physics beyond the Standard Model.
- × CERN has a bright tradition in Kaon Physics
- × NA62 is officially scheduled at CERN to run up to 2018 (at least).
- × NA62 is working and ready to do physics.

Next run:
1st July – 15th November 2015