

# Flavour-Covariant Rate Equations for Resonant Leptogenesis

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## Based on:

- ▶ P. S. B. Dev, P. M., A. Pilaftsis and D. Teresi,  
*Flavour Covariant Rate Equations:  
an Application to Resonant Leptogenesis*,  
Nucl. Phys. B886 (2014) 569-664, arXiv:1404.1003
- ▶ P. S. B. Dev, P. M., A. Pilaftsis and D. Teresi,  
*Flavour Covariant Formalism for Resonant Leptogenesis*,  
in proc. ICHEP2014, arXiv:1409.8263
- ▶ P. S. B. Dev, P. M., A. Pilaftsis and D. Teresi,  
*Kadanoff-Baym Approach to  
Flavour Mixing and Oscillations in Resonant Leptogenesis*,  
arXiv:1410.6434 (to appear in Nucl. Phys. B)
- ▶ (P. Millington and A. Pilaftsis, Phys. Rev. D88 (2013) 8, 085009,  
arXiv:1211.3152; Phys. Lett. B724 (2013) 56, arXiv:1304.7249)

[Please also see the comprehensive lists of references in the above.]

# Outline

- ▶ Introduction and Motivation
- ▶ Scenarios of Leptogenesis
- ▶ Flavour-Covariant Formulation
- ▶ Semi-Classical Transport Phenomena
- ▶ Numerical Results
- ▶ Field-Theoretic Transport Phenomena
- ▶ Conclusions

# Experimental Observations

- ▶ Cosmology: baryonic matter dominates over anti-baryonic matter in the Universe

[Planck temperature maps with WMAP polarization data]

$$\eta_B = \frac{n_b - n_{\bar{b}}}{n_\gamma} = (6.04 \pm 0.08) \times 10^{-10}$$

- ▶ Particle physics: the light-neutrino mass scale (best fit values)

[Global analysis from F. Capozzi et al., PRD89 (2014) 093018]

$$\Delta m_{\text{sol}}^2 = 7.54 \times 10^{-5} \text{ eV}^2$$

$$\Delta m_{\text{atm}}^2 = 2.44 \times 10^{-3} \text{ eV}^2$$

# Baryon Asymmetry of the Universe (BAU)

In order to generate the observed baryon asymmetry, we must satisfy the 3 **Sakharov conditions**:

[A. D. Sakharov, JETP Lett. 5 (1967) 24]

1.  $C$  and  $CP$  violation
2.  $B$  violation
3. departure from thermodynamic equilibrium

# Leptogenesis in a Nut-Shell

- ▶ Before the electroweak phase transition, **out-of-equilibrium** (Sakharov # 3)  **$CP$ -violating** (Sakharov # 1) heavy Majorana neutrino decays produce a net lepton number  $L$ .

$$\Gamma(X \rightarrow Y) < H = \left(\frac{4\pi^3}{45}\right)^{1/2} g_*^{1/2} \frac{T^2}{M_{\text{Pl}}} \quad t = \frac{M_{\text{Pl}}}{34T^2}$$

- ▶ During the electroweak phase transition, equilibrated  **$B + L$ -violating** (Sakharov # 2) Sphaleron decays reprocess the remaining lepton number  $L$  into a net baryon number  $B$ .

# Leptogenesis Scenarios (Some)

- ▶ Thermal leptogenesis:

heavy-neutrino masses of order  $M_{\text{GUT}} \sim 10^{16}$  GeV.

[M. Fukugita, T. Yanagida, PLB174 (1986) 45]

- ▶ 'Vanilla' leptogenesis:

hierarchical heavy-neutrino masses  $m_{N_1} \ll m_{N_2} < m_{N_3}$ .

- ▶ solar and atmospheric  $\nu$  oscillation data:  $m_{N_1} \gtrsim 10^9$  GeV.

[S. Davidson, A. Ibarra, PLB535 (2002) 25;

W. Buchmuller, P. Di Bari, M. Plümacher, NPB643 (2002) 367]

- ▶ potential conflict with gravitino overproduction bound  $T_R \lesssim 10^6 - 10^9$  GeV (supergravity embedding).

[see e.g., M. Kawasaki, K. Kohri, T. Moroi, A. Yotsuyanagi, PRD78 (2008) 065011]

# Resonant Leptogenesis

[See A. Pilaftsis, NPB504 (1997) 61; PRD56 (1997) 5431; A. Pilaftsis, T. Underwood, NPB692 (2004) 303]

- ▶ Quasi-degenerate mass spectrum  $\Delta m_N \sim \Gamma_{N_{1,2}} \lll m_{N_{1,2}}$ .
- ▶ Heavy-neutrino self-energy effects on the leptonic  $CP$ -asymmetry are resonantly enhanced.

[See also E. A. Paschos, U. Türke, PR178 (1989) 145 (Kaon sector); V. A. Kuzmin, V. A. Rubakov, M. E. Shaposhnikov PLB155 (1985) 36; J. Liu, G. Segrè, PRD 48 (1993) 4609; M. Flanz, E. Paschos, U. Sarkar, PLB345 (1995) 248; L. Covi, E. Roulet, F. Vissani, PLB384 (1996) 169, ...]

- ▶ Heavy-neutrino masses at order the **electroweak scale**.
- ▶ Variant: **Resonant  $\ell$ -Genesis (RL $_{\ell}$ )**

[A. Pilaftsis, PRL 95 , 081602 (2005); A. Pilaftsis, T. Underwood, PRD72 (2005) 113001; F. F. Deppisch, A. Pilaftsis, PRD 83, 076007 (2011)]

- ▶ Sphaleron processes preserve  $X_i = B/3 - L_i$ .  
[J. A. Harvey, M. S. Turner, PRD42 (1990) 3344; H. Dreiner, G. G. Ross, NPB410 (1993) 188; J. M. Cline, K. Kainulainen, K. A. Olive, PRD49 (1994) 6394]
- ▶ Baryon asymmetry protected from Sphaleron washout if a single flavour  $\ell$  remains out of equilibrium.
- ▶ Electroweak scale heavy-neutrino masses with  **sizable couplings** to other SM fermion flavours.



# Transport Phenomena

We want to describe the **evolution** of **particle number densities**.

## ▶ **Semi-classical Boltzmann equations**

[see e.g. E. W. Kolb, S. Wolfram, NPB172 (1980) 224;

for the so-called 'density matrix' formalism, see e.g. G. Sigl, G. Raffelt, NPB406 (1993) 423]

- ▶ **Pros:** more intuitive, unambiguous definition of physical observables
- ▶ **Cons:** quantum effects must be included effectively

## ▶ **Non-equilibrium QFT/Kadanoff-Baym equations**

[L. Kadanoff and G. Baym, *Quantum Statistical Mechanics*, Benjamin, New York (1962); for an extensive list of other works (from *many* authors) see B. S. P. Dev et al., NPB886 (2014) 569–664; arXiv:1410.6434]

- ▶ **Pros:** quantum effects included from the outset
- ▶ **Cons:** less intuitive, potential ambiguity in extracting physical observables

Whichever approach we use, we require a **fully flavour-covariant formalism** in order to capture the flavour effects pertinent to RL.

[...; Akhmedov, Rubakov, Smirnov, PRL81 (1998); Barbieri, Creminelli, Strumia, Tetradis, NPB575 (2000); Endoh, Morozumi, Xiong, PTP111 (2004); Pilaftsis, PRL95 (2005); Pilaftsis, Underwood, PRD72 (2005); Asaka, Shaposhnikov, PLB620 (2005); Di Bari, NPB727 (2005); Abada, Davidson, Josse-Michaux, Losada, Riotto, JCAP 0604 (2006); Nardi, Nir, Racker, Roulet, JHEP 0601 (2006) 164; Drewes, Garbrecht, JHEP 1303 (2013); ...]

# Flavour-Covariant Formulation

## Flavour Rotations

$$-\mathcal{L}_N = h_l^\alpha \bar{L}^l \tilde{\Phi} N_{R,\alpha} + \frac{1}{2} \bar{N}_{R,\alpha}^C [M_N]^{\alpha\beta} N_{R,\beta} + \text{H.c.}$$

### Unitary flavour transformations:

- ▶ **Charged-lepton** fields transform as vectors of  $U(3)_L$ , i.e.  $L_l \rightarrow V_l^m L_m$  and  $[L_l]^\dagger \equiv L^l \rightarrow V^l_m L^m$ .
- ▶ **Heavy-neutrino** fields transform as vectors of  $U(3)_N$ , i.e.  $N_{R,\alpha} \rightarrow U_\alpha^\beta N_{R,\beta}$  and  $[N_{R,\alpha}]^\dagger \equiv N_R^\alpha \rightarrow U^\alpha_\beta N_R^\beta$ .
- ▶ **Majorana mass** transforms as a tensor of  $U(3)_N$ ,  $[M_N]^{\alpha\beta} \rightarrow U^\alpha_\gamma U^\beta_\delta [M_N]^{\gamma\delta}$ .
- ▶ Invariant Lagrangian if **heavy-neutrino Yukawa couplings** transform under  $U(3)_L \times U(3)_N$  as  $h_l^\alpha \rightarrow V_l^m U^\alpha_\beta h_m^\beta$ .

# Flavour-Covariant Formulation

## Quantization

- ▶ Field operators:

$$L_I(x) = \sum_s \int_{\mathbf{p}} \left[ (2E_L(\mathbf{p}))^{-1/2} \right]_I^i \left( [e^{-ip \cdot x}]_i^j [u(\mathbf{p}, s)]_j^k b_k(\mathbf{p}, s, 0) \right. \\ \left. + [e^{ip \cdot x}]_i^j [v(\mathbf{p}, s)]_j^k d_k^\dagger(\mathbf{p}, s, 0) \right)$$

- ▶ Creation and annihilation operators:

$$\{b_k(\mathbf{p}, s, \tilde{t}), b^l(\mathbf{p}', s', \tilde{t})\} = (2\pi)^3 \delta_k^l \delta^{(3)}(\mathbf{p} - \mathbf{p}') \\ \{d^{\dagger, l}(\mathbf{p}, s, \tilde{t}), d_k^\dagger(\mathbf{p}', s', \tilde{t})\} = (2\pi)^3 \delta_k^l \delta^{(3)}(\mathbf{p} - \mathbf{p}')$$

- ▶ Particle and anti-particle creation operators  $b^l$  and  $d_l^\dagger$  transform in **different** representations of  $U(3)_L$ .

# Flavour-Covariant Formulation

## Generalised Discrete Symmetry Transformations

- ▶ Charge-conjugation ( $C$ ) and time-reversal ( $T$ ) only have simple forms in the mass eigenbasis.
- ▶ In a **general flavour basis**, we must introduce generalised  $\tilde{C}$  and  $\tilde{T}$  transformations

$$[b_l(\mathbf{p}, s, \tilde{t})]^{\tilde{C}} \equiv \mathcal{G}^{lm} [b_l(\mathbf{p}, s, \tilde{t})]^C = -id^l(\mathbf{p}, s, \tilde{t})$$

$$[b_l(\mathbf{p}, s, \tilde{t})]^{\tilde{T}} \equiv \mathcal{G}_{lm} [b_m(\mathbf{p}, s, \tilde{t})]^T = b_l(-\mathbf{p}, s, -\tilde{t})$$

with  $\mathcal{G} \equiv \mathbf{V}\mathbf{V}^T$ .

- ▶ Generalised **Majorana constraint**

$$d^{\dagger, \alpha}(\mathbf{k}, -r, \tilde{t}) \equiv G^{\alpha\beta} b_{\beta}(\mathbf{k}, r, \tilde{t})$$

with  $\mathbf{G} \equiv \mathbf{U}\mathbf{U}^T$

# Flavour-Covariant Formulation

## Particle Number Densities

- ▶ **Number densities** become **matrices** in **flavour space**

$$[n^L(\mathbf{p}, t)]_l^m \equiv \mathcal{V}^{-1} \langle b^m(\mathbf{p}, \tilde{t}) b_l(\mathbf{p}, \tilde{t}) \rangle_t$$

$$[\bar{n}^L(\mathbf{p}, t)]_l^m \equiv \mathcal{V}^{-1} \langle d_l^\dagger(\mathbf{p}, \tilde{t}) d^{\dagger, m}(\mathbf{p}, \tilde{t}) \rangle_t$$

$$[n^N(\mathbf{k}, t)]_\alpha^\beta \equiv \mathcal{V}^{-1} \langle a^\beta(\mathbf{k}, \tilde{t}) a_\alpha(\mathbf{k}, \tilde{t}) \rangle_t$$

- ▶  $\tilde{C}$  transformation properties:

$$[\mathbf{n}^L]^{\tilde{C}} = [\bar{\mathbf{n}}^L]^T \quad [\mathbf{n}^N]^{\tilde{C}} = [\bar{\mathbf{n}}^N]^T$$

- ▶ Introduce  $\tilde{C}P$ -“even” and -“odd” quantities:

$$\underline{\mathbf{n}}^N = \frac{1}{2}(\mathbf{n}^N + \bar{\mathbf{n}}^N)$$
$$\delta \mathbf{n}^N = \mathbf{n}^N - \bar{\mathbf{n}}^N, \quad \delta \mathbf{n}^L = \mathbf{n}^L - \bar{\mathbf{n}}^L$$

# Semi-Classical Transport Phenomena

## Markovian Master Equation

- ▶ Number densities are of the form

$$\mathbf{n}^X(t) \equiv \langle \check{\mathbf{n}}^X(\tilde{t}; \tilde{t}_i) \rangle_t = \text{Tr} \left\{ \rho(\tilde{t}; \tilde{t}_i) \check{\mathbf{n}}^X(\tilde{t}; \tilde{t}_i) \right\}$$

- ▶ Take the time derivative, use the von Neumann (for density operator  $\rho$ ) and Heisenberg (for number operator  $\check{\mathbf{n}}^X$ ) equations and make a Wigner-Weisskopf approximation to obtain the **Markovian master equation**

$$\begin{aligned} \frac{d}{dt} \mathbf{n}^X(\mathbf{k}, t) &\simeq \langle [H_0^X, \check{\mathbf{n}}^X(\mathbf{k}, t)] \rangle_t \\ &\quad - \frac{1}{2} \int_{-\infty}^{+\infty} dt' \langle [H_{\text{int}}(t'), [H_{\text{int}}(t), \check{\mathbf{n}}^X(\mathbf{k}, t)]] \rangle_t \end{aligned}$$

# Semi-Classical Transport Equations

## Markovian Master Equation

$$\begin{aligned}\frac{d}{dt}[n_{s_1 s_2}^L(\mathbf{p}, t)]_l^m &= -i[E_L(\mathbf{p}), n_{s_1 s_2}^L(\mathbf{p}, t)]_l^m + [C_{s_1 s_2}^L(\mathbf{p}, t)]_l^m \\ \frac{d}{dt}[n_{r_1 r_2}^N(\mathbf{k}, t)]_\alpha^\beta &= -i[E_N(\mathbf{k}), n_{r_1 r_2}^N(\mathbf{k}, t)]_\alpha^\beta \\ &\quad + [C_{r_1 r_2}^N(\mathbf{k}, t)]_\alpha^\beta + G_{\alpha\lambda}[\bar{C}_{r_2 r_1}^N(\mathbf{k}, t)]_\mu^\lambda G^{\mu\beta}\end{aligned}$$

with **collision terms**

$$[C_{s_1 s_2}^L(\mathbf{p}, t)]_l^m \supset -\frac{1}{2}[\mathcal{F}_{s_1 s_1 r_1 r_2}(\mathbf{p}, \mathbf{q}, \mathbf{k}, t)]_l^m \alpha^\beta [\Gamma_{s s_2 r_1 r_2}(\mathbf{p}, \mathbf{q}, \mathbf{k}, t)]_n^m \beta^\alpha$$

► **statistical tensors**

$$\mathcal{F} = n^\Phi n^L \otimes (1 - n^N) - (1 + n^\Phi)(1 - n^L) \otimes n^N$$

► **rank-4 absorptive rate tensors**  $\Gamma$  (here for  $N \leftrightarrow L\Phi$ )

# Collision Rates

## Generalised Optical Theorem

► **Optical theorem:**

$$S^\dagger S = S S^\dagger = \mathbb{I} \quad S = \mathbb{I} + iT \quad 2\text{Im}T = T^\dagger T$$

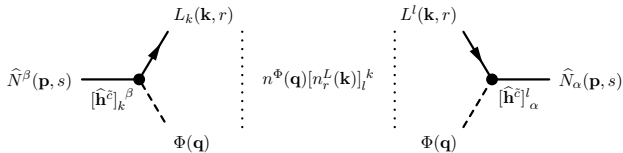
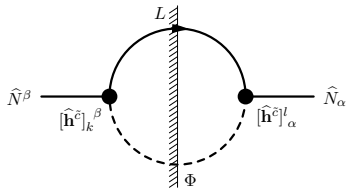
- Completeness of the **Fock space** is a flavour, spin, isospin **singlet** and a Lorentz **scalar**.
- Non-trivial structure arises from **density operator**  $\rho$ :

$$\begin{aligned} \langle 2\text{Im}T \rangle &= \langle T^\dagger T \rangle = \text{Tr} T \rho T^\dagger \\ \langle 2\text{Im}T \rangle &= \sum_{A,B,C} \langle A|T|B \rangle \langle B|\rho|C \rangle \langle C|T^\dagger|A \rangle \end{aligned}$$



# Collision Rates

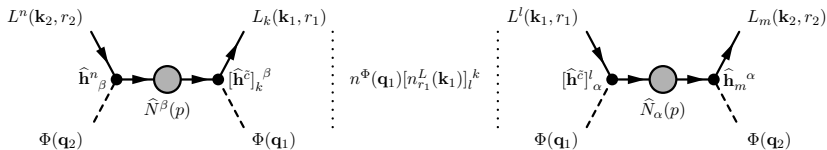
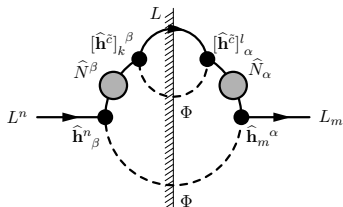
## Decays and Inverse Decays



Cuts of partial self-energies give **rank-4 rates**  $[\gamma(L\Phi \rightarrow N)]_{k\alpha}^{l\beta}$ .

# Collision Rates

## Scatterings



Cuts of partial self-energies give **rank-4 rates**  $[\gamma(L\Phi \rightarrow L\Phi)]_k^l m^n$ .

# Application to Resonant Leptogenesis

- ▶ Classical statistics:  $1 \pm n^X \simeq 1$
- ▶ Kinetic equilibrium and average heavy-neutrino mass:  
$$m_N^2 \equiv \mathcal{N}_N^{-1} \text{Tr } \mathbf{M}_N^\dagger \mathbf{M}_N$$
- ▶ Massless charged-leptons (single helicity state populated)
- ▶ Equally-populated heavy-neutrino helicity states
- ▶ Small departure from equilibrium:  $[n^L]_I^m + [\bar{n}^L]_I^m \simeq 2n_{\text{eq}}^L \delta_I^m$
- ▶ Heavy-neutrino mixing by replacing tree-level Yukawa couplings  $h_I^\alpha$  by resummed Yukawa couplings  $\mathbf{h}_I^\alpha$  and  $[\mathbf{h}^{\tilde{c}}]_I^\alpha$ .

$$\begin{aligned} [\gamma_{L\Phi}^N]_I^m \alpha^\beta &\propto \mathbf{h}_\alpha^m \mathbf{h}_I^\beta + [\mathbf{h}^{\tilde{c}}]_\alpha^m [\mathbf{h}^{\tilde{c}}]_I^\beta \\ [\delta\gamma_{L\Phi}^N]_I^m \alpha^\beta &\propto \mathbf{h}_\alpha^m \mathbf{h}_I^\beta - [\mathbf{h}^{\tilde{c}}]_\alpha^m [\mathbf{h}^{\tilde{c}}]_I^\beta \end{aligned}$$

- ▶ Thermal RIS subtraction to avoid double-counting
- ▶ Charged-lepton decoherence interactions (involving right-handed charged-leptons)

# Application to Resonant Leptogenesis

## Final Rate Equations

$$\frac{H_N n^\gamma}{z} \frac{d[\underline{\eta}^N]_\alpha^\beta}{dz} = -i \frac{n^\gamma}{2} [\mathcal{E}_N, \delta \eta^N]_\alpha^\beta + [\widetilde{\text{Re}}(\gamma_{L\Phi}^N)]_\alpha^\beta - \frac{1}{2\eta_{\text{eq}}^N} \left\{ \underline{\eta}^N, \widetilde{\text{Re}}(\gamma_{L\Phi}^N) \right\}_\alpha^\beta$$

$$\begin{aligned} \frac{H_N n^\gamma}{z} \frac{d[\delta \eta^N]_\alpha^\beta}{dz} &= -2i n^\gamma [\mathcal{E}_N, \underline{\eta}^N]_\alpha^\beta + 2i [\widetilde{\text{Im}}(\delta \gamma_{L\Phi}^N)]_\alpha^\beta - \frac{i}{\eta_{\text{eq}}^N} \left\{ \underline{\eta}^N, \widetilde{\text{Im}}(\delta \gamma_{L\Phi}^N) \right\}_\alpha^\beta \\ &\quad - \frac{1}{2\eta_{\text{eq}}^N} \left\{ \delta \eta^N, \widetilde{\text{Re}}(\gamma_{L\Phi}^N) \right\}_\alpha^\beta \end{aligned}$$

$$\begin{aligned} \frac{H_N n^\gamma}{z} \frac{d[\delta \eta^L]_I^m}{dz} &= -[\delta \gamma_{L\Phi}^L]_I^m + \frac{[\underline{\eta}^N]_\beta^\alpha}{\eta_{\text{eq}}^N} [\delta \gamma_{L\Phi}^N]_I^m{}_\alpha^\beta + \frac{[\delta \eta^N]_\beta^\alpha}{2\eta_{\text{eq}}^N} [\gamma_{L\Phi}^N]_I^m{}_\alpha^\beta \\ &\quad - \frac{1}{3} \left\{ \delta \eta^L, \gamma_{L\tilde{c}\Phi\tilde{c}}^{L\Phi} + \gamma_{L\Phi}^{L\Phi} \right\}_I^m - \frac{2}{3} [\delta \eta^L]_k^n \left( [\gamma_{L\tilde{c}\Phi\tilde{c}}^{L\Phi} - [\gamma_{L\Phi}^{L\Phi}] \right)_{nI}^{km} \\ &\quad - \frac{2}{3} \left\{ \delta \eta^L, \gamma_{\text{dec}} \right\}_I^m + [\delta \gamma_{\text{dec}}^{\text{back}}]_I^m \end{aligned}$$

# Application to Resonant Leptogenesis

Final Rate Equations: **Mixing**

$$\frac{H_N n^\gamma}{z} \frac{d[\underline{\eta}^N]_\alpha^\beta}{dz} = -i \frac{n^\gamma}{2} [\mathcal{E}_N, \delta\eta^N]_\alpha^\beta + [\widetilde{\text{Re}}(\gamma_{L\Phi}^N)]_\alpha^\beta - \frac{1}{2\eta_{\text{eq}}^N} \left\{ \underline{\eta}^N, \widetilde{\text{Re}}(\gamma_{L\Phi}^N) \right\}_\alpha^\beta$$

$$\begin{aligned} \frac{H_N n^\gamma}{z} \frac{d[\delta\eta^N]_\alpha^\beta}{dz} &= -2i n^\gamma [\mathcal{E}_N, \underline{\eta}^N]_\alpha^\beta + 2i [\widetilde{\text{Im}}(\delta\gamma_{L\Phi}^N)]_\alpha^\beta - \frac{i}{\eta_{\text{eq}}^N} \left\{ \underline{\eta}^N, \widetilde{\text{Im}}(\delta\gamma_{L\Phi}^N) \right\}_\alpha^\beta \\ &\quad - \frac{1}{2\eta_{\text{eq}}^N} \left\{ \delta\eta^N, \widetilde{\text{Re}}(\gamma_{L\Phi}^N) \right\}_\alpha^\beta \end{aligned}$$

$$\begin{aligned} \frac{H_N n^\gamma}{z} \frac{d[\delta\eta^L]_I^m}{dz} &= -[\delta\gamma_{L\Phi}^N]_I^m + \frac{[\underline{\eta}^N]_\beta^\alpha}{\eta_{\text{eq}}^N} [\delta\gamma_{L\Phi}^N]_I^m{}_\alpha^\beta + \frac{[\delta\eta^N]_\beta^\alpha}{2\eta_{\text{eq}}^N} [\gamma_{L\Phi}^N]_I^m{}_\alpha^\beta \\ &\quad - \frac{1}{3} \left\{ \delta\eta^L, \gamma_{L\tilde{e}\Phi\tilde{e}}^{L\Phi} + \gamma_{L\Phi}^{L\Phi} \right\}_I^m - \frac{2}{3} [\delta\eta^L]_k^n \left( [\gamma_{L\tilde{e}\Phi\tilde{e}}^{L\Phi} - [\gamma_{L\Phi}^{L\Phi}] \right)_{nI}^{km} \\ &\quad - \frac{2}{3} \left\{ \delta\eta^L, \gamma_{\text{dec}} \right\}_I^m + [\delta\gamma_{\text{dec}}^{\text{back}}]_I^m \end{aligned}$$

# Application to Resonant Leptogenesis

## Final Rate Equations: Oscillations

$$\frac{H_N n^\gamma}{z} \frac{d[\underline{\eta}^N]_\alpha^\beta}{dz} = -i \frac{n^\gamma}{2} [\mathcal{E}_N, \delta \eta^N]_\alpha^\beta + [\widetilde{\text{Re}}(\gamma_{L\Phi}^N)]_\alpha^\beta - \frac{1}{2\eta_{\text{eq}}^N} \left\{ \underline{\eta}^N, \widetilde{\text{Re}}(\gamma_{L\Phi}^N) \right\}_\alpha^\beta$$

$$\begin{aligned} \frac{H_N n^\gamma}{z} \frac{d[\delta \eta^N]_\alpha^\beta}{dz} &= -2i n^\gamma [\mathcal{E}_N, \underline{\eta}^N]_\alpha^\beta + 2i [\widetilde{\text{Im}}(\delta \gamma_{L\Phi}^N)]_\alpha^\beta - \frac{i}{\eta_{\text{eq}}^N} \left\{ \underline{\eta}^N, \widetilde{\text{Im}}(\delta \gamma_{L\Phi}^N) \right\}_\alpha^\beta \\ &\quad - \frac{1}{2\eta_{\text{eq}}^N} \left\{ \delta \eta^N, \widetilde{\text{Re}}(\gamma_{L\Phi}^N) \right\}_\alpha^\beta \end{aligned}$$

$$\begin{aligned} \frac{H_N n^\gamma}{z} \frac{d[\delta \eta^L]_I^m}{dz} &= -[\delta \gamma_{L\Phi}^N]_I^m + \frac{[\eta^N]_\beta^\alpha}{\eta_{\text{eq}}^N} [\delta \gamma_{L\Phi}^N]_I^m{}_\alpha^\beta + \frac{[\delta \eta^N]_\beta^\alpha}{2\eta_{\text{eq}}^N} [\gamma_{L\Phi}^N]_I^m{}_\alpha^\beta \\ &\quad - \frac{1}{3} \left\{ \delta \eta^L, \gamma_{L\tilde{e}\Phi\tilde{e}}^{L\Phi} + \gamma_{L\Phi}^{L\Phi} \right\}_I^m - \frac{2}{3} [\delta \eta^L]_k^n \left( [\gamma_{L\tilde{e}\Phi\tilde{e}}^{L\Phi} - [\gamma_{L\Phi}^{L\Phi}] \right)_{nI}^{km} \\ &\quad - \frac{2}{3} \left\{ \delta \eta^L, \gamma_{\text{dec}} \right\}_I^m + [\delta \gamma_{\text{dec}}^{\text{back}}]_I^m \end{aligned}$$

# Application to Resonant Leptogenesis

Final Rate Equations: **Decoherence**

$$\frac{H_N n^\gamma}{z} \frac{d[\underline{\eta}^N]_\alpha^\beta}{dz} = -i \frac{n^\gamma}{2} [\mathcal{E}_N, \delta \eta^N]_\alpha^\beta + [\widetilde{\text{Re}}(\gamma_{L\Phi}^N)]_\alpha^\beta - \frac{1}{2\eta_{\text{eq}}^N} \left\{ \underline{\eta}^N, \widetilde{\text{Re}}(\gamma_{L\Phi}^N) \right\}_\alpha^\beta$$

$$\begin{aligned} \frac{H_N n^\gamma}{z} \frac{d[\delta \eta^N]_\alpha^\beta}{dz} &= -2i n^\gamma [\mathcal{E}_N, \underline{\eta}^N]_\alpha^\beta + 2i [\widetilde{\text{Im}}(\delta \gamma_{L\Phi}^N)]_\alpha^\beta - \frac{i}{\eta_{\text{eq}}^N} \left\{ \underline{\eta}^N, \widetilde{\text{Im}}(\delta \gamma_{L\Phi}^N) \right\}_\alpha^\beta \\ &\quad - \frac{1}{2\eta_{\text{eq}}^N} \left\{ \delta \eta^N, \widetilde{\text{Re}}(\gamma_{L\Phi}^N) \right\}_\alpha^\beta \end{aligned}$$

$$\begin{aligned} \frac{H_N n^\gamma}{z} \frac{d[\delta \eta^L]_I^m}{dz} &= -[\delta \gamma_{L\Phi}^N]_I^m + \frac{[\underline{\eta}^N]_\beta^\alpha}{\eta_{\text{eq}}^N} [\delta \gamma_{L\Phi}^N]_I^m{}_\alpha^\beta + \frac{[\delta \eta^N]_\beta^\alpha}{2\eta_{\text{eq}}^N} [\gamma_{L\Phi}^N]_I^m{}_\alpha^\beta \\ &\quad - \frac{1}{3} \left\{ \delta \eta^L, \gamma_{L\tilde{e}\Phi\tilde{e}}^{L\Phi} + \gamma_{L\Phi}^{L\Phi} \right\}_I^m - \frac{2}{3} [\delta \eta^L]_k^n \left( [\gamma_{L\tilde{e}\Phi\tilde{e}}^{L\Phi} - [\gamma_{L\Phi}^{L\Phi}] \right)_{nI}^{km} \\ &\quad - \frac{2}{3} \left\{ \delta \eta^L, \gamma_{\text{dec}} \right\}_I^m + [\delta \gamma_{\text{dec}}^{\text{back}}]_I^m \end{aligned}$$

# Numerical Examples

- ▶ Minimal model of Resonant  $\tau$ -Genesis

[A. Pilaftsis, PRL95 (2005) 081602]

- ▶  $O(3)$  symmetric heavy-neutrino sector at  $\mu_X \sim M_{\text{GUT}}$  broken to almost exact  $U(1)_{L_e+L_\mu} \times U(1)_{L_\tau}$  at  $m_N$ .
- ▶ Mass splitting  $\Delta m_N$  from RG evolution to the scale  $m_N$

$$\mathbf{M}_N = m_N \mathbf{1} - \frac{m_N}{8\pi^2} \ln \left( \frac{\mu_X}{m_N} \right) \text{Re} [\mathbf{h}^\dagger(\mu_X) \mathbf{h}(\mu_X)]$$

$$\mathbf{h} = \begin{pmatrix} 0 & ae^{-i\frac{\pi}{4}} & ae^{i\frac{\pi}{4}} \\ 0 & be^{-i\frac{\pi}{4}} & be^{i\frac{\pi}{4}} \\ 0 & 0 & 0 \end{pmatrix} + \delta \mathbf{h} \quad \delta \mathbf{h} = \begin{pmatrix} \epsilon_e & 0 & 0 \\ \epsilon_\mu & 0 & 0 \\ \epsilon_\tau & \kappa_1 e^{-i(\frac{\pi}{4}-\gamma_1)} & \kappa_2 e^{-i(\frac{\pi}{4}-\gamma_2)} \end{pmatrix}$$

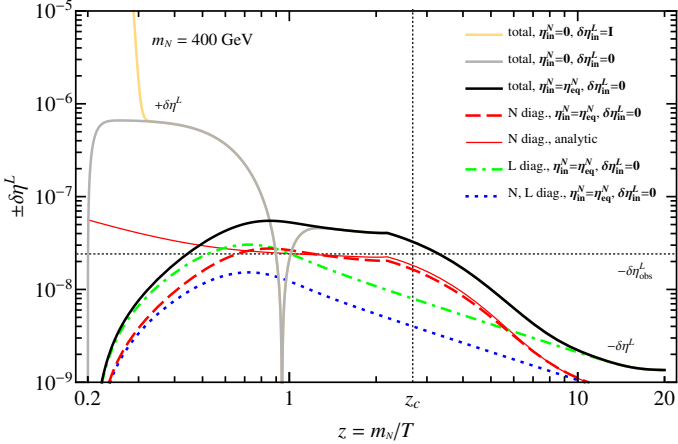
- ▶ Agreement with light neutrino masses  $\mathbf{M}_\nu = -\frac{v^2}{2} \mathbf{h} \mathbf{M}_N^{-1} \mathbf{h}^T$  for  $m_N \sim 10^2$  GeV.



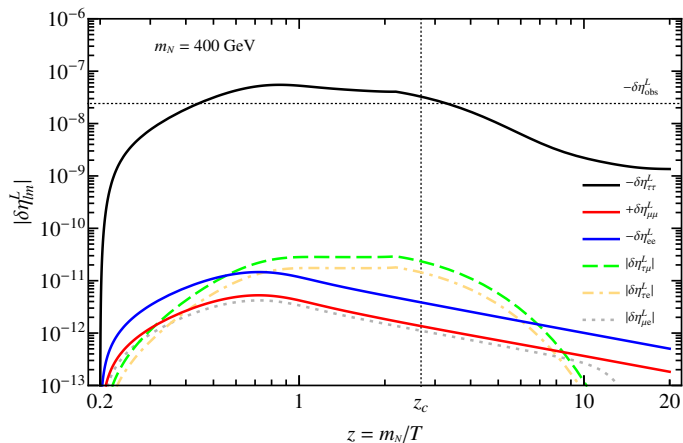
$m_N$	400 GeV
$\gamma_1$	$\pi/3$
$\gamma_2$	0
$\kappa_1$	$2.4 \times 10^{-5}$
$\kappa_2$	$6 \times 10^{-5}$
$a$	$(4.93 - 2.32 i) \times 10^{-3}$
$b$	$(8.04 - 3.79 i) \times 10^{-3}$
$\epsilon_e$	$5.73 \times 10^{-8}$
$\epsilon_\mu$	$4.3 \times 10^{-7}$
$\epsilon_\tau$	$6.39 \times 10^{-7}$

Observable	Model	Exp. Limit
$\text{BR}(\mu \rightarrow e\gamma)$	$1.9 \times 10^{-13}$	$< 5.7 \times 10^{-13}$
$\text{BR}(\tau \rightarrow \mu\gamma)$	$1.6 \times 10^{-18}$	$< 4.4 \times 10^{-8}$
$\text{BR}(\tau \rightarrow e\gamma)$	$5.9 \times 10^{-19}$	$< 3.3 \times 10^{-8}$
$\text{BR}(\mu \rightarrow 3e)$	$9.3 \times 10^{-15}$	$< 1.0 \times 10^{-12}$
$R_{\mu \rightarrow e}^{\text{Ti}}$	$2.9 \times 10^{-13}$	$< 6.1 \times 10^{-13}$
$R_{\mu \rightarrow e}^{\text{Au}}$	$3.2 \times 10^{-13}$	$< 7.0 \times 10^{-13}$
$R_{\mu \rightarrow e}^{\text{Pb}}$	$2.2 \times 10^{-13}$	$< 4.6 \times 10^{-11}$
$ \Omega _{e\mu}$	$1.8 \times 10^{-5}$	$< 7.0 \times 10^{-5}$
$\langle m \rangle$ [eV]	$3.8 \times 10^{-3}$	$< (0.11-0.25)$

# Numerical Results



# Numerical Results

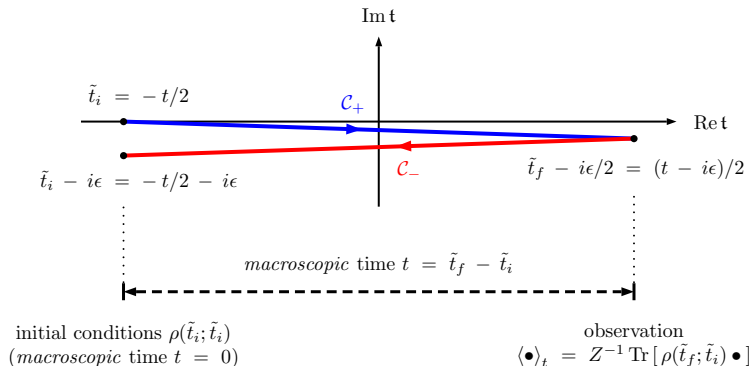


# Field-Theoretic Transport Phenomena

**Schwinger-Keldysh Closed-Time Path (CTP) Formalism:** a means to calculate (statistical) expectation values  $\text{Tr} \rho \bullet$  in QFT.

[J. S. Schwinger, J. Math. Phys. 2 (1961) 407;

L. V. Keldysh, Zh. Eksp. Teor. Fiz. 47 (1964) 1515 [Sov. Phys. JETP 20 (1965) 1018]]



[See P. Millington, A. Pilaftsis, PRD88 (2013) 085009 and P. S. B. Dev et al., arXiv:1410.6434 and extensive list of references therein, inc. alternative approaches (many authors).]

# Field-Theoretic Transport Phenomena

## Kadanoff-Baym Equations

Partially inverting the CTP Schwinger-Dyson equation gives the **Kadanoff-Baym equations**

[L. Kadanoff, G. Baym, *Quantum Statistical Mechanics*, Benjamin, New York (1962)]

$$\left( p^2 - |\mathbf{m}|^2 \cdot + \Pi_{\mathcal{P}} \star \right) \Delta_{\geq} = -\frac{1}{2} \left( \Pi_{>} \star \Delta_{<} - \Pi_{<} \star \Delta_{>} + 2 \Pi_{\geq} \star \Delta_{\mathcal{P}} \right)$$

Use [Millington and Pilaftsis (2013)] to obtain the **rate equation**:

$$\begin{aligned} \frac{dn(t, \mathbf{X})}{dt} &= \int_{p, p'}^{(X)} (\mathbf{p}^2 - \mathbf{p}'^2) \Delta_{<} - \int_{p, p'}^{(X)} \left( [|\mathbf{m}|^2, \Delta_{<}] - [\Pi_{\mathcal{P}}, \Delta_{<}]_{\star} \right) \\ &= -\frac{1}{2} \int_{p, p'}^{(X)} \left( \{ \Pi_{>}, \Delta_{<} \}_{\star} - \{ \Pi_{<}, \Delta_{>} \}_{\star} + 2 [\Pi_{<}, \Delta_{\mathcal{P}}]_{\star} \right). \end{aligned}$$

Require an **approximation scheme** to make this **tractable**.

# Field-Theoretic Transport Phenomena

## Physical Observables

- ▶ Kadanoff-Baym approaches give equations for **propagators** and **self-energies**, **not number densities**.
- ▶ Often perform a **gradient expansion** in time-derivatives and use a **Kadanoff-Baym ansatz** for the dressed heavy-neutrino propagators

$$i\Delta_{<}^N(k, t) = 2\pi\delta(k^2 - m_N^2)\mathbf{n}^N(\mathbf{k}, t)$$

as perturbative loopwise truncations are usually not possible.

- ▶ The latter **is** possible [Millington and Pilaftsis (2013)] and we may truncate two ways
  1. **spectrally**: truncating the external propagator — decides what we count
  2. **statistically**: truncating the self-energy — decides what processes drive the evolution

# Field-Theoretic Transport Phenomena

## Perturbative Loopwise Truncation

**Spectrally truncate** the heavy-neutrino equation

$$\frac{d[n^N]_{\alpha}^{\beta}}{dt} = \int_k \theta(k_0) \left\{ -i [M_N^2, i\Delta_{<}^{N,0}(k, t)]_{\alpha}^{\beta} - \frac{1}{2} \left( \{i\Pi_{<}^N(k), i\Delta_{>}^{N,0}(k, t)\}_{\alpha}^{\beta} - \{i\Pi_{>}^N(k), i\Delta_{<}^{N,0}(k, t)\}_{\alpha}^{\beta} \right) \right\}$$

In a Markovian and homogeneous approximation, the spectrally free heavy-neutrino propagator is unambiguous:

$$[i\Delta_{\gtrsim}^{N,0}(k, t)]_{\alpha}^{\beta} = 2\pi\delta(k^2 - m_N^2) \left( \theta(\pm k_0) \delta_{\alpha}^{\beta} + [n^N(\mathbf{k}, t)]_{\alpha}^{\beta} \right).$$

Appropriate to approximate the charged-lepton and heavy-neutrino propagators by their equilibrium quasi-particle forms:

$$i\Delta_{\gtrsim}^{\Phi, \text{eq}}(q) = 2\pi\delta(q^2 - M_{\Phi}^2) [\theta(\pm q_0) + n_{\text{eq}}^{\Phi}(\mathbf{q})],$$

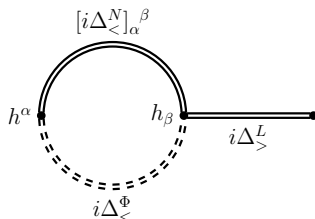
$$i\Delta_{\gtrsim}^{L, \text{eq}}(p) = 2\pi\delta(p^2 - M_L^2) [\theta(\pm p_0) + \theta(p_0)n_{\text{eq}}^L(\mathbf{p}) + \theta(-p_0)\bar{n}_{\text{eq}}^L(\mathbf{p})].$$

# Field-Theoretic Transport Phenomena

## Perturbative Loopwise Truncation

**Statistically truncate** the equation for the asymmetry

$$\begin{aligned} \frac{d\delta n^L}{dt} \supset & -i \int_{k, k', p, q} \theta(p_0 + k'_0 - q_0) (2\pi)^4 \delta^{(4)}(p - k + q) \\ & \times \left[ h_\beta h^\alpha \left( [\Delta_{<}^N(k, k', t)]_\alpha^\beta \Delta_{>}^{\Phi, \text{eq}}(q) \Delta_{>}^{L, \text{eq}}(k' - q) \right. \right. \\ & \left. \left. - [\Delta_{>}^N(k, k', t)]_\alpha^\beta \Delta_{>}^{\Phi, \text{eq}}(q) \Delta_{>}^{L, \text{eq}}(k' - q) \right) - \tilde{\text{C.c.}} \right] \end{aligned}$$





# Field-Theoretic Transport Phenomena

## Mixing Phenomena

**Resummed** heavy-neutrino propagator

$$i\Delta_{<}^N = i\Delta_R^N \circlearrowleft i\Pi_{<} \circlearrowright i\Delta_A^N + \text{R} \circlearrowleft i\Delta_{<}^{N,0} \circlearrowright \text{A}$$

Inserting in the equation for the asymmetry

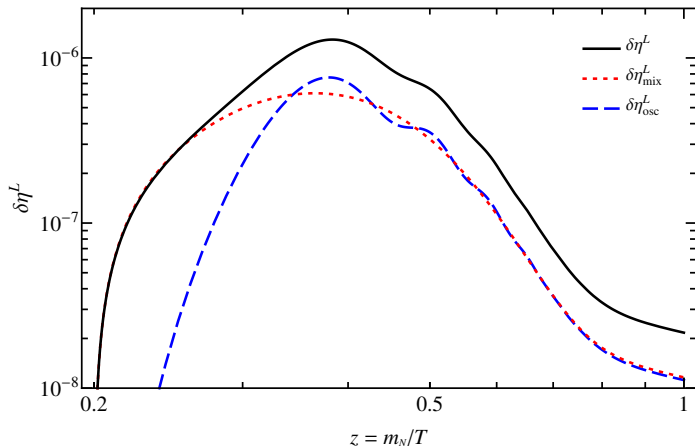
$$h_\alpha \circlearrowleft [i\Delta_{<}^N]_\alpha^\beta \circlearrowright h_\beta \circlearrowleft i\Delta_{<}^L \circlearrowright \simeq h_\alpha \circlearrowleft \text{R} \circlearrowleft [i\Delta_{<}^{N,0}]_\alpha^\beta \circlearrowright \text{A} \circlearrowright h_\beta \circlearrowleft i\Delta_{<}^L \circlearrowright \equiv h_\alpha \circlearrowleft i\Delta_{<}^L \circlearrowright h_\beta \circlearrowleft i\Delta_{<}^L \circlearrowright$$

Such **absorptive transitions** are implicitly **discarded** by **quasi-particle ansatz** for the **dressed** heavy-neutrino propagators.

Exact equivalence with inclusion of effective Yukawa couplings in the semi-classical treatment.

# Field-Theoretic Transport Phenomena

## Mixing and Oscillations



Combination  $\delta\eta^L = \delta\eta^L_{\text{osc}} + \delta\eta^L_{\text{mix}}$  yields a factor of 2 enhancement compared to the isolated contributions for weakly-resonant RL.

# Conclusions

- ▶ **Resonant Leptogenesis** (and in particular **Resonant  $l$ -Genesis**) scenarios provide predictive models that are **testable** at current and future experiments at the energy and intensity frontiers.
- ▶ **Three** physically-distinct **flavour effects**:
  1. **Oscillations** between heavy-neutrino flavours ( $\delta\eta^N$ )
  2. **Mixing** between heavy-neutrino flavours ( $\underline{\eta}^N$  and  $\delta\gamma_{L\Phi}^N$ )
  3. **(De)coherence** effects in the charged-lepton sector
- ▶ Final asymmetry may be **enhanced** by as much as **an order of magnitude** compared to partially flavoured treatments, expanding viable parameter space.
- ▶ Presented a **fully-flavour covariant** treatment of semi-classical transport phenomena.
- ▶ Mixing **and** oscillations **both** present in “first-principles” approaches when embedding the **flavour-covariant formalism** into a **perturbative non-equilibrium quantum field theory**.